Every genus 1 algebraically slice knot is 1-solvable.

Christopher William Davis (The University of Wisconsin at Eau Calire) Joint with Carolyn Otto (UWEC), Taylor Martin (Sam Houston State) and Jung Hwan Park (Rice University)

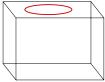
February 25, 2016

Outline

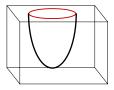
Setting: Concordance and the solvable filtration

- 2 The solvable filtration and surgery curves
- A modification lemma and counterexamples to a conjecture of Kauffman.
- An example of Litherland of a slice whitehead double.
- Severy genus 1 algebraically slice knot is 1-solvable.
- **o** String link infection and higher genus results

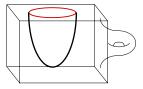
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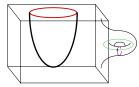
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$\{\text{topologically slice knots}\} \subseteq \dots \mathcal{F}_{n.5} \subseteq \mathcal{F}_n \subseteq \dots \mathcal{F}_1 \subseteq \mathcal{F}_{0.5} \subseteq \mathcal{F}_0$

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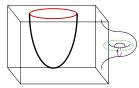
 $H_2(W) = \mathbb{Z}^{2k}$ has a basis consisting of surfaces $L_1, D_1, \ldots, L_k, D_k$ disjoint from Δ and each other except that $L_i \cap D_i = \{\text{pt.}\}$ and such that $\pi_1(L_i)$ and $\pi_1(D_i)$ sit in $\pi_1(W - \Delta)^{(n)}$.

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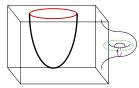


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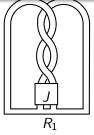
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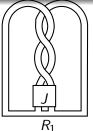
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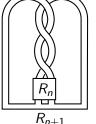
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Iterating this (and similar) constructions gives a \mathbb{Z}^{∞} in $\mathcal{F}_n/\mathcal{F}_{n.5}$. Since R_1 is automatically 1-solvable you can drop the 0-solvability assumption from the CHL examples.

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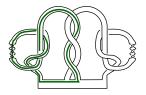
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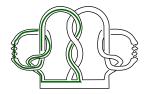
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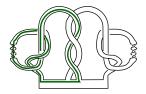
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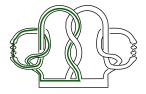


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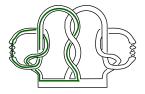


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- Conjecture (Kauffman) If K is slice then on every Seifert surface some surgery curve J is slice.

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K is Algebraically slice if and only if on a genus *g* Seifert surface *F* for *K* there exists a nonseperating *g*-component link called a set of surgery curves (or derivative) *J* for which the Seifert form vanishes: $lk(J_i, J_k^+) = 0$.



0. If J is slice, then you can perform amient surgery to replace F with a slice disk for K.

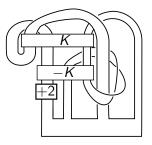
- COT: If J is *n*-solvable then K is n + 1-solvable.
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This conjecture is false (Cochran-D.) I will recall the counterexample, since it uses a technique which we generalize.

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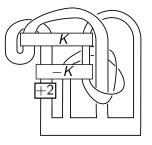
Infection as a means to Kauffman conjecture counterexamples

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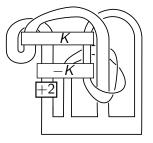
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The technique we use is infection.



We make use of a construction of knots called **infection**. Start with a knot K in S^3 and an unknotted curve η in the complement of K and an infecting knot J.



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Tool: Infection and the modification lemma

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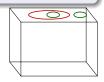
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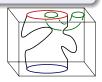
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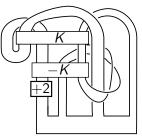
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• (Park) There is a similar theorem for surgery.

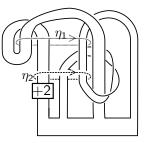
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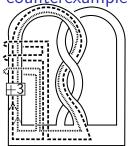
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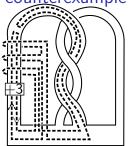
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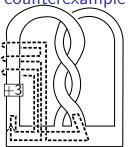
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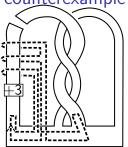
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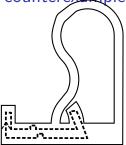
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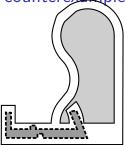
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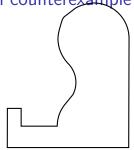
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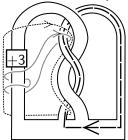
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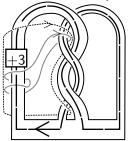
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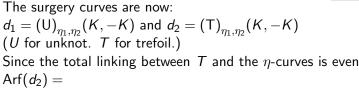
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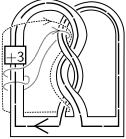
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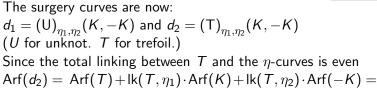


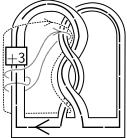
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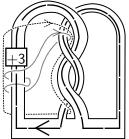
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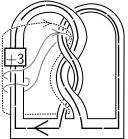
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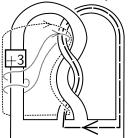
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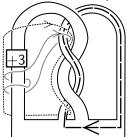
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 $\operatorname{Arf}(d_2) = \operatorname{Arf}(T) + \operatorname{lk}(T, \eta_1) \cdot \operatorname{Arf}(K) + \operatorname{lk}(T, \eta_2) \cdot \operatorname{Arf}(-K) = \operatorname{Arf}(T) = 1.$
Since the total linking between *U* and the η -curves is odd
 $\operatorname{Arf}(d_1) =$

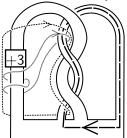
(Cochran-D.) There exists a slice knot with a genus 1 Seifert surface on which no surgery curve is even 0-solvable.



The surgery curves are now:

$$d_1 = (U)_{\eta_1,\eta_2}(K, -K)$$
 and $d_2 = (T)_{\eta_1,\eta_2}(K, -K)$
(U for unknot. T for trefoil.)
Since the total linking between T and the η -curves is even
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Since the total linking between U and the η -curves is odd
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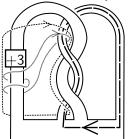


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(Cochran-D.) There exists a slice knot with a genus 1 Seifert surface on which no surgery curve is even 0-solvable.

To see that $R_{\eta_1,\eta_2}(K, -K)$ is slice, it suffices to find a concordance from R to the unknot (a slice disk) disjoint from an annulus bounded by η_1 and η_2 .



The surgery curves are now:

$$d_1 = (U)_{\eta_1,\eta_2}(K, -K)$$
 and $d_2 = (T)_{\eta_1,\eta_2}(K, -K)$
(*U* for unknot. *T* for trefoil.)
Since the total linking between *T* and the η -curves is even
 $\operatorname{Arf}(d_2) = \operatorname{Arf}(T) + \operatorname{lk}(T, \eta_1) \cdot \operatorname{Arf}(K) + \operatorname{lk}(T, \eta_2) \cdot \operatorname{Arf}(-K) = \operatorname{Arf}(T) = 1.$
Since the total linking between *U* and the η -curves is odd
 $\operatorname{Arf}(d_1) = \operatorname{Arf}(U) + \operatorname{lk}(U, \eta_1) \cdot \operatorname{Arf}(K) + \operatorname{lk}(U, \eta_2) \cdot \operatorname{Arf}(-K) = \operatorname{Arf}(K).$
As long as $\operatorname{Arf}(K) \neq 0$, neither d_1 nor d_2 is even 0-solvable.

We have a counterexample to Kauffman's slice conjecture.

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Image: A matrix

 $K_{\eta}(J)$ is given by cutting out a neighborhood of η and gluing in the complement of J.

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A modification to the modification lemma

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The modification lemma still holds, as long as one is OK with knots in homology spheres and concordances in homology cobordisms.

Theorem

Let η_1 and η_2 be framed curves in the complement of the knot R. Suppose that in the complement of a concordance from R to S η_1 and η_2 cobound a framed annulus. Then for any knot $J R_{\eta_1,\eta_2}(J, -J)$ is concordant to S (in a homology coordism)

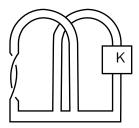
The proof is the exact same, only now we don't even try to prove that the new 4-manifold is $S^3 \times [0,1]$.

In 1979 Litherland produced a slice whitehead double (of a knot in a homology sphere)

It turns out you can recover exactly this example by modifying derivatives.

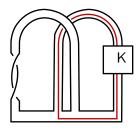
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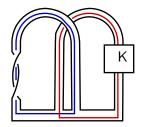
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Let δ be an intersection dual to that derivative.

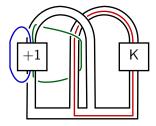


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Push δ off of the Seifert surface in the positive and negative directions: δ^+ , δ^- . Use the Seifert framings.



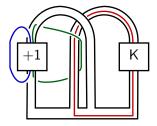
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 $R_{\delta^+,\delta^-}(J,-J)$ is (homology) concordant to WH(K), for any knot J (even a knot in a homology sphere.)



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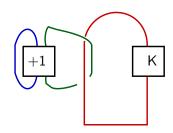
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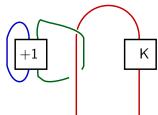
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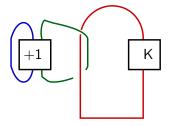
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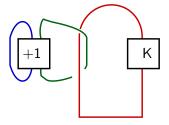
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Here is the surgery curve, $K_{\delta^+,\delta^-}(J, -J)$ If $K_{\delta^+,\delta^-}(J, -J)$ is slice then WH(K) is (homology) concordant to a (homology) slice knot.

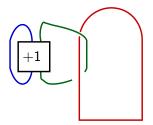
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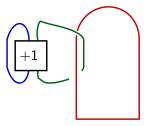
If $K_{\delta^+,\delta^-}(J, -J)$ is slice then WH(K) is (homology) concordant to a (homology) slice knot. This is a connected sum



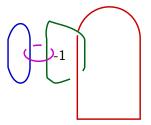
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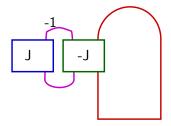
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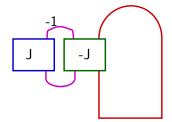
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If $K_{\delta^+,\delta^-}(J, -J)$ is slice then WH(K) is (homology) concordant to a (homology) slice knot. This is a connected sum If $K \cong -U_{\delta^+,\delta^-}(J, -J)$ then WH(K) is slice. (U for unknot) Isotope this around.



Corollary (Litherland, 1979)

The Whitehead double of (the concordance inverse of) this knot is slice in a homology ball.

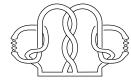
Remark: This knot has exactly the algebraic concordance class of J.

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Theorem (D.-Martin-Otto-Park)

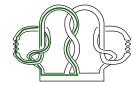
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Let *K* be a genus one algebraically slice knot with Seifert surface *F*. Let *J* be a surgery curve. If $\operatorname{Arf}(J) \equiv 0 \pmod{2}$ then *J* is 0-solvable so *K* is 1-solvable and then we are already done. Otherwise let δ be an intersection dual to *J* in *F*. δ^+ and δ^- cobound an annulus in the complement of *R* (and so also in the complement of a concordance from *K* to *K*.) So, for any knot *T*, $K_{\delta^+,\delta^-}(T, -T)$ is concordant to *K*.

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 $K_{\delta^+,\delta^-}(T,-T)$ has a 0-solvable surgery curve and so is 1-solvable. Since K is concordant to $K_{\delta^+,\delta^-}(T,-T)$, K is also 1-solvable

What if K has genus ≥ 2 ?

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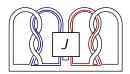
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Theorem

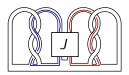
Let K be a genus 2 algebraically slice knot with surgery curves $J = J_1, J_2$, duals δ_1, δ_2 and 4×4 Seifert matrix (over this basis) $\begin{bmatrix} 0 & A \\ B & C \end{bmatrix}$.



 $\mathcal{F}_{0.5} = \mathcal{F}_1?$

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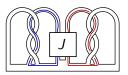
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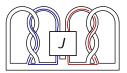
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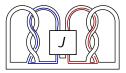


A genus 2 version of the theorem

Theorem

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Just as before, $\operatorname{Arf}(J_1) = \operatorname{Arf}(J_2) = 0$. if $\overline{\mu}_{1122}(J)$ is even then J is 0-solvable (Martin) and so K is 1-solvable. In the case that $\overline{\mu}_{1122}(J)$ is odd we need a string link version of the Modification lemma.





Let α be wedge of circles embedded the complement of a knot (or link) *R*. Let *T* be a pure string link (with zero linking number).





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Cut out α and glue in the complement of T so that meridians of α are glued to the longitudes of T.





Let α be wedge of circles embedded the complement of a knot (or link) *R*. Let *T* be a pure string link (with zero linking number).

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 $R_{\alpha}(T)$ is the image of R in the resulting homology sphere. (If α was unknotted and the longitudes of α were glued to the meridians of T then this is S^3)



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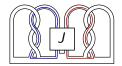
Theorem (The modification lemma)

Let $\eta_1 \cong V$ and $\eta_2 \cong V$ be wedges of circles in the complement of the knot R. Suppose that in the complement of a concordance from R to S η_1 and η_2 cobound a $V \times [0,1]$. Then for any pure string link T with zero linking numbers $R_{\eta_1,\eta_2}(T, -T)$ is concordant to S (in a homology cobordism)

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Proposition (D.-Otto-Martin-Park)

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Let *K* be a genus 2 algebraically slice knot with surgery curves $J = J_1, J_2$. Extend to a basis $\{J_1, J_2, \delta_1, \delta_2\}$ for $H_1(F)$. Let $\delta = \delta_1 \land \delta_2$ be the wedge of two circles. Let *T* be a string link with $\mu_{1122} = 1$. By the modification Lemma, *K* is concordant to $K_{\delta^+,\delta^-}(T, -T)$. $K_{\delta^+,\delta^-}(T, -T)$ has set of surgery curves $J' = J_{\delta^+,\delta^-}(T, -T)$. If $\overline{\mu}_{1122}(J')$ is even then J' is 0-solvable and *K* is 1-solvable.

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$$F \text{ has Seifert matrix } \begin{bmatrix} 0 & A \\ B & C \end{bmatrix}. \text{ Let } \mu_{1122}(T) = 1$$
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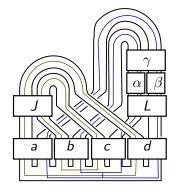
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A genus 2 algebraically slice link which might not be 1-solvable.

Let J and L be (pure linking number zero) string links. Here is an algebraically slice knot K with set of surgery curves J and Seifert matrix

$$\left[\begin{array}{cccc} 0 & 0 & a & b \\ 0 & 0 & c & d \\ a-1 & c & \beta & \gamma \\ b & d-1 & \gamma & \alpha \end{array} \right]$$

If $\mu_{iijj}(J)$ is even then K is 1 solvable.



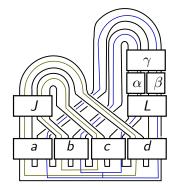
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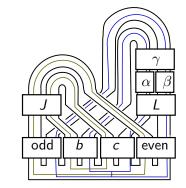
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If there is a genus 2 knot which is not 1-solvable then this is a candidate (J is the Whitehead link.)

There is nothing stopping us from trying this same strategy on a high genus knot. Martin gives a complete description of 0-solvability for links. (Arf, μ_{iijj} , $\mu_{ijk} \in \mathbb{Z}$)

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Image: A matrix and a matrix

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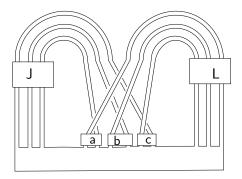
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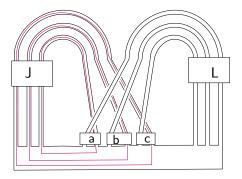
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I will close with an example of a algebraically slice knot which is 1-solvable. \odot

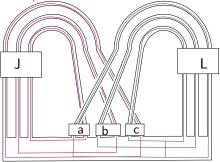
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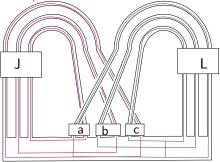
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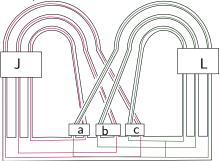
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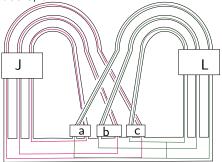


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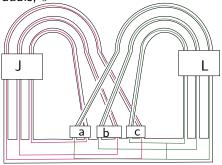
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Infection along δ^+ and δ^- changes $\mu_{123}(J)$ by q := ab+bc+ac-a-b-1. As long as $\overline{\mu}_{123}(J)$ is a multiple of q this can be used to kill $\mu_{123}(J)$ Unfortunately, $\overline{\mu}_{iijj}$ has now changes in some mysterious way.

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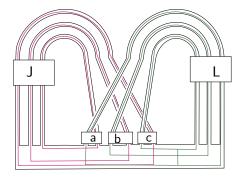
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As long as *a*, *b*, and *c* are all even or are all odd we can undo $\overline{\mu}_{1122}(J)$ using $\delta_1 \wedge \delta_2$, $\overline{\mu}_{1133}(J)$ using $\delta_1 \wedge \delta_3$, and $\overline{\mu}_{2233}(J)$ using $\delta_2 \wedge \delta_3$

genus 3 example

Corollary

Let q := ab + bc + ac - a - b - 1. If $\overline{\mu}_{123}(J)$ is a multiple of q and a, b, and c are all even or are all odd then K is 1-solvable.



Thanks for your attention!