

Models of flocking with asymmetric interactions



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joint work with

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Emergent behavior in multi-particle systems
Banff, 25th of January 2012

Outline

- 1 A model with asymmetric interactions
 - The Cucker-Smale model
 - Drawbacks of the C-S model
 - A model with asymmetric interactions
- 2 Flocking for the new model
 - ℓ^∞ approach
 - Condition for flocking
 - Extension

What is flocking?

Nature gives many examples of flocking behavior.



There are two characteristics in a flock:

- the distance between individuals remains bounded (**bounded distance**),
- they all move in the same direction (**alignment**).

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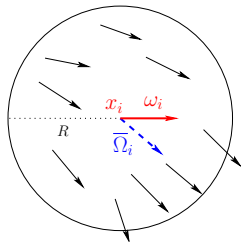
The Vicsek model

Discrete Vicsek model ('95)

$$x_i^{n+1} = x_i^n + \Delta t \omega_i^n$$

$$\omega_i^{n+1} = \bar{\Omega}_i^n + \epsilon$$

$$\text{with } \bar{\Omega}_i^n = \frac{\sum_{|x_j - x_i| < R} \omega_j^n}{\left| \sum_{|x_j - x_i| < R} \omega_j^n \right|}, \quad \epsilon \text{ noise.}$$



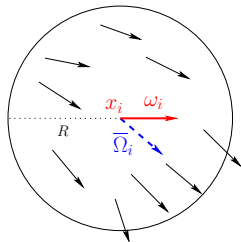
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Continuous Vicsek model ('08 Degond, M.)

$$\frac{dx_i}{dt} = \omega_i$$

$$d\omega_i = (\text{Id} - \omega_i \otimes \omega_i)(\nu \bar{\Omega}_i dt + \sqrt{2D} dB_t)$$

Video

The Cucker-Smale model

Cucker and Smale proposed a simple model:

- no noise ($\epsilon = 0$),
- no constraint on the velocity ($|\omega_i| \neq 1$),
- the mean velocity ($\bar{\Omega}_i$) is simply a sum.

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Cucker-Smale model '07

$$\frac{d\mathbf{x}_i}{dt} = \mathbf{v}_i, \quad \frac{d\mathbf{v}_i}{dt} = \frac{\alpha}{N} \sum_{j=1}^N \phi_{ij}(\mathbf{v}_j - \mathbf{v}_i), \quad (1)$$

where $\alpha > 0$ and ϕ_{ij} is the *influence* of agent j on agent i :

$$\phi_{ij} := \phi(|\mathbf{x}_j - \mathbf{x}_i|).$$

with $\phi(\cdot)$ a positive decreasing function (ex: $\phi(r) = \frac{1}{1+r}$).

Video

Flocking for the C-S model

Def. $\{x_i(t), v_i(t)\}_{1 \leq i \leq N}$ converges to a **flock** if we have:

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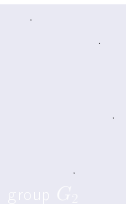
Thm. *If the influence function ϕ decays slowly enough:*

$$\int_0^{\infty} \phi(r) dr = +\infty,$$

*then the C-S model converges to a **flock**.*

Ref. Cucker-Smale ('07), Ha-Tadmor ('08),
Carrillo-Fornasier-Rosado-Toscani ('09), Ha-Liu ('09).

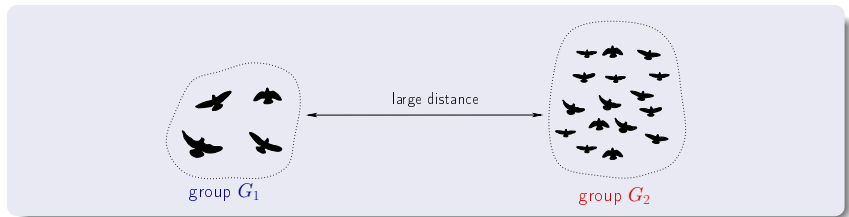
Drawbacks of the C-S model



In the “small” group G_1 alone:

$$\frac{d\mathbf{v}_i}{dt} = \frac{\alpha}{N_1} \sum_{j=1}^{N_1} \phi_{ij}(\mathbf{v}_j - \mathbf{v}_i)$$

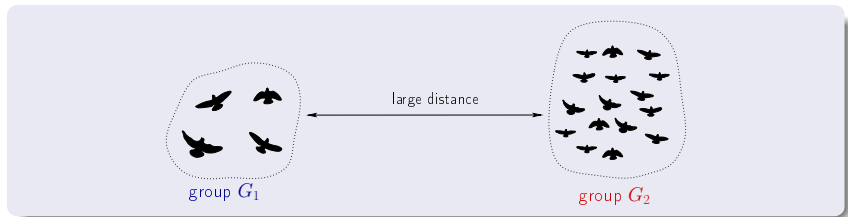
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In the “small” group G_1 with the “large” group G_2 :

$$\frac{d\mathbf{v}_i}{dt} = \frac{\alpha}{N_1 + N_2} \sum_{j=1}^{N_1+N_2} \phi_{ij}(\mathbf{v}_j - \mathbf{v}_i)$$

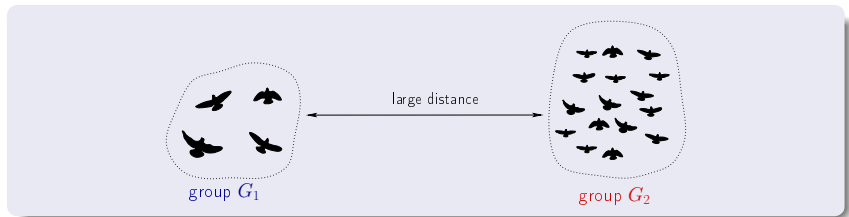
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$$\frac{d\mathbf{v}_i}{dt} = \frac{\alpha}{N_1 + N_2} \sum_{j=1}^{N_1+N_2} \phi_{ij}(\mathbf{v}_j - \mathbf{v}_i) \approx \frac{\alpha}{N_1 + N_2} \sum_{j=1}^{N_1} \phi_{ij}(\mathbf{v}_j - \mathbf{v}_i)$$

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We propose the following dynamical system:

$$\frac{d\mathbf{x}_i}{dt} = \mathbf{v}_i, \quad \frac{d\mathbf{v}_i}{dt} = \frac{\alpha}{\sum_{k=1}^N \phi_{ik}} \sum_{j=1}^N \phi_{ij} (\mathbf{v}_j - \mathbf{v}_i), \quad (2)$$

with $\phi_{ij} = \phi(|\mathbf{x}_j - \mathbf{x}_i|)$ and $\alpha > 0$.

The influence of the agent j on agent i is weighted by **the total influence**, $\sum_{k=1}^N \phi_{ik}$, exerted on agent i .

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Remark. If $\phi_{ij} \approx \phi_0 \Rightarrow$ the C-S dynamics. Otherwise the model better captures strongly “*non-homogeneous*” scenario.

Asymmetric interactions

The model can be written as:

$$\frac{d\mathbf{x}_i}{dt} = \mathbf{v}_i, \quad \frac{d\mathbf{v}_i}{dt} = \alpha \sum_{j=1}^N a_{ij} (\mathbf{v}_j - \mathbf{v}_i),$$

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The **total momentum** ($\bar{\mathbf{v}} = \frac{1}{N} \sum_i \mathbf{v}_i$) is **not preserved** in the model!

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l^∞ approach

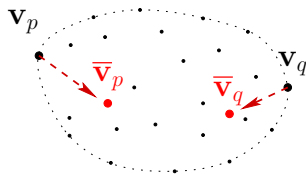
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Take p, q such that:

$$d_V = |\mathbf{v}_p - \mathbf{v}_q|$$



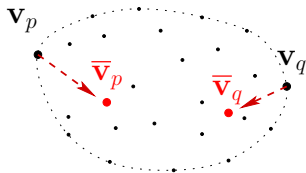
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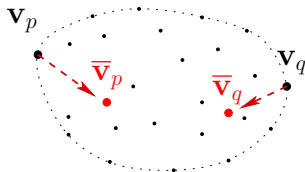
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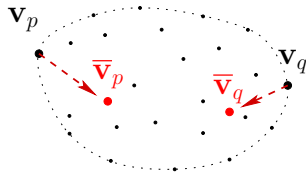
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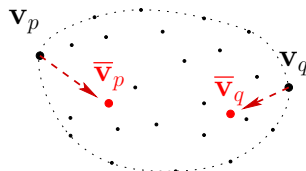
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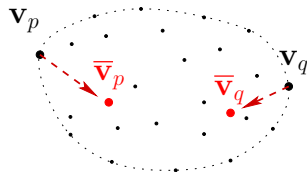
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Lemma

Lemma. Let S be an *antisymmetric* matrix bounded by M , u, w be two positive vectors ($u_i, w_i \geq 0$) satisfying $\sum_i u_i = \sum_j w_j = 1$. Then,

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$$\left| \sum_{i,j} S_{ij} u_i w_j \right| \leq M (1 - \lambda^2(\theta) \theta^2),$$

where $\lambda(\theta)$ denotes the number of **“active entries”**

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Illustration.



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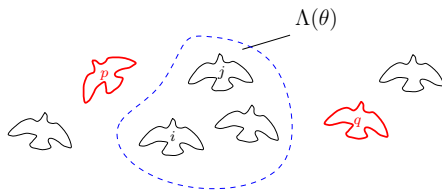
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Illustration.



Proposition

Fix $\theta > 0$. Then the diameters $d_X(t)$ and $d_V(t)$ satisfy,

$$\frac{d}{dt}d_X(t) \leq d_V(t) \quad , \quad \frac{d}{dt}d_V(t) \leq -\alpha \lambda^2(\theta) \theta^2 d_V(t).$$

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Proof. Applying the lemma with $|S_{ij}| \leq |\mathbf{v}_p - \mathbf{v}_q|$ yields:

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□

To conclude we need to find an appropriate θ for which we can *count* the number of “active entries” $\lambda(\theta)$.

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If the influence function ϕ decays slowly enough:

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Thus, $\lambda(\theta) = N$.

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Thus, $\lambda(\theta) = N$. Applying the proposition gives:

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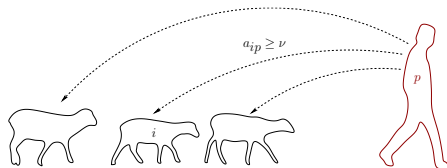
$$\begin{aligned} \mathcal{E} \text{ decreasing in time} &\Rightarrow d_X(t) \text{ bounded} \\ &\Rightarrow d_V(t) \rightarrow 0 \text{ expo. fast.} \end{aligned}$$

Extension

- Applications to other models

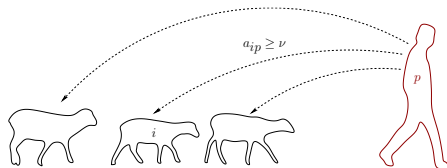
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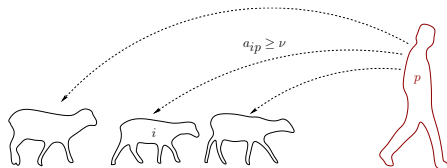
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- Cucker-Smale model
- Consensus model...
- Kinetic and macroscopic equations
 - Kinetic equation: $\partial_t f + v \cdot \nabla_x f + \nabla_v (Ff) = 0$.
 - Fluid equation:

$$\partial_t \rho + \nabla_x \cdot (\rho \mathbf{u}) = 0$$

$$\partial_t (\rho \mathbf{u}) + \nabla_x \cdot (\rho \mathbf{u} \otimes \mathbf{u}) = \mathbf{S}(\mathbf{u}).$$

Conclusion & Perspectives

Summary

- Introduction of a **asymmetric** model of flocking
⇒ *lack of conservation and “emergence” of a flock*
- Use of a ℓ^∞ **approach** to study its asymptotic behavior
⇒ *Explicit condition on ϕ for the emergence of flocking*

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⇒ *Explicit condition on ϕ for the emergence of flocking*

Perspectives

- Existence and uniqueness for the **kinetic equation**
joint work with E. Boissard
- Study the dynamics when ϕ has only a **compact support**
joint work with E. Tadmor