

# Field counting and arboreal degrees Banff 2022

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## Two themes today

- Let  $G$  be a finite group and  $K$  a number field. How many Galois extensions  $L/K$  are there with  $d(L) \leq X$  and  $\text{Gal}(L/K) \simeq G$ ?

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*Today:* Progress on these two questions and an unexpected link between them!

## Key players: nilpotent groups

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A finite group is nilpotent if and only if it is a product of groups of sizes powers of primes.



## Malle's conjecture

Let  $G$  be a finite group,  $K$  be a number field. Define

$$N(K, G, X) := \#\{L \subseteq K^{\text{sep}} : \text{Gal}(L/K) \simeq G, |N_{K/\mathbb{Q}}(\text{Disc}(L/K))| \leq X\}.$$

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Malle conjectured that there are  $a(G)$ ,  $b_{\text{Malle}}(G, K)$  and  $c > 0$  such that

$$N(K, G, X) \sim c \cdot X^{a(G)} \cdot \log(X)^{b_{\text{Malle}}(G, K) - 1}.$$

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For  $G$  *nilpotent* the last was excluded by a celebrated theorem of Shafarevich, recently reproved by Harpaz and Wittenberg.

## Previous results: asymptotics

Established for:

- All  $G$  abelian over any  $K$  number field (Wright, 1989).
- For  $G := S_3$  standard action and  $K := \mathbb{Q}$  (Davenport–Heilbronn, 1971).
- For  $G := S_4, S_5$  standard action and  $K := \mathbb{Q}$  (Bhargava, 2005, 2010).
- For generalized quaternions (Klüners, 2005).
- For  $G := S_3$  regular action and  $K := \mathbb{Q}$  (Bhargava–Wood, 2008).
- For  $G := S_n \times A$ , with  $n \in \{3, 4, 5\}$  and  $A$  abelian,  $K := \mathbb{Q}$  (Wang, 2017).
- For  $D_4$  by conductor (Shankar–Varma–Wilson, 2017).
- For nonic Heisenberg (Koymans–Fouvry, 2021).

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- All nilpotent groups  $G$  and all number fields  $K$  (Klüners–Malle, 2004).
- The upper bound  $N(K, G, X) = O(X^{a(G)} \log(X)^{b_{\text{Kl}}(G, K)-1})$  with  $b_{\text{Kl}}(G, K) \geq b_{\text{Malle}}(G, K)$  established for all nilpotent  $G$  and all number fields  $K$  (Klüners, 2020).

## Main results: a general upper bound

We improve  $b(G, K) \leq b'(G, K) \leq b_{\text{KI}}(G, K)$ , with  $b'(G, K) + 4 \leq b_{\text{KI}}(G, K)$  for some  $G$ 's. Having the following

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For every  $G, K$ , we have that

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- Once the parametrization is set-up the proof is extremely easy!
- It makes possible making the estimate *effective*.

# Main results: asymptotics

*Basically:* Whenever  $b_{\text{Malle}}(G, K) = b'(G, K)$  we promote Theorem 1 to an asymptotic:

## Theorem 2, Koymans–P., 2021

Let  $G$  a nilpotent group where all the elements of minimal non-trivial order are *central*. Then Malle's conjecture holds, i.e. there is  $c > 0$  such that

$$N(K, G, X) \sim c \cdot X^{a(G)} \cdot \log(X)^{b_{\text{Malle}}(G, K) - 1}.$$

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- There are 2-groups  $G$  of arbitrarily large nilpotency class for which this theorem applies.
- We have a sharp upper bound in case all elements of minimal order are pairwise commuting, a yet even larger class of groups.
- Our parametrization yields a heuristic understanding of  $b_{\text{Malle}}(G, K)$  and of Malle's conjecture when ordering fields by discriminants.

# The growth of arboreal degrees

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Wide open in general!

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- If  $\phi$  has degree 2 then leveraging on the new results on nilpotent Malle we can promote it to:

$$[K(\phi^{-N}(\alpha)) : K] \geq c(\phi, \alpha, \epsilon) \cdot N^{1-\epsilon}.$$

## Methods

For the first up to constants:

- One has about  $d^N$  algebraic numbers of uniformly bounded height. Let  $D$  be the largest of their degrees.
- A theorem of Schmidt permits no more than  $\exp(cD^2)$  numbers of degree  $D$  and of uniformly bounded height. Hence  $D$  must be at least  $\sqrt{N}$ .

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- This requires running Theorem 1 with a moving  $G$ , which is handled by the effectivity of the upper bound: the wonders of the parametrization.
- Controlling fibers:  $\alpha \mapsto K(\alpha)$  (Lemke-Olivier–Thorne) and number of 2-groups of given size (Highman–Sims).



# Exponential lower bounds: PCF polynomials

Let  $K$  be a number field. We have the following.

## Theorem 3, P., 2021

Assume GRH. Suppose that  $f$  is a PCF polynomials of degree  $d \geq 2$ . Let  $\alpha$  be outside the critical orbits of  $f$ . Then there is  $c(f, \alpha) > 0$  such that

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*Idea:* If ramification insists to be a finite set: smallest splitting prime is no less  $d^N$ . Hence (GRH) huge ramification at these primes. Hence (finite set of prime once again) huge degrees.

# Exponential lower bounds: unicritical polynomials

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- *The magic*: An element  $\gamma$  becomes a  $d$ -th power in  $K(f^{-n_0}(\gamma))$  where  $n_0$  is the period.
- Apply the magic modulo a suitably chosen prime.

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This is part of a more general conjecture of Andrews–Petsche.

#### Theorem 4, Ferraguti–P., 2020

If a quadratic polynomial  $x^2 + c$  over any number field  $K$ , gives abelian arboreal Galois group for some  $\alpha$ , then the orbit of 0 is preperiodic.

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- Detects a necessary condition for automorphisms of a binary tree to commute;
- This condition (essentially) translates into making the critical orbit modulo squares unidimensional;
- If the orbit were infinite one would get curves of very high genus having infinitely many rational points.



# Progress on Andrews–Petsche

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This follows from the magic of period critical orbit (and, for example, Amoroso–Zannier lower bounds in  $K^{\text{ab}}$ ).

# Andrews–Petsche over $\mathbb{Q}$

We have the following:

## Theorem 6, Ferraguti–P., 2020

Andrews–Petsche conjecture holds for any quadratic polynomial over  $\mathbb{Q}$ .

- This can now be deduced from Theorem 4, 5 quite easily.
- Our original proof relied on local class field theory and results of Anderson–Poonen et alii on local arboreal representations.

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Andrews–Petsche conjecture holds for any quadratic polynomial over  $\mathbb{Q}$ .

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- Superexponential lower bounds would settle this conjecture.
- Recently, Ferraguti–Ostafe–Zannier explored the case of rational functions and Lattes maps.

Thanks for the attention!