

NONCOMMUTATIVE CHOQUET THEORY

Kenneth R. Davidson

University of Waterloo

BIRS April 2019

joint work with [Matthew Kennedy](#)

An **operator system** is a unital self-adjoint subspace of bounded operators on a Hilbert space H : $1 \in A = A^* \subset \mathcal{B}(H)$.
It has an order and norm structure induced from $\mathcal{B}(H)$.
Moreover $\mathcal{M}_n(A) \subset \mathcal{M}_n(\mathcal{B}(H)) \simeq \mathcal{B}(H^{(n)})$, and this induces a norm and order structure.

An **operator system** is a unital self-adjoint subspace of bounded operators on a Hilbert space H : $1 \in A = A^* \subset \mathcal{B}(H)$.

It has an order and norm structure induced from $\mathcal{B}(H)$.

Moreover $\mathcal{M}_n(A) \subset \mathcal{M}_n(\mathcal{B}(H)) \simeq \mathcal{B}(H^{(n)})$, and this induces a norm and order structure.

A map $\varphi : A \rightarrow \mathcal{B}(K)$ induces maps $\varphi_n : \mathcal{M}_n(A) \rightarrow \mathcal{B}(K^{(n)})$ coordinatewise. Say φ is **completely positive** if φ_n is positive for $n \geq 1$. If φ is unital and completely positive (u.c.p.), then

$$\|\varphi\|_{cb} = \sup \|\varphi_n\| = 1.$$

An **operator system** is a unital self-adjoint subspace of bounded operators on a Hilbert space H : $1 \in A = A^* \subset \mathcal{B}(H)$.

It has an order and norm structure induced from $\mathcal{B}(H)$.

Moreover $\mathcal{M}_n(A) \subset \mathcal{M}_n(\mathcal{B}(H)) \simeq \mathcal{B}(H^{(n)})$, and this induces a norm and order structure.

A map $\varphi : A \rightarrow \mathcal{B}(K)$ induces maps $\varphi_n : \mathcal{M}_n(A) \rightarrow \mathcal{B}(K^{(n)})$ coordinatewise. Say φ is **completely positive** if φ_n is positive for $n \geq 1$. If φ is unital and completely positive (u.c.p.), then

$$\|\varphi\|_{cb} = \sup \|\varphi_n\| = 1.$$

(Arveson 1969) Every u.c.p. map $\varphi : A \rightarrow \mathcal{B}(K)$ extends to a u.c.p. map of $C^*(A)$ into $\mathcal{B}(K)$.

(Stinespring 1955) A u.c.p. map φ of a C^* -algebra has the form $\varphi(a) = \alpha^* \pi(a) \alpha$ where π is a $*$ -repn. and α is an isometry.

An **operator system** is a unital self-adjoint subspace of bounded operators on a Hilbert space H : $1 \in A = A^* \subset \mathcal{B}(H)$.

It has an order and norm structure induced from $\mathcal{B}(H)$.

Moreover $\mathcal{M}_n(A) \subset \mathcal{M}_n(\mathcal{B}(H)) \simeq \mathcal{B}(H^{(n)})$, and this induces a norm and order structure.

A map $\varphi : A \rightarrow \mathcal{B}(K)$ induces maps $\varphi_n : \mathcal{M}_n(A) \rightarrow \mathcal{B}(K^{(n)})$ coordinatewise. Say φ is **completely positive** if φ_n is positive for $n \geq 1$. If φ is unital and completely positive (u.c.p.), then

$$\|\varphi\|_{cb} = \sup \|\varphi_n\| = 1.$$

(Arveson 1969) Every u.c.p. map $\varphi : A \rightarrow \mathcal{B}(K)$ extends to a u.c.p. map of $C^*(A)$ into $\mathcal{B}(K)$.

(Stinespring 1955) A u.c.p. map φ of a C^* -algebra has the form $\varphi(a) = \alpha^* \pi(a) \alpha$ where π is a $*$ -repn. and α is an isometry.

If π is a representation of $C^*(A)$ such that $\pi|_A$ has a unique u.c.p. extension to $C^*(A)$, say π has the **unique extension property**. If π is also irreducible, then π is a **boundary representation**.

Classical:

$1 \in A = A^* \subset C(X)$ function system.

$K = S(A) = \{f : A \rightarrow \mathbb{C} : f \geq 0, f(1) = 1\}$ state space.

Classical:

$1 \in A = A^* \subset C(X)$ function system.

$K = S(A) = \{f : A \rightarrow \mathbb{C} : f \geq 0, f(1) = 1\}$ state space.

NC Theory:

$1 \in A = A^* \subset \mathcal{B}(H)$ operator system

$$\Gamma = S(A) = \prod_{1 \leq n \leq \kappa} \text{UCP}(A, \mathcal{B}(H_n))$$

where $\dim H_n = n$, and $\kappa \geq \aleph_0$ is a cardinal large enough for all cyclic representations of $C^*(A)$.

Classical:

$1 \in A = A^* \subset C(X)$ function system.

$K = S(A) = \{f : A \rightarrow \mathbb{C} : f \geq 0, f(1) = 1\}$ state space.

NC Theory:

$1 \in A = A^* \subset \mathcal{B}(H)$ operator system

$$\Gamma = S(A) = \coprod_{1 \leq n \leq \kappa} \text{UCP}(A, \mathcal{B}(H_n))$$

where $\dim H_n = n$, and $\kappa \geq \aleph_0$ is a cardinal large enough for all cyclic representations of $C^*(A)$.

$$\mathcal{M} = \coprod_{1 \leq n \leq \kappa} \mathcal{M}_n \quad \text{where } \mathcal{M}_n = \mathcal{B}(H_n).$$

Classical: K is convex, weak-* compact.

Γ is **nc convex**: i.e. closed under direct sums and compressions.

$$x \in \Gamma_n, y \in \Gamma_m \implies x \oplus y \in \Gamma_{n+m}$$

$$x \in \Gamma_n, \alpha \in \mathcal{M}_{nm} \text{ isometry, } \implies \alpha^* x \alpha \in \Gamma_m.$$

Classical: K is convex, weak-* compact.

Γ is **nc convex**: i.e. closed under direct sums and compressions.

$$\begin{aligned}x \in \Gamma_n, y \in \Gamma_m &\implies x \oplus y \in \Gamma_{n+m} \\x \in \Gamma_n, \alpha \in \mathcal{M}_{nm} \text{ isometry,} &\implies \alpha^* x \alpha \in \Gamma_m.\end{aligned}$$

Equivalently,

$$x_i \in \Gamma_i, \alpha_i \in \mathcal{M}_{n_i, n}, \sum_i \alpha_i^* \alpha_i = 1_n \implies \sum_i \alpha_i^* x_i \alpha_i \in \Gamma.$$

Classical: K is convex, weak-* compact.

Γ is **nc convex**: i.e. closed under direct sums and compressions.

$$\begin{aligned}x \in \Gamma_n, y \in \Gamma_m &\implies x \oplus y \in \Gamma_{n+m} \\x \in \Gamma_n, \alpha \in \mathcal{M}_{nm} \text{ isometry,} &\implies \alpha^* x \alpha \in \Gamma_m.\end{aligned}$$

Equivalently,

$$x_i \in \Gamma_i, \alpha_i \in \mathcal{M}_{n_i, n}, \sum_i \alpha_i^* \alpha_i = 1_n \implies \sum_i \alpha_i^* x_i \alpha_i \in \Gamma.$$

Each Γ_n is compact in the point-weak-* topology.

Classical: K is convex, weak-* compact.

Γ is **nc convex**: i.e. closed under direct sums and compressions.

$$\begin{aligned}x \in \Gamma_n, y \in \Gamma_m &\implies x \oplus y \in \Gamma_{n+m} \\x \in \Gamma_n, \alpha \in \mathcal{M}_{nm} \text{ isometry,} &\implies \alpha^* x \alpha \in \Gamma_m.\end{aligned}$$

Equivalently,

$$x_i \in \Gamma_i, \alpha_j \in \mathcal{M}_{n_i, n}, \sum_i \alpha_j^* \alpha_j = 1_n \implies \sum_i \alpha_j^* x_i \alpha_j \in \Gamma.$$

Each Γ_n is compact in the point-weak-* topology.

Remark: Γ is determined by $\coprod_{n < \infty} \Gamma_n$ but need higher levels.

Classical: $A(K)$ affine functions on K .
(Kadison 1951) $A \simeq A(K)$.

Classical: $A(K)$ affine functions on K .
(Kadison 1951) $A \simeq A(K)$.

$\theta : \Gamma \rightarrow \Delta$ is **nc affine** if

- 1 $\theta(\Gamma_n) \subset \Delta_n$
- 2 $\theta(\sum \oplus x_i) = \sum \oplus \theta(x_i)$
- 3 $\theta(\alpha^* x \alpha) = \alpha^* \theta(x) \alpha$ for α isometry.

$A(\Gamma)$ is the set of continuous nc affine functions $\theta : \Gamma \rightarrow \mathcal{M}$.

Classical: $A(K)$ affine functions on K .
(Kadison 1951) $A \simeq A(K)$.

$\theta : \Gamma \rightarrow \Delta$ is **nc affine** if

- 1 $\theta(\Gamma_n) \subset \Delta_n$
- 2 $\theta(\sum \oplus x_i) = \sum \oplus \theta(x_i)$
- 3 $\theta(\alpha^* x \alpha) = \alpha^* \theta(x) \alpha$ for α isometry.

$A(\Gamma)$ is the set of continuous nc affine functions $\theta : \Gamma \rightarrow \mathcal{M}$.

THEOREM

$A \simeq A(\Gamma)$ via $a \rightarrow \hat{a}$, $\hat{a}(x) = x(a)$.

Classical: $f \in C(K)$

nc function: $f : \Gamma \rightarrow \mathcal{M}$ is graded, respects \oplus , \mathcal{U} -equivariant:

- 1 $f(\Gamma_n) \subset \mathcal{M}_n$
- 2 $f(\sum \oplus x_i) = \sum \oplus f(x_i)$
- 3 $f(uxu^*) = uf(x)u^*$ for $x \in \Gamma_n$, $u \in \mathcal{M}_n$ unitary.

Classical: $f \in C(K)$

nc function: $f : \Gamma \rightarrow \mathcal{M}$ is graded, respects \oplus , \mathcal{U} -equivariant:

- 1 $f(\Gamma_n) \subset \mathcal{M}_n$
- 2 $f(\sum \oplus x_i) = \sum \oplus f(x_i)$
- 3 $f(uxu^*) = uf(x)u^*$ for $x \in \Gamma_n$, $u \in \mathcal{M}_n$ unitary.

$C(\Gamma)$ continuous nc functions. $B(\Gamma)$ bounded nc functions.

Classical: $f \in C(K)$

nc function: $f : \Gamma \rightarrow \mathcal{M}$ is graded, respects \oplus , \mathcal{U} -equivariant:

- 1 $f(\Gamma_n) \subset \mathcal{M}_n$
- 2 $f(\sum \oplus x_i) = \sum \oplus f(x_i)$
- 3 $f(uxu^*) = uf(x)u^*$ for $x \in \Gamma_n$, $u \in \mathcal{M}_n$ unitary.

$C(\Gamma)$ continuous nc functions. $B(\Gamma)$ bounded nc functions.

THEOREM (TAKESAKI-BICHTLER 1969)

C^* -algebra C , then $C \simeq C(\text{Rep}(C, H))$ and $C^{**} \simeq B(\text{Rep}(C, H))$.

Classical: $f \in C(K)$

nc function: $f : \Gamma \rightarrow \mathcal{M}$ is graded, respects \oplus , \mathcal{U} -equivariant:

- 1 $f(\Gamma_n) \subset \mathcal{M}_n$
- 2 $f(\sum \oplus x_i) = \sum \oplus f(x_i)$
- 3 $f(uxu^*) = uf(x)u^*$ for $x \in \Gamma_n$, $u \in \mathcal{M}_n$ unitary.

$C(\Gamma)$ continuous nc functions. $B(\Gamma)$ bounded nc functions.

THEOREM (TAKESAKI-BICHTLER 1969)

C^* -algebra C , then $C \simeq C(\text{Rep}(C, H))$ and $C^{**} \simeq B(\text{Rep}(C, H))$.

$C_{\max}^*(A)$ of Kirchberg-Wassermann 1998: universal C^* -algebra s.t. every u.c.p. map $x \in \Gamma$ extends to a $*$ -repn. δ_x of $C_{\max}^*(A)$.

THEOREM

$C_{\max}^*(A) \simeq C(\Gamma)$.

Classical: $x \in K$ has representing measures $\mu \in M(K)_1^+$:

$$\mu(a) = a(x) \quad \text{for } a \in A(K).$$

and x is the barycenter of μ .

Classical: $x \in K$ has representing measures $\mu \in M(K)_1^+$:

$$\mu(a) = a(x) \quad \text{for } a \in A(K).$$

and x is the barycenter of μ .

A **representing map** for $x \in \Gamma_n$ is $\mu \in \text{UCP}(C(\Gamma), \mathcal{M}_n(\mathcal{M}))$ such that $\mu|_{A(\Gamma)} = x$; and x is the **barycenter** of μ .

By Stinespring, $\mu = \alpha^* \delta_y \alpha$ for $y \in \Gamma_m$ and isometry $\alpha \in \mathcal{M}_{mn}$.

Say **(y, α) represents x** and **y dilates x** .

Classical: $x \in K$ has representing measures $\mu \in M(K)_1^+$:

$$\mu(a) = a(x) \quad \text{for } a \in A(K).$$

and x is the barycenter of μ .

A **representing map** for $x \in \Gamma_n$ is $\mu \in \text{UCP}(C(\Gamma), \mathcal{M}_n(\mathcal{M}))$ such that $\mu|_{A(\Gamma)} = x$; and x is the **barycenter** of μ .

By Stinespring, $\mu = \alpha^* \delta_y \alpha$ for $y \in \Gamma_m$ and isometry $\alpha \in \mathcal{M}_{mn}$.

Say **(y, α) represents x** and **y dilates x** .

x has **unique representing map** iff δ_x is only u.c.p. extension of x .

x is **maximal** if (y, α) represents $x \implies y = x \oplus z$.

Classical: $x \in K$ has representing measures $\mu \in M(K)_1^+$:

$$\mu(a) = a(x) \quad \text{for } a \in A(K).$$

and x is the barycenter of μ .

A **representing map** for $x \in \Gamma_n$ is $\mu \in \text{UCP}(C(\Gamma), \mathcal{M}_n(\mathcal{M}))$ such that $\mu|_{A(\Gamma)} = x$; and x is the **barycenter** of μ .

By Stinespring, $\mu = \alpha^* \delta_y \alpha$ for $y \in \Gamma_m$ and isometry $\alpha \in \mathcal{M}_{mn}$.

Say **(y, α) represents x** and **y dilates x** .

x has **unique representing map** iff δ_x is only u.c.p. extension of x .

x is **maximal** if (y, α) represents $x \implies y = x \oplus z$.

PROPOSITION

x had unique representing map iff x is maximal.

THEOREM (DRITSCHEL-McCULLOUGH 2005)

$x \in \Gamma$ has a maximal dilation y .

Classical: Extreme points ∂K of K .

$x \in \Gamma$ is **pure** if $x = \sum \alpha_j^* x_j \alpha_j \implies \alpha_j^* x_j \alpha_j \in \mathbb{R}x$.

x is **extreme** if it is pure and maximal (boundary representations).

$\text{nc_ext}(\Gamma) := \partial\Gamma$

Classical: Extreme points ∂K of K .

$x \in \Gamma$ is **pure** if $x = \sum \alpha_j^* x_j \alpha_j \implies \alpha_j^* x_j \alpha_j \in \mathbb{R}x$.

x is **extreme** if it is pure and maximal (boundary representations).

$\text{nc_ext}(\Gamma) := \partial\Gamma$

NC Krein-Milman theorem inspired by Webster-Winkler 1999.

THEOREM

Γ is the closed nc convex hull of $\partial\Gamma$.

Classical: Extreme points ∂K of K .

$x \in \Gamma$ is **pure** if $x = \sum \alpha_i^* x_i \alpha_i \implies \alpha_i^* x_i \alpha_i \in \mathbb{R}x$.

x is **extreme** if it is pure and maximal (boundary representations).

$\text{nc}_{\text{ext}}(\Gamma) := \partial\Gamma$

NC Krein-Milman theorem inspired by Webster-Winkler 1999.

THEOREM

Γ is the closed nc convex hull of $\partial\Gamma$.

Milman converse.

THEOREM

- 1 If $X \subset \Gamma$ closed
- 2 $x \in X_n$ and isometry $\alpha \in \mathcal{M}_{mn}$ implies that $\alpha^* x \alpha \in X$
- 3 and $\overline{\text{ncconv}(X)} = \Gamma$

then $X \supset \partial\Gamma$.

Classical: $f \in C(K)$ convex.

If $f \in C(K)$, the convex (lower) envelope is

$$\check{f} = \sup\{a \in A(K) : a \leq f\} = \bigcap_{a \leq f} \text{Epi}(a).$$

Classical: $f \in C(K)$ convex.

If $f \in C(K)$, the convex (lower) envelope is

$$\check{f} = \sup\{a \in A(K) : a \leq f\} = \bigcap_{a \leq f} \text{Epi}(a).$$

A **multivalued s.a. nc function** is upward directed: if

$F : \Gamma \rightarrow \mathcal{M}_n(\mathcal{M})$, then $F(x) = F(x) + \mathcal{M}_n(\mathcal{M}_p)^+$ for $x \in \Gamma_p$.

Classical: $f \in C(K)$ convex.

If $f \in C(K)$, the convex (lower) envelope is

$$\check{f} = \sup\{a \in A(K) : a \leq f\} = \bigcap_{a \leq f} \text{Epi}(a).$$

A **multivalued s.a. nc function** is upward directed: if

$F : \Gamma \rightarrow \mathcal{M}_n(\mathcal{M})$, then $F(x) = F(x) + \mathcal{M}_n(\mathcal{M}_p)^+$ for $x \in \Gamma_p$.

F is **nc convex** and **l.s.c.** if $\text{Graph}(F)$ is nc convex and closed.

The **nc convex envelope** of $F : \Gamma \rightarrow \mathcal{M}_n(\mathcal{M})$ is defined for $x \in \Gamma_p$ by

$$\bar{F}(x) = \bigcap_m \bigcap_{a \leq 1_m \otimes F} \{\alpha \in (\mathcal{M}_n(\mathcal{M}_p))_{sa} : a(x) \leq 1_m \otimes \alpha\}.$$

\bar{F} is nc convex, l.s.c. and $\bar{F} \leq F$.

Classical: $\check{f}(x) = \inf_{\mu \sim x} \mu(f)$, and inf is attained.

Classical: $\check{f}(x) = \inf_{\mu \sim x} \mu(f)$, and inf is attained.

The following is trivial classically, but difficult here.

THEOREM

If F is convex, then $\overline{F} = F$.

Classical: $\check{f}(x) = \inf_{\mu \sim x} \mu(f)$, and inf is attained.

The following is trivial classically, but difficult here.

THEOREM

If F is convex, then $\bar{F} = F$.

This relates the convex envelope to representing maps.

THEOREM

If $f \in \mathcal{M}_n(\mathbb{C}(\Gamma))$ and $x \in \Gamma_p$,

$$\bar{f}(x) = \bigcup_{\mu \sim x} [\mu(f), \infty).$$

Classical: Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f convex.
Relates measures with same barycenter x .

Classical: Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f convex.
Relates measures with same barycenter x .

Nc Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f nc convex.

Classical: Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f convex.
Relates measures with same barycenter x .

Nc Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f nc convex.

Dilation order: $\mu \prec_d \nu$ if

- 1 (x, α) represents μ
- 2 (y, β) represents ν , and
- 3 $\exists \gamma$ s.t. $x = \gamma^* y \gamma$ and $\beta = \gamma \alpha$.

Classical: Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f convex.
Relates measures with same barycenter x .

Nc Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f nc convex.

Dilation order: $\mu \prec_d \nu$ if

- 1 (x, α) represents μ
- 2 (y, β) represents ν , and
- 3 $\exists \gamma$ s.t. $x = \gamma^* y \gamma$ and $\beta = \gamma \alpha$.

This relates the dilation order with convex envelopes.

THEOREM

$$\mu(\bar{f}) = \bigcap_{\mu \prec_d \nu} [\nu(f), \infty).$$

Classical: Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f convex.
Relates measures with same barycenter x .

Nc Choquet order: $\mu \prec_c \nu$ if $\mu(f) \leq \nu(f)$ for all f nc convex.

Dilation order: $\mu \prec_d \nu$ if

- 1 (x, α) represents μ
- 2 (y, β) represents ν , and
- 3 $\exists \gamma$ s.t. $x = \gamma^* y \gamma$ and $\beta = \gamma \alpha$.

This relates the dilation order with convex envelopes.

THEOREM

$$\mu(\bar{f}) = \bigcap_{\mu \prec_d \nu} [\nu(f), \infty).$$

This is crucial.

THEOREM

$\mu \prec_c \nu$ if and only if $\mu \prec_d \nu$.

Classical: (Choquet 1956) If K is metrizable, each $x \in K$ has a representing measure supported on ∂K .

(Bishop-de Leeuw 1959) Every $x \in K$ has a representing measure pseudo-supported on ∂K , i.e. $\mu(f) = 0$ if f is a Baire function with $f|_{\partial K} = 0$.

Classical: (Choquet 1956) If K is metrizable, each $x \in K$ has a representing measure supported on ∂K .

(Bishop-de Leeuw 1959) Every $x \in K$ has a representing measure pseudo-supported on ∂K , i.e. $\mu(f) = 0$ if f is a Baire function with $f|_{\partial K} = 0$.

The **Baire-Pedersen algebra** $\mathfrak{B}(\Gamma)$ is the monotone completion of $C(\Gamma)$ in $B(\Gamma)$.

THEOREM (NC BISHOP-DE LEEUW)

If $x \in \Gamma$, then there is a dilation maximal μ representing x .

If $f \in \mathfrak{B}(\Gamma)$ with $f|_{\partial\gamma} = 0$, then $\mu(f) = 0$.

Classical: (Choquet 1956) If K is metrizable, each $x \in K$ has a representing measure supported on ∂K .

(Bishop-de Leeuw 1959) Every $x \in K$ has a representing measure pseudo-supported on ∂K , i.e. $\mu(f) = 0$ if f is a Baire function with $f|_{\partial K} = 0$.

The **Baire-Pedersen algebra** $\mathfrak{B}(\Gamma)$ is the monotone completion of $C(\Gamma)$ in $B(\Gamma)$.

THEOREM (NC BISHOP-DE LEEUW)

If $x \in \Gamma$, then there is a dilation maximal μ representing x .
If $f \in \mathfrak{B}(\Gamma)$ with $f|_{\partial\Gamma} = 0$, then $\mu(f) = 0$.

THEOREM (NC CHOQUET)

If A is separable and $x \in \Gamma$, there is an nc probability measure on $\partial\Gamma$ that represents x .

The end.