# Convergence and Holomorphy of Kac-Moody Eisenstein Series 

## Kyu-Hwan Lee

joint work with L. Carbone, H. Garland, D. Liu and S. D. Miller.

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- Function field analogue (Braverman, Kazhdan, 2012)
- Rank 2 hyperbolic case (Carbone, Liu, L., 2013):
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- Study of special cases of $E_{9}, E_{10}, E_{11}$
- Question:


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- Today's Answer:

Yes, if we assume some interesting combinatorial conditions for the Kac-Moody groups.

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- String theory as will be explained in the talks of Persson and Kleinschmidt


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$\varpi_{i} \in \mathfrak{h}^{*}, i=1, \ldots, r$ : fundamental weights
$W$ : Weyl group generated by simple reflections $w_{i}, i \in I$
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\frac{e_{i}^{n}}{n!}\left(V_{\mathbb{Z}}\right) \subseteq V_{\mathbb{Z}} \quad \text { and } \quad \frac{f_{i}^{n}}{n!}\left(V_{\mathbb{Z}}\right) \subseteq V_{\mathbb{Z}} \quad \text { for } n \in \mathbb{N}, i \in I
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- $V_{\mathbb{R}}=\mathbb{R} \otimes_{\mathbb{Z}} V_{\mathbb{Z}}$

For $s, t \in \mathbb{R}$ and $i \in I$, set

$$
\chi_{\alpha_{i}}(s)=\sum_{n=0}^{\infty} s^{n} \frac{e_{i}^{n}}{n!}, \quad \chi_{-\alpha_{i}}(t)=\sum_{n=0}^{\infty} t^{n} \frac{f_{i}^{n}}{n!} .
$$

Then $\chi_{\alpha_{i}}(s)$ and $\chi_{-\alpha_{i}}(t)$ define elements $\operatorname{in} \operatorname{Aut}\left(V_{\mathbb{R}}\right)$.

- Set $G_{\mathbb{R}}^{0}=\left\langle\chi_{\alpha_{i}}(s), \chi_{-\alpha_{i}}(t): s, t \in \mathbb{R}, i \in I\right\rangle \subset \operatorname{Aut}\left(V_{\mathbb{R}}\right)$.
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- We have the Iwasawa decomposition

$$
G_{\mathbb{R}}=U A^{+} K
$$

with uniqueness of expression.

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We define for all $g \in G_{\mathbb{R}}$ the constant term

$$
E_{\lambda}^{\sharp}(g)=\int_{\Gamma \cap \cup \backslash U} E_{\lambda}(u g) d u .
$$

- Applying the Gindikin-Karpelevich formula, we obtain

$$
E_{\lambda}^{\sharp}(g)=\sum_{w \in W} a(g)^{w \lambda+\rho} c(\lambda, w),
$$

where

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c(\lambda, w)=\prod_{\alpha>0, w \alpha<0} \frac{\xi\left(\left\langle\lambda, \alpha^{\vee}\right\rangle\right)}{\xi\left(1+\left\langle\lambda, \alpha^{\vee}\right\rangle\right)},
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Using $\exp : \mathfrak{h} \rightarrow A^{+}$, set $A_{\mathcal{C}}=\exp \mathcal{C}$ and $A_{\mathfrak{C}}=\exp \mathfrak{C}$.

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c(\lambda, w)=\prod_{\alpha>0, w \alpha<0} \frac{\xi\left(\left\langle\lambda, \alpha^{\vee}\right\rangle\right)}{\xi\left(1+\left\langle\lambda, \alpha^{\vee}\right\rangle\right)},
$$

and $\xi(s)$ is the completed Riemann zeta function.

- $\mathcal{C}=\left\{x \in \mathfrak{h}:\left\langle\alpha_{i}, x\right\rangle>0, i \in I\right\}, \quad \mathfrak{C}: W$-orbit of $\mathcal{C}$

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- Applying the Gindikin-Karpelevich formula, we obtain

$$
E_{\lambda}^{\sharp}(g)=\sum_{w \in W} a(g)^{w \lambda+\rho} c(\lambda, w),
$$

where

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## Lemma (Looijenga)

Let $\mathcal{K}$ be a compact subset of $\mathfrak{C}$ and $\mu \in P \cap \mathfrak{C}^{*}$. If $A_{\mathcal{K}, \mu}(N)$ is the number of $\mu^{\prime} \in W \cdot\{\mu\}$ whose maximum on $\mathcal{K}$ is $\geq-N$, then $A_{\mathcal{K}, \mu}(N)=O\left(N^{r}\right)$ as $N \rightarrow \infty$.

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## Theorem

Assume that $\lambda \in \mathfrak{h}_{\mathbb{C}}^{*}$ with $\operatorname{Re}(\lambda)-\rho \in \mathcal{C}^{*}$. Then $E_{\lambda}^{\sharp}(g)$ converges absolutely for $g \in U A_{\mathfrak{c}} K$.

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## Corollary

For $\lambda \in \mathfrak{h}_{\mathbb{C}}^{*}$ with $\operatorname{Re}(\lambda)-\rho \in \mathcal{C}^{*}$, there exists a measure zero subset $S_{0}$ of $U \mathfrak{S}$ such that the series $E_{\lambda}(g)$ converges absolutely for $g \in U \subseteq K$ off the set $S_{0} K$, where $\mathfrak{S}$ is an arbitrary compact subset of $A_{\mathbb{C}}$.

## 4. Convergence of Eisenstein series

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- Assume that $\lambda-\rho \in \mathcal{C}^{*}$. Then there exists a constant $M>0$ depending on $\lambda$ such that, for $\alpha \in \Delta, x \in \mathbb{R}$ and $g=u a k \in G_{\mathbb{R}}$,

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\sum_{m \in \mathbb{Z}} a\left(w_{\alpha} u_{\alpha}(x+m) g\right)^{\lambda+\rho} \leq M a^{w_{\alpha}(\lambda+\rho)}\left(1+a^{\alpha}\right)
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where $a(g)$ is the $A^{+}$-component of $g$.

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- Using induction, we want to have, for $w=w_{\beta_{1}} \ldots w_{\beta_{\ell}}$,
(\&) $\sum_{m_{1}, \ldots, m_{\ell} \in \mathbb{Z}} a\left(w_{\beta_{1}} u_{\beta_{1}}\left(x_{1}+m_{1}\right) \cdots w_{\beta_{\ell}} u_{\beta_{\ell}}\left(x_{\ell}+m_{\ell}\right) g\right)^{\lambda+\rho}$

$$
\leq M^{\ell} a^{w^{-1}(\lambda+\rho)} \prod_{\alpha>0, w \alpha<0}\left(1+a^{\alpha}\right)
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Property $(\star)$ : Assume that $\lambda-\rho \in \mathcal{C}^{*}$. Every $w \neq \mathrm{id} \in W$ can be written as $w=v w_{\beta}$ where $\beta \in \Delta$ and $\ell(v)<\ell(w)$, such that for any subset $S$ of $\Phi_{+} \cap v^{-1} \Phi_{-}$one has

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- Use the inequality ( $\boldsymbol{\rho})$ and bound $E_{\lambda}(g)$ by its constant term.
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$A_{3}$ and $w$ the longest element.
- Property $(\star)$ is related to holomorphy of cuspidal Eisenstein series.


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- L: subgroup of $M(\mathbb{R})$ generated by $\chi_{ \pm \alpha}(t), \alpha \in \Delta_{M}, t \in \mathbb{R}$

Then we have $M=L H$.

- Using the Iwasawa decomposition $G_{\mathbb{R}}=N M K$, we define

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\operatorname{Iw}_{L}: G_{\mathbb{R}} \rightarrow L / L \cap K, \quad \operatorname{Iw}_{H^{+}}: G_{\mathbb{R}} \rightarrow H^{+} \cong H / H \cap K
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- For $s \in \mathbb{C}$, define the auxiliary Eisenstein series

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E(s, g)=\sum_{\gamma \in \Gamma \cap P \backslash \Gamma} \mathrm{Iw}_{H^{+}}(\gamma g)^{s \varpi \varpi_{p}}
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- For an unramified cusp form $f$ on $L(\mathbb{Z}) \backslash L(\mathbb{R})$, we define the cuspidal Eisenstein series

$$
E_{f}(s, g)=\sum_{\gamma \in \Gamma \cap P \backslash \Gamma} \operatorname{Iw}_{H^{+}}(\gamma g)^{s \varpi p} f\left(\operatorname{Iw}_{L}(\gamma g)\right)
$$

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## Definition

A maximal parabolic subgroup $P=M N$ with a finite dimensional Levi subgroup $M$ is said to be ample if there exist constants $C, D>0$ such that for every $w \in W^{M}, w \neq \mathrm{id}$,
(P1) $\left(C \varpi_{p}-\rho\right)\left(\alpha^{\vee}\right)>0$ for $\alpha \in \Phi_{w}^{\prime}$,
(P2) $\left(D \varpi_{P}+\rho_{M}\right)\left(\alpha^{\vee}\right)<0$ for $\alpha \in \Phi_{w}^{\prime}$,
(P3) $w^{-1}\left(D \varpi_{P}+\rho_{M}\right)$ is a positive linear combination of simple roots.

## Proposition

If $P$ satisfies condition (P1), then for $R e s \geq s_{0}$ and any compact subset $\mathfrak{S}$ of $A_{\mathfrak{C}}$, there exists a measure zero subset $S_{0}$ of US such that $E(s, g)$ converges absolutely for $g \in U \subseteq K$ off the set $S_{0} K$.

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## Theorem

If the maximal parabolic subgroup $P$ is ample, then for any compact subset $\mathfrak{S}$ of $A_{\mathfrak{C}}$, there exists a measure zero subset $S_{0}$ of $U \subseteq$ such that $E_{f}(s, g)$ is an entire function of $s \in \mathbb{C}$ for $g \in U \subseteq K$ off the set $S_{0} K$.

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- We use rapid decay of cusp forms due to Miller and Schmid.
- Let $A_{1}=A \cap L \quad$ and $\quad A_{1}^{+} \cong A_{1} / A_{1} \cap K$.
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|f(g)| \leq C_{1} \operatorname{Iw}_{A_{1}^{+}}(g)^{-n \rho_{M}}
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- Take $n=\left(s_{0}-\operatorname{Re} s\right) / D>0$, with $D$ given in the definition of ample parabolic subgroup.
- Now we have

$$
\begin{aligned}
& \left|\operatorname{Iw}_{H^{+}}(\gamma g)^{s \varpi_{P}} f\left(\operatorname{Iw}_{L}(\gamma g)\right)\right| \\
\leq & C_{1} \operatorname{Iw}_{H^{+}}(\gamma g)^{(\operatorname{Res}) \varpi_{P}} \operatorname{Iw}_{A_{1}^{+}} \circ \operatorname{Iw}_{L}(\gamma g)^{-n \rho_{M}} \\
\leq & C_{1} \operatorname{Iw}_{H^{+}}(\gamma g)^{\left(\operatorname{Res} s \varpi_{P}\right.} \operatorname{Iw}_{H^{+}}(\gamma g)^{n D \varpi_{P}} \\
= & C_{1} \operatorname{Iw}_{H^{+}}(\gamma g)^{s_{0} \varpi_{P}} .
\end{aligned}
$$

## 7. Ample parabolic subgroups

## Proposition

Assume that $G$ is infinite dimensional.
If $\left\langle\alpha_{i}, \alpha^{\vee}\right\rangle \leq 0$ for any $\alpha_{i} \in \Delta, \alpha \in \Phi_{w}^{\prime}$ where $w^{-1} \alpha_{i}>0$,

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- The condition in the above proposition implies that the group $G$ satisfies Property ( $\star$ ).
- If $G$ is finite dimensional, then $G$ does not have any ample parabolic subgroup.
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- Feingold-Frenkel algebra: both maximal parabolic subgroups with finite dimensional Levi are ample.


## Thank You

