## Are bosonic ghosts rational beings?

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Women in Mathematical Physics Banff, Canada August 16, 2023



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## Introduction

- Representation theory goals: determine module categories
- Vertex (operator) algebra
  - Defined in Flor's talk.
  - ${\scriptstyle \circ \ }$  Rational vertex algebras = semi-simple representation theory
  - ${\scriptstyle \circ }$  Irrational vertex algebras = have indecomposable modules that are not simple
- Conformal flow = deformation of the conformal vector  $\omega$  associated with a vertex operator algebra V to obtain a new conformal structure  $\omega_{\mu}$  on V, for  $\mu \in \mathbb{C}$ , a continuous parameter
  - example: Weyl vertex algebra

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## Rationality in pictures



- \* allowed collision combinations
  - \* based on rationality

## History

- Matsuo, Nagatomo: all possible conformal structures associated with the Heisenberg vertex algebra (free bosonic VA)
- Adamović, Milas: triplet algebras, an important example of  $C_2$ -cofinite but irrational vertex algebras
- ${\scriptstyle \bullet}$  Dong, Li, Mason:  ${\Bbb Q}{\it -}{\it graded}$  vertex algebras
- Dong-Mason, Laber-Mason: C-graded vertex algebras
- Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna: a refinement of the various concepts of C-grading for a vertex algebra.

## Vacuum space

Vacuum space  $\Omega(V)$  = vectors in V that are zero if they are acted on by any mode of V that lowers the real part of the weight.

• important: vacuum vector is not necessarily in the vacuum space. E.g. Weyl vertex algebra  $_{\mu}M$  with  $\mu \in \mathbb{R}$  and  $\mu < 0$ , e.g.  $\mu = -\frac{1}{2}$ , i.e. c = 11.

## Graded vertex algebras

## Definition 1

An  $\Omega$ -generated  $\mathbb{C}$ -graded vertex algebra is a  $\mathbb{C}$ -graded vertex algebra (V, Y, 1) such that every element  $v \in V$  is a finite sum of elements of the form  $v^k v^{k-1} \cdots v^2 u^0$ , for  $k \in \mathbb{N}$ ,  $n_1, \ldots, n_k \in \mathbb{Z}$ ,  $v^1, \ldots, v^k \in V$  and  $u^0 \in \Omega(V)$ .

## Definition 2

An  $\Omega$ -generated  $\mathbb{C}_{Re>0}$ -graded vertex algebra (= nice vertex algebra) is an  $\Omega$ -generated  $\mathbb{C}$ -graded vertex algebra such that the following notion of degree is well defined:

• degree of elements in  $\Omega(V)$  is 0

• 
$$deg(v_{n_k}^k \cdots v_{n_1}^1 u^0) = \sum_{j=1}^k (|v^j| - n_j - 1), v^1, \dots, v^k \in V, n_1, \dots, n_k \in \mathbb{Z}, u^0 \in \Omega(V)$$

• extend by linearity

## Relationship between given graded VOA definitions

$$\Omega\textit{VOA}(\mathbb{C}_{\textit{Re}>0}(\mathcal{V})) \subset \Omega(\mathbb{C}(\mathcal{V})) \subset \mathbb{C}(\mathcal{V}) \subset \mathcal{V}$$

Here,

- $\mathcal{V} = \text{set of vertex algebras}$ ,
- $\mathbb{C}(\mathcal{V}) = \text{set of } \mathbb{C}\text{-graded vertex algebras}$ ,
- $\Omega(\mathbb{C}(\mathcal{V})) =$  set of  $\Omega$ -generated  $\mathbb{C}$ -graded vertex algebras,
- $\Omega VOA(\mathbb{C}_{Re>0}(\mathcal{V})) =$  set of  $\Omega$ -generated  $\mathbb{C}_{Re>0}$ -graded vertex operator algebras

Also, if V is a nice vertex algebra, and we define  $V(\lambda)$  to be the space of all  $v \in V$  with degree  $\lambda$ , then we have

$$V = V(0) \oplus \bigoplus V(\lambda)$$
  
=  $\Omega(V) \oplus \bigoplus V(\lambda)$ 

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## Introduction

- Free field theories:
  - Free bosons
  - Free fermions
  - $\beta\gamma$ -ghost system (bosonic ghost system)
  - *bc*-ghost system (fermionic ghost system).
- ${\scriptstyle \bullet}\,$  gradings other than  $\mathbb{Z}\text{-}\mathsf{gradings},$  depend on the choice of conformal vector

## Introduction

- Free field theories:
  - Free bosons
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 ${\scriptstyle \bullet }$  gradings other than  $\mathbb Z\text{-}\mathsf{gradings},$  depend on the choice of conformal vector

## Weyl vertex algebra

- conformal vector with  $c = 2 \implies \mathbb{Z}$ -grading, but with infinite-dimensional weight spaces • no graded-traces
- ${\scriptstyle \bullet}\,$  general case =  $\mathbb{C}\text{-}\mathsf{grading},$  depending on the choice of conformal element
- ${\, \bullet \,}$  there is a family of conformal vectors indexed by a complex parameter  $\mu \in \mathbb{C}$ 
  - [BBOPY] the resulting family of conformal  $\beta\gamma$ -systems, denoted  $_{\mu}M$ , have very different resulting gradings, Zhu algebras, and representation theory.
- we defined and analyzed several different types of  $\mathbb{C}$ -graded VAs and proved general results for finitely  $\Omega$ -generated  $\mathbb{C}_{Re>0}$ -graded VOAs:
  - these types of VAs are  $C_2$ -cofinite if the weights of the generating set are non integer.
  - if in addition, all simple modules of a V of this type are ordinary, then V is rational.

## Rank 1 Weyl vertex algebra

### Definition 3

Let  $\mathcal{L}$  be the infinite-dimensional Lie algebra with generators K, a(m), and  $a^*(n)$  with  $m, n \in \mathbb{Z}$  such that K is in the center and the bracket is given by

$$[a(m), a^*(n)] = \delta_{m+n,0} K$$

We define the rank one Weyl algebra  $A_1$  to be the quotient

$$\mathcal{A}_1 = rac{\mathcal{U}(\mathcal{L})}{\langle K-1 
angle},$$

where  $\mathcal{U}(\mathcal{L}) =$  universal enveloping algebra of  $\mathcal{L}$ ,  $\langle K - 1 \rangle =$  two sided ideal generated by K - 1. Then  $\mathcal{A}_1 =$  associative algebra with generators a(m),  $a^*(n)$ , for  $m, n \in \mathbb{Z}$ , and relations

$$[a(m), a^*(n)] = \delta_{m+n,0}$$
 (1)

$$[a(m), a(n)] = [a^*(m), a^*(n)] = 0$$
(2)

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#### Weyl vertex algebra

## Weyl vertex algebra

• There is a unique vertex algebra structure on M, given by (M, Y, 1) with vertex operator map  $Y : M \to End(M)[[z, z^{-1}]]$  such that

$$Y(a(-1)\mathbf{1}, z) = a(z), \qquad Y(a^{*}(0)\mathbf{1}, z) = a^{*}(z),$$
  
$$a(z) = \sum_{n \in \mathbb{Z}} a(n)z^{-n-1}, \quad a^{*}(z) = \sum_{n \in \mathbb{Z}} a^{*}(n)z^{-n}.$$
(3)

• The fields a(z) and  $a^*(z)$  are usually denoted by  $\beta(z)$  and  $\gamma(z)$  in the physics literature (up to a choice of sign) where the vertex algebra M is referred to as the  $\beta\gamma$  vertex algebra or  $\beta\gamma$  system.

## Conformal elements

• The vertex algebra M admits a family of Virasoro vectors

$$\omega_{\mu} = (1-\mu)a(-1)a^*(-1)\mathbf{1} - \mu a(-2)a^*(0)\mathbf{1}, \quad ext{for } \mu \in \mathbb{C},$$

of central charge

$$c_{\mu}=2(6\mu(\mu-1)+1).$$

• The corresponding Virasoro field is

$$L^\mu(z)=(1-\mu):$$
  $a(z)\partial a^*(z):-\mu:\partial a(z)a^*(z):$ 

• This gives a  $\mathbb{C}$ -grading on M, denote by

$$(_{\mu}M, Y, \mathbf{1}, \omega_{\mu}),$$

or just  $_{\mu}M$ .

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## Weyl vertex algebra gradings

We have a  $\mathbb{C}$ -grading

$$_{\mu}M = \amalg_{\lambda \in \mathbb{C}} {}_{\mu}M_{\lambda}, \quad |\mathbf{v}| = \lambda, \ \mathbf{v} \in_{\mu} M],$$

and similarly there are  $_{\mu}M$ -modules

$$W = \amalg_{\lambda \in \mathbb{C}} W_{\lambda} m \quad L_{\mu}(0) w = \lambda w.$$

Nice positive energy grading is the resulting  $\mathbb{C}$ -grading of  $_{\mu}M$  and its modules if

- truncated from below
- $|Im(\lambda)| > Re(\lambda)$ , for finitely many  $\lambda$
- $\dim W_\lambda < \infty$

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## Various regions which give different structures on $_{\mu}M$



Figure 1: Values of  $\mu \in \mathbb{C}$  for which  $_{\mu}M$  has different  $\mathbb{C}$ -graded VA structures.

Theorem (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna):

• In the orange diamond shaped region,  $_{\mu}M$  has a "nice positive energy" grading. Here,  $_{\mu}M$  is rational with only 1 simple admissible module. No graded pseudo-traces.

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Figure 2: Values of  $\mu \in \mathbb{C}$  for which  $_{\mu}M$  has different  $\mathbb{C}$ -graded VA structures.

Theorem (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna):

• In the blue regions we lose truncation:  $_{\mu}M$  has  $_{\mu}M_{\lambda} \neq 0$  for an infinite arbitrary  $Re(\lambda) > 0$  and  $Re(\lambda) < 0$ .

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Figure 3: Values of  $\mu \in \mathbb{C}$  for which  $_{\mu}M$  has different  $\mathbb{C}$ -graded VA structures.

Theorem (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna): • In the white region,  $_{\mu}M$  has  $|Im(\lambda)| > Re(\lambda)$ , for an infinite number of  $\lambda$ 

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Figure 4: Values of  $\mu \in \mathbb{C}$  for which  $_{\mu}M$  has different  $\mathbb{C}$ -graded VA structures.

Theorem (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna):

• At  $\mu = 0, 1$  the only thing that fails is that we lose  $dim_{\mu}M_{\lambda} < \infty$ . However,

 $\mathbb{N}$ -graded and irrational  $\Longrightarrow$  have meaningful graded pseudo-traces if add another grading operator

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Figure 5: Values of  $\mu \in \mathbb{C}$  for which  $_{\mu}M$  has different  $\mathbb{C}$ -graded VA structures.

Theorem (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna): //: On the lines corresponding to  $Re(\lambda) = 0$  or 1, but  $Im(\lambda) \neq 0$ , we have  $|Im(\lambda)| > Re(\lambda)$ , for an infinite number of  $\lambda$ .

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# Main Theorems: Rationality for certain $\mathbb{C}\text{-}\mathsf{graded}$ VOAs and applications to Weyl VAs

Theorem 4 (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna (JMP 2022))

Let V be an  $\Omega$ -generated  $\mathbb{C}_{Re>0}$ -graded VOA that is finitely generated by  $v^1, \ldots, v^k$ , and in addition satisfies the following:

• For each  $j \in 1, ..., k$ ,  $|v^j|$  is not an integer;

• 
$$V^0 = \bigoplus_{n=0}^{\infty} V_n;$$

• Every simple  $\mathbb{C}_{Re>0}$ -graded V-module is ordinary.

Then V is rational and has only one simple  $\mathbb{C}_{Re>0}$ -graded V-module.

# Main Theorems: Rationality for certain $\mathbb{C}\text{-}\mathsf{graded}$ VOAs and applications to Weyl VAs

Theorem 5 (Barron-Batistelli-Orosz Hunziker-VPT-Yamskulna (JMP 2022))

Let  $\mu \in \mathbb{C}$  such that one of the following holds:

(i) 
$$0 < \operatorname{Re}(\mu) \le 1/2$$
 and  $|\operatorname{Im}(\mu)| \le \operatorname{Re}(\mu)$ ,

(ii) 
$$0 < \mathsf{Re}(1-\mu) < 1/2$$
 and  $|\mathsf{Im}(\mu)| \le \mathsf{Re}(1-\mu)$ ,

*i.e.*,  $\mu \in \text{orange region}$ .

Then  $(_{\mu}M, \omega_{\mu})$  is a rational  $\Omega$ -generated  $\mathbb{C}_{Re>0}$ -graded VOA and has only one simple  $\mathbb{C}Re > 0$ -graded module, which is, in fact, a simple ordinary  $_{\mu}M$ -module, namely  $_{\mu}M$  itself.

## Tools for proof

The proofs involve:

- The Zhu algebra A(V), appropriately defined in this setting,
- A filtration of A(V) to relate it to an abelian poisson algebra VC(V).
- Another Theorem involving the structure of certain Zhu algebras and implications for the rationality of certain VAs.

## Thank You!

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## References I

[Bar+22] Katrina Barron et al. "On rationality of C-graded vertex algebras and applications to Weyl vertex algebras under conformal flow". In: Journal of Mathematical Physics 63.9 (Sept. 2022), p. 091706. ISSN: 0022-2488. DOI: 10.1063/5.0117895. eprint: https://pubs.aip.org/aip/jmp/articlepdf/doi/10.1063/5.0117895/16563713/091706\\_1\\_online.pdf. URL: https://doi.org/10.1063/5.0117895.