

Non-semisimple TQFT & manifold invariants

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joint work with

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Outline : for finite ribbon cat. + extra properties :

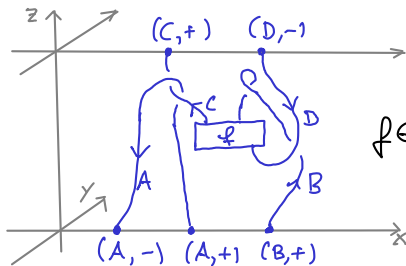
invariants of ribbon graphs from modified trace

\rightsquigarrow invariants of closed 3mf + ribbon graphs \rightsquigarrow 3d TQFT

Reshetikhin - Turaev functor

\mathcal{R} : ribbon cat.

$\text{Rib}_{\mathcal{R}}$: \mathcal{R} -coloured ribbon tangles in $\mathbb{R}^2 \times [0,1]$



isotopy

$f \in C(\mathcal{D}^*, \mathcal{C}B^*)$

Get a canonical ribbon functor

$F : \text{Rib}_{\mathcal{R}} \rightarrow \mathcal{R}$

"make it a string diagram"

!f $\text{End}_{\mathcal{R}}(\mathbb{1}) = \mathbb{C}$:

closed tangle $\Gamma \mapsto$ number $F(\Gamma)$

Example: symplectic fermions

Davydov, IR '12, IR '12

$$SF_{N, \beta}$$

$N = 1, 2, \dots$
 $\beta^4 = (-1)^N$

modular tensor cat.

(\mathbb{C} -lin. ribbon tensor cat. with non-degenerate braiding)

12 \mathbb{C} -lin.

$$\underbrace{\text{Rep}_{\text{SVec}} Gr_{2N}}_{SF_0}$$

$$\oplus \underbrace{\text{SVec}}_{SF_1}$$

4 simples: $\mathbb{1} = \mathbb{C}^{1|0}$

$$\pi \mathbb{1} = \mathbb{C}^{0|1}$$

$$T = \mathbb{C}^{1|0}$$

$$\pi T = \mathbb{C}^{0|1}$$

projective

E.g. $T \otimes T = Gr_{2N}$

... symplectic fermions

Gainutdinov, Farsad, IR '17

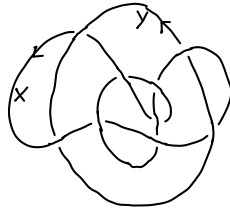
- Can give factorisable ribbon quasi-Hopf alg.

$\mathcal{Q}_{N,\beta}$ s.th. $SF_{N,\beta} \simeq \text{Rep } \mathcal{Q}_{N,\beta}$ as ribbon cat

obj	$\mathbb{1}$	$\pi\mathbb{1}$	τ	$\pi\tau$
twist Θ	1	1	β^{-1}	$-\beta^{-1}$
dim	1	-1	0	0

Boring invariants

$$\Gamma \in \text{Rib}_{\text{SF}_{N,p}}$$



assume Γ is a link:

- closed
- no coupons

Berger, Gainutdinov, IR, in prep.

• Γ contains colour T, TT : $F(\Gamma) = 0$

• Γ only contains col. in $\text{SF}_0 = \text{Rep}_{\text{SVec}} \text{Gr}_{2N}$

\leftrightarrow factors through $\text{Gr}(\text{SF}_0) \simeq \mathbb{C}[\mathbb{Z}/2]$

\leftrightarrow same inv. as for SVec

Modified trace

C : finite ribbon cat.

$\mathcal{J} \subset C$: tensor ideal

- full subcat.
- $\otimes : \mathcal{J} * C \rightarrow \mathcal{J}$
- closed under direct summands

• Examples : $\mathcal{J} = C$, $\mathcal{J} = \text{Proj } C$ projective ideal

• Lemma : For all ideals $\mathcal{J} \neq 0$: $\text{Proj } C \subset \mathcal{J}$

• For C s.s.i : $\text{Proj } C = C \rightsquigarrow$ no interesting ideals

... modified trace

Modified trace on \mathcal{J} is :

$$\{ t_X : \text{End}(X) \rightarrow k \mid X \in \mathcal{J} \}$$

1) cyclic

$$t_x \left(\begin{array}{c} |x \\ \boxed{h} \\ |y \\ \boxed{g} \\ |x \end{array} \right) = t_y \left(\begin{array}{c} |y \\ \boxed{g} \\ |x \\ \boxed{h} \\ |y \end{array} \right) \quad x, y \in \mathcal{J}$$

2) partial trace

$$t_{x \cup u} \left(\begin{array}{c} |x \quad |u \\ \boxed{f} \\ |x \quad |u \end{array} \right) = t_x \left(\begin{array}{c} |x \quad |u \\ \boxed{f} \\ |x \end{array} \right) \quad \begin{array}{l} x \in \mathcal{J} \\ u \in \mathcal{C} \end{array}$$

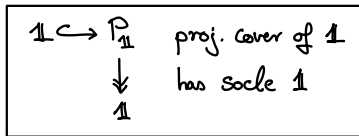
... modified trace

$\mathcal{J} = \mathcal{C} :$ mod.tr \propto categorical trace

Berger, Gainutdinov, IR, in prep.

Lem. $I \not\subseteq \mathcal{C}$ ideal ($\Rightarrow \mathcal{C}$ non-ssi), $X \in I$, $f \in \text{End}(X)$.
Categorical trace is zero: $\text{tr}_X f = 0$.

$\mathcal{J} = \text{Proj } \mathcal{C} :$



Geer, Kujawa, Patureau-Mirand '18

Thm $\mathcal{C} :$ finite ribbon cat., unimodular.

There exists a up to scalars unique mod.tr. on $\text{Proj } \mathcal{C}$.

This mod.tr. gives non-deg. pairings on Hom's.

Ribbon graph invariants from modified traces

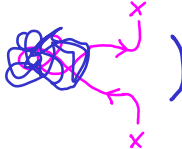
Geer, Patureau-Mirand, Virelizier '11

C : finite ribbon cat., $\mathcal{J} \subset C$: ideal, t : mod.tr. on \mathcal{J}

Closed graph Γ **admissible** if at least one edge **coloured** in \mathcal{J} .

Let $x \in \mathcal{J}$

$\Gamma =$  \rightarrow cutting presentation $\Gamma_x =$ 

Renormalised invariant $F'(\Gamma)$:= $t_x($  $)$

Thm.: $F'(\Gamma)$ is an isotopy invariant.

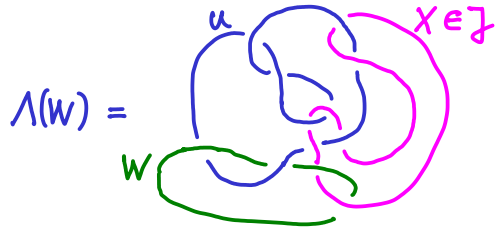
Some properties of renorm. invariants

Berger, Gainutdinov, IR, in prep.

- If W -col. loop is not cut in $F'(\Lambda(w)) = \int_x \Lambda(w)_x$ then

$$[w] = [w'] \text{ in } \text{GrC}$$

$$\Rightarrow F'(\Lambda(w)) = F'(\Lambda(w'))$$



- Let $W(\lambda)$, $\lambda \in \mathbb{C}$ be a family of mutually non-iso. obj. with $[W(\lambda)] \in \text{GrC}$ indep. of λ . Then ren. inv. for

$$\mathcal{I} = \mathbb{C} \text{ and } \mathcal{J} = \text{Proj } \mathbb{C}$$

cannot detect λ .

Example: Symplectic fermions $SF_{N,\beta}$

Berger, Gainutdinov, IR, in prep.

Take $N=2$ and consider 3 ideals:

$$\mathcal{J}_0 = SF_{2,\beta} \not\cong \mathcal{J}_1 \not\cong \mathcal{J}_2 = \text{Proj } SF_{2,\beta}$$



- $SF_{N,\beta} = \text{Rep } Q_{N,\beta}$ ribbon quasi-Hopf algebra in Vect generated by f_1^\pm, f_2^\pm, K
- $A \hookrightarrow Q_{2,\beta}$ sub quasi-Hopf alg. gen. by f_1^\pm, K
- $R: \text{Rep } Q_{2,\beta} \xrightarrow{\otimes} \text{Rep } A$ $\mathcal{J}_1 = R^*(\text{Proj } A)$ full subcat. of obj. s.th...
- Pull back mod. tr. from $\text{Proj } A$ to \mathcal{J}_1

Fontalvo Orozco, Gainutdinov '18

Example : Symplectic fermions $SF_{N,\beta}$

Berger, Gainutdinov, IR, in prep.

Take $N=2$ and consider 3 ideals:

$$\mathcal{J}_0 = SF_{2,\beta} \neq \mathcal{J}_1 \neq \mathcal{J}_2 = \text{Proj } SF_{2,\beta}$$

$$\Gamma = \begin{array}{c} \text{X} \\ \curvearrowright \\ \Theta^n \\ \curvearrowleft \end{array}$$

\mathcal{J}_0 \rightarrow

	X	1	$\pi 1$	T	πT
\mathcal{J}_1	$F(\Gamma)$	1	-1	0	0

\mathcal{J}_2

Example : Symplectic fermions $SF_{N,\beta}$

Berger, Gainutdinov, IR, in prep.

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$$\Gamma = \begin{array}{c} \text{X} \\ \curvearrowright \\ \Theta^n \\ \curvearrowleft \end{array}$$

\mathcal{J}_0	X	$P_{\mathbb{1}}$	$P_{\pi\mathbb{1}}$	T	$\pi\pi$
\mathcal{J}_1	$F(\Gamma)$	$2n^2\beta^2$	\dots	$\frac{1}{2}\beta^n$	\dots
\mathcal{J}_2					

Example : Symplectic fermions $SF_{N,\beta}$

Berger, Gainutdinov, IR, in prep.

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$$\Gamma = \begin{array}{c} \text{X} \\ \curvearrowright \\ \Theta^n \\ \curvearrowleft \end{array}$$

\mathcal{J}_0

\mathcal{J}_1

\mathcal{J}_2

	X	Q_M	$M \in \text{Mat}(2, \mathbb{C})$
$\mathcal{J}_1 \rightarrow$	$F(\Gamma)$	$-\frac{n}{2} (1 + \det M) (1 + i\beta^2)$	

Q: What can intermediate ideals detect?

Coends and bichrome graphs

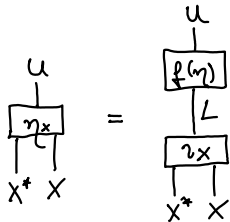
Majid '93
Lyubashenko '94

C : unimodular finite ribbon cat.

• coend $L = \int^{X \in C} X^* \otimes X$

& $i_X : X^* \otimes X \rightarrow L$

For every dinatural family $\eta_X : X^* \otimes X \rightarrow U$

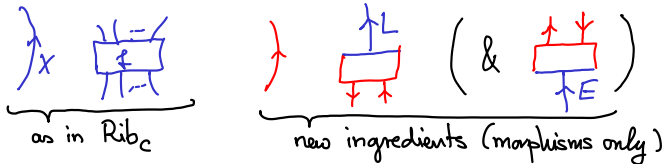


• L is Hopf alg. in C . Pick non-zero integral $\Lambda : 1 \rightarrow L$.
(exists & unique up to scalar)

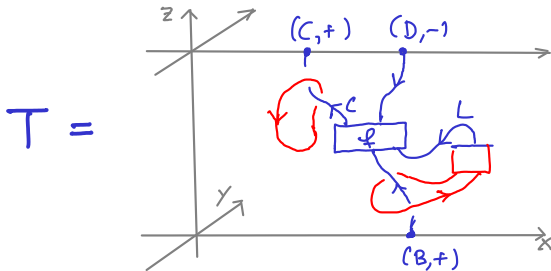
If C is semisimple: $L = \bigoplus_{i \in \text{Irr } C} i^* \otimes i$, $\Lambda = \sum_{i \in \text{Irr } C} \dim i \cdot \downarrow^i$

... coends & bichrome graphs

Rib_Δ : ribbon cat. of bichrome graphs



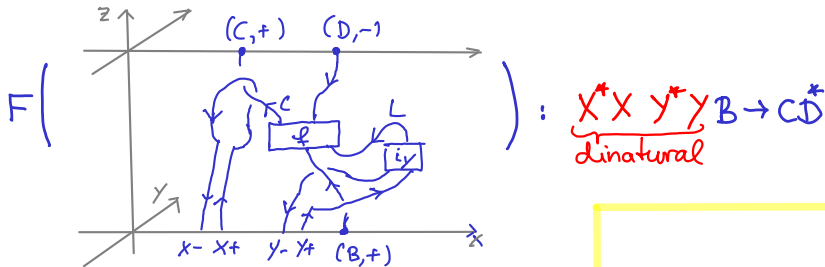
E.g.:



... coends & bichrome graphs

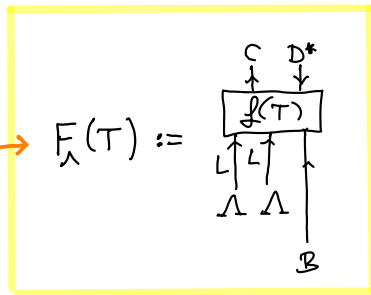
Extend functor F to $F_\lambda : \text{Rib}_\lambda \rightarrow C$: To get $F_\lambda(T)$,

1) cut red ribbons, get dinat. xfer



2) $\exists!$ $f(T) : LLB \rightarrow CD^*$

3) Compose with Δ



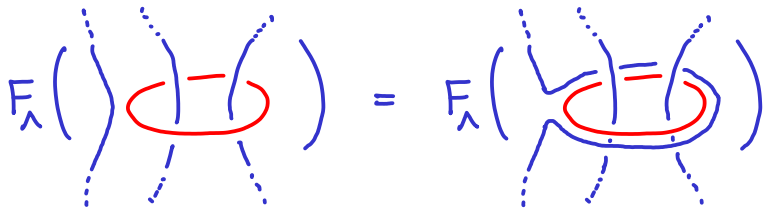
... coends & bichrome graphs

Hennings '96, Lyubashenko '94
De Renzi, Geer, Patureau-Mirand '17
De Renzi, Geer, Patureau-Mirand,
Gainutdinov, IR '19

Thm.: C : unimodular finite ribbon cat.

- 1) $F_{\wedge} : \text{Rib}_{\wedge} \rightarrow C$ is an isotopy inv.
- 2) F_{\wedge} is invariant under handle slides of red/blue components along a red loop

E.g.:



Q: Apart from (L, \wedge) , what other solutions to \rightarrow are there?

3-manifold invariants

\mathcal{C} : unimodular finite ribbon cat.

$\mathcal{J} \subset \mathcal{C}$: ideal t : mod. tr. on \mathcal{J}

Require \mathcal{C} to be twist-nondegenerate : $\Delta_{\pm} := F_{\wedge}(\bigcirc^{\pm 1}) \neq 0$

Let (M, Γ) be a closed 3mf with embedded ribbon graph admissible w.r.t. \mathcal{J}

$$L'(M, \Gamma) := \mathcal{D}^{-1-|\mathcal{L}|} \delta^{|\mathcal{L}|} F_{\wedge}(L \cup \Gamma)$$

$\curvearrowright \mathcal{D}^2 = \Delta^+ \Delta^- \quad \curvearrowright \delta = \frac{\Delta^+}{\mathcal{D}}$

\curvearrowright surgery link for M

... 3 mf invariants

Thm.: $L'(M, \Gamma)$ is a topological invariant.

Examples: Assume C non-ssi. $P \in C$ projective

$\mathcal{J} = C$: \rightarrow Lyubashenko '94

$$L'(S^2 \times S^1) = 0$$

$\mathcal{J} = \text{Proj } C$:

$$L'(S^2 \times S^1)$$

 not adm.


... 3 mf invariants

Thm.: $L'(M, \Gamma)$ is a topological invariant.

Examples: Assume C non-ssi. $P \in C$ projective

$\mathcal{J} = C$: \rightarrow Lyubashenko '94


$$L'(S^2 \times S^1) = 0$$


$$L'\left(\begin{array}{c} S^2 \\ \uparrow P \\ S^1 \end{array}\right) = 0$$

$\mathcal{J} = \text{Proj } C$:

$$L'(S^2 \times S^1)$$

 not adm.


$$L'\left(\begin{array}{c} S^2 \\ \uparrow P \\ S^1 \end{array}\right) \\ = \dim \text{Hom}_C(P, \mathbb{1})$$

... 3 mf invariants

- $L'_{\mathcal{J}=\text{ProjC}}(M, \Gamma) = L'_{\mathcal{J}=\text{C}}(M) \cdot L'_{\mathcal{J}=\text{ProjC}}(S^3, \Gamma)$
connected \nearrow adm. graph contained in 3-ball

- E.g. $SF_{N, \beta}$ $L'_{\mathcal{J}=\text{C}}(\text{lens space}_{1, p}) = \beta^2 (2p)^N$



For \mathcal{C} ssi, L' can never distinguish all $(1, p)$ lens spaces

Non-ssi TQFT

C : modular tensor cat. $\mathcal{I} = \text{Proj } C$

central extension
to cancel anomaly



$\text{Bord}_3(C)$

3d bordisms with embedded
 C -coloured ribbon graphs

\cup

$\text{Bord}'_3(C)$

restrict to admissible bordisms

- same objects
- bordisms must have a **projective ribbon** in every connected component disjoint from incoming boundary

... non-ssi TQFT

E.g

- $\emptyset \xrightarrow{M} \emptyset$ must contain ribbon col. by $P \in \text{Proj } \mathbb{C}$

• $\Sigma \sqcup - \Sigma \xrightarrow{\text{cap}} \emptyset$ ✓

$\emptyset \xrightarrow{\text{cup}} \Sigma \sqcup - \Sigma$ ✗

but can have  for P projective.

... non-ssi TQFT

De Renzi, Geer, Patureau-Mirand '17

De Renzi, Geer, Patureau-Mirand, Gainutdinov, IR '19

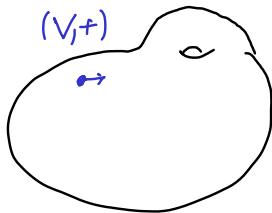
Thm.: From modular tensor cat. \mathcal{C} get sym. mon. functor

$$Z : \widehat{\text{Bord}}_3'(\mathcal{C}) \longrightarrow \text{vect}$$

Obtained from universal construction

"closed invariants \rightsquigarrow functor"

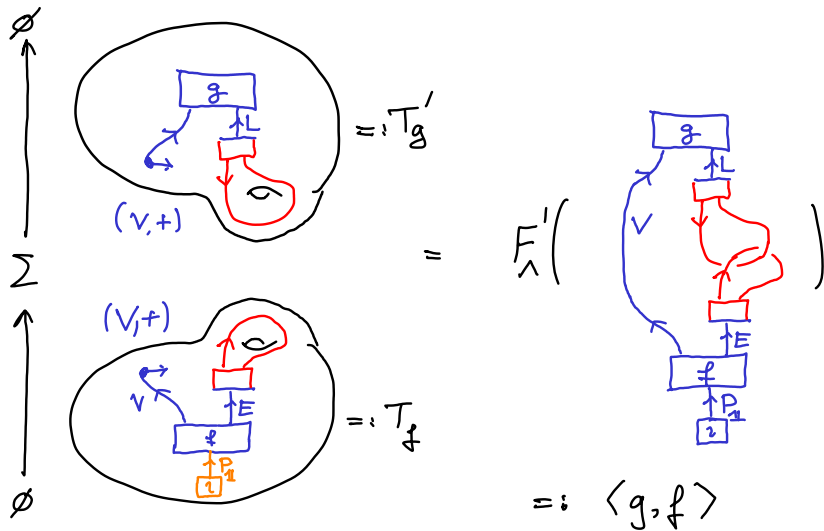
• state space



$$\longmapsto C(\mathbb{P}_1, VE) \sim$$

... non-ssi TQFT

- Pairing with $C(VL, 1)$ via Heegaard splitting of S^3



... non-ssi TQFT

- For $\Sigma \xrightarrow{M} \Sigma$ define $Z(M)$ via

$$\langle g, Z(M) f \rangle := \delta^n F'_\Lambda(T'_g \circ M \circ T'_f)$$

from $\widehat{\text{Bord}}_3$

Summary

- C : unimodular finite ribbon
 $F_\lambda : \text{Rib}_\lambda \rightarrow C$
- C : ... & twist-nondeg. & $\mathcal{J}C$, mod. tr. t
 L' inv. of 3mf with admissible rib. graph
- C : modular tensor cat., $\mathcal{J} = \text{Proj} C$
 $Z : \widehat{\text{Bord}}'_3 \rightarrow \text{vect}$