## Finite Element Approximations of Nonlinear Eigenvalue Problems in Density Functional Models

#### Aihui Zhou

LSEC, Institute of Computational Mathematics and Scientific/Engineering Computing Academy of Mathematics and Systems Science Chinese Academy of Sciences and National Center for Mathematics and Interdisciplinary Sciences, CAS

A joint work with Huajie Chen, Xingao Gong, and Lianhua He

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A posteriori error analysis and adaptive finite element computing





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- 4 Numerical experiments

The ground state energy  $E_0$  of a many-body system can be obtained by

$$E_0 = \mathscr{E}(\rho_0) = \min\left\{\mathscr{E}(\rho) : \rho \ge 0, \sqrt{\rho} \in H^1(\mathbb{R}^3), \int_{\mathbb{R}^3} \rho = N\right\},\$$

where  $\rho_0$  is the density of the ground state and

$$\mathscr{E}(\rho) = T_{s}(\rho) + \mathscr{E}_{ext}(\rho) + \mathscr{E}_{H}(\rho) + \mathscr{E}_{xc}(\rho)$$

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with

$$\mathscr{E}_{ext}(\rho) = -\int_{\mathbb{R}^3} \sum_{i=1}^M \frac{Z_i}{|x - r_i|} \rho(x) dx$$
$$\mathscr{E}_{H}(\rho) = \frac{1}{2} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \frac{\rho(x)\rho(y)}{|x - y|} dx dy$$
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## Orbital-free methods

Kinetic energy  $T_s$  can be approximated by

• Thomas-Fermi (TF) kinetic energy (1927):

$$\mathcal{T}_{TF}(
ho)=\mathcal{C}_{TF}\int_{\mathbb{R}^3}
ho^{rac{5}{3}}$$

 Thomas-Fermi von Weizsäcker (TFvW) kinetic energy (1935):

$$T_{TFW}(
ho) = T_{TF}(
ho) + rac{\xi}{8} \int_{\mathbb{R}^3} rac{|
abla 
ho|^2}{
ho}$$

• Wang-Teter (WT) kinetic energy (1992):

$$T_{WT}^{lpha,eta}(
ho)=T_{TFW}+\int_{\mathbb{R}^3}\int_{\mathbb{R}^3}K(|r-r'|)
ho^lpha(r)
ho^eta(r')drdr'.$$

Wang-Govind-Carter (WGC) kinetic energy (1999):

$$T_{WGC}^{\alpha,\beta,\gamma}(\rho) = T_{TFW} + \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} K\left(\zeta(\gamma,r,r'), |r-r'|\right) \rho^{\alpha}(r) \rho^{\beta}(r') dr dr'.$$

#### Nonlinear eigenvalue problems

A model nonlinear eigenvalue problem:

$$\left\{ \begin{array}{rcl} \left(-\alpha \Delta + V + \mathcal{N}(u^2)\right) u &=& \lambda u \quad \text{in} \quad \Omega, \\ \\ u &=& 0, \\ \\ \int_{\Omega} |u|^2 &=& Z, \end{array} \right.$$

where  $\Omega \subset \mathbb{R}^3$  is a bounded domain,  $Z \in \mathbb{N}, \ \alpha \in (0, \infty), \ V : \Omega \to \mathbb{R}$  is a given function,  $\mathcal{N}$  maps a nonnegative function over  $\Omega$  to some function defined on  $\Omega$ .

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The associated energy functional can be formulated as

$$E(u) = \int_{\Omega} \left( \alpha |\nabla u(x)|^2 + V(x)u^2(x) + \mathcal{E}(u^2(x)) \right) dx + \frac{1}{2q} \int_{\Omega} \int_{\Omega} u^{2q}(x)u^{2q}(y)K(x-y)dxdy.$$

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#### • A. Zhou (Nonlinearity, 2004; MMAS, 2007)

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- H. Chen, L. He, and A. Zhou (preprint, 2010) local isomorphism condition, convergence rates, TFW type and Kohn-Sham models

#### Existing work on a posteriori error analysis:

 H. Chen, X. Gong, L. He, and A. Zhou (arXiv, 2010/AAMM, 2011) second-order optimality condition, Orbital-free model

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#### Existing work on a posteriori error analysis:

- H. Chen, X. Gong, L. He, and A. Zhou (arXiv, 2010/AAMM, 2011) second-order optimality condition, Orbital-free model
- W. Hackbusch, H.J. Flad, R. Schneider, and S. Schwinger (preprint, 2010)

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second-order optimality condition, Hartree-Fock model etc

#### **Obital-free DFT**

A priori error analysis:

- Convergence of finite dimensional eigenpair approximations, nonconvex energy functional
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- A posteriori error analysis:
  - Convergence of adaptive finite element eigenpair approximations, nonconvex energy functional
  - Convergence rate and complexity of adaptive finite element eigenpair approximations, local isomorphism condition + simple/nondegenerate eigenvalue

#### Kohn-Sham DFT

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## 2 A priori error analysis of finite dimensional approximations

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## Ground state

The ground state solution u can be obtained by minimizing the associated energy in the admissible class

$$\mathcal{A} \equiv \left\{ \psi \in \mathcal{H}^1_0(\Omega) \ : \ \|\psi\|^2_{0,\Omega} = Z, \ \psi \ge 0 \right\}.$$

Namely,

 $u \in \arg \min \{ E(\psi) : \psi \in \mathcal{A} \}$ .

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Namely,

$$u \in \arg \min \{ E(\psi) : \psi \in \mathcal{A} \} .$$

Introduce the set of the ground state solutions

$$\mathcal{U} = \left\{ u \in \mathcal{A} : E(u) = \min_{\psi \in \mathcal{A}} E(\psi) \right\}$$

and the set of ground state eigenvalues

 $\Lambda = \{\lambda \in \mathbb{R} : (\lambda, u) \text{ is an exact eigenpair and } u \in \mathcal{U} \}.$ 

We study approximations in class of finite dimensional subspaces  $X_n \subset H_0^1(\Omega)$   $(n = 1, 2, \cdots)$ :

$$u_n \in \arg\min\{E(\psi): \psi \in X_n \cap \mathcal{A}\}$$

and introduce  $U_n$ :

$$\mathcal{U}_n = \{u_n \in X_n \cap \mathcal{A} : E(\phi_n) = \min_{\psi \in X_n \cap \mathcal{A}} E(\psi)\}$$

and  $\Lambda_n$ :

 $\Lambda_n = \{\lambda_n \in \mathbb{R} : (\lambda_n, u_n) \text{ is a discretize eigenpair and } u_n \in \mathcal{U}_n\}.$ 

#### Convergence

#### Theorem (Chen, Gong, and Zhou (MMAS, 2010))

Under some reasonable assumptions, there hold

$$\lim_{n\to\infty}\mathcal{D}_{H^1}(\mathcal{U}_n,\mathcal{U})=0,$$

 $\lim_{n\to\infty} E_n = \min_{\psi\in\mathcal{A}} E(\psi),$ 

where  $E_n = E(\phi_n)$  ( $\forall \phi_n \in U_n$ ). Moreover,

 $\lim_{n\to\infty}\mathcal{D}(\Lambda_n,\Lambda)=0.$ 

Here for  $\mathcal{W}, \mathcal{V} \subset H_0^1(\Omega)$ ,

$$\mathcal{D}_{H^{1}}(\mathcal{W},\mathcal{V}) = \sup_{\phi \in \mathcal{W}} \inf_{\psi \in \mathcal{V}} \|\phi - \psi\|_{1,\Omega};$$

and for  $A, B \subset \mathbb{R}$ ,

$$\mathcal{D}(A,B) = \sup_{a \in A} \inf_{b \in B} |a-b|.$$

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## All the limit points of finite dimensional eigenfunction/eigenvalue approximations are ground state solutions/eigenvalues.

#### Theorem (Chen, Gong, and Zhou (MMAS, 2010))

Under some reasonable assumptions, there hold

$$\mathcal{D}_{H^1}(\mathcal{U}_n,\mathcal{U}) \leq C\left(\mathcal{D}_{L^{\sigma}}(\mathcal{U}_n,\mathcal{U}) + \mathcal{D}_{H^1}(\mathcal{U},X_n)\right),$$

$$\mid E_n - E \mid \leq C \left( \mathcal{D}_{L^{\sigma}}(\mathcal{U}_n, \mathcal{U}) + \mathcal{D}_{H^1}^2(\mathcal{U}, X_n) \right),$$

where  $\sigma = 6/(3 - 2p_2)$ ,  $E = E(\phi)$  ( $\phi \in U$ ), and  $E_n = E(\phi_n)$  ( $\phi_n \in U_n$ ). Moreover,

$$\mathcal{D}(\Lambda_n,\Lambda) \leq C\left(\mathcal{D}_{L^{\sigma}}(\mathcal{U}_n,\mathcal{U}) + \mathcal{D}^2_{H^1}(\mathcal{U},X_n)\right).$$

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#### Assumptions for convergence rates

A.I.  $V \in L^2(\Omega)$ .

A.II.  $\mathcal{E} \in C^1([0,\infty), \mathbb{R}) \cap C^2((0,\infty), \mathbb{R})$  and  $\mathcal{E} \in \mathscr{P}(p, (c_1, c_2))$  satisfying one of the following conditions:

1. 
$$c_{1} \in (0, \infty);$$
  
2.  $p \in [0, 4/3];$   
3.  $c_{1} \in (-\infty, 0), p \in (4/3, \infty) \text{ and}$   
 $\frac{|c_{1}|}{\varkappa} Z^{p-1} < \inf_{u \in H_{0}^{1}(\Omega), ||u||_{0,\Omega}=1} \left( \int_{\Omega} |\nabla u|^{2} / \int_{\Omega} |u|^{2p} \right).$ 

A.III.  $\mathcal{N}_1(t) \in \mathscr{P}(p_1, (c_1, c_2))$  for some  $p_1 \in [0, 1]$ , and there exist  $q \in (1, 2]$ ,  $s \in [0, 5 - q]$  such that for all  $t_1, t_2 \in [0, \infty)$ , there holds

$$\begin{aligned} &|\mathcal{N}_1(t_1^2)t_1 - \mathcal{N}_1(t_2^2)t_1 - 2\mathcal{N}_1'(t_2^2)t_2^2(t_1 - t_2)| \\ &\leq \quad \mathcal{C}(1 + \max\{t_1^s, t_2^s\})|t_1 - t_2|^q. \end{aligned}$$

A.IV.  $\mathcal{N}'_{1}(t)t^{1/2} \in \mathscr{P}(p_{2}, (c_{1}, c_{2}))$  for some  $p_{2} \in [0, 1/2]$ . Here  $\mathcal{E}(s) = \int_{0}^{s} \mathcal{N}_{1}(t) dt$  and  $\mathscr{P}(p, (c_{1}, c_{2})) = \{f : \exists a_{1}, a_{2} \in \mathbb{R} \text{ such that } c_{1}t^{p} + a_{1} \leq f(t) \leq c_{2}t^{p} + a_{2} \forall t \geq 0\}.$ 

## Assumptions

A.V. For the ground state solution  $(\lambda, u)$ ,  $\mathcal{F}'_u(\lambda, u)$  is an isomorphism from  $H^1_0(\Omega)$  to  $H^{-1}(\Omega)$ , namely, there exists a constant  $\beta_0 > 0$  such that

$$\inf_{\nu \in H_0^1(\Omega)} \sup_{w \in H_0^1(\Omega)} \frac{\langle \mathcal{F}'_u(\lambda, u)w, v \rangle}{\|w\|_{1,\Omega} \|v\|_{1,\Omega}} \ge \beta_0;$$
(1)

 $\mathcal{F}'_{u}(\lambda, u)$  is invertible on  $u^{\perp} \equiv \{v \in H_{0}^{1}(\Omega) : (u, v) = 0\}$ , namely, there exists a constant  $\beta_{1} > 0$  such that

$$\inf_{\boldsymbol{\nu}\in\boldsymbol{u}^{\perp}}\sup_{\boldsymbol{w}\in\boldsymbol{u}^{\perp}}\frac{\langle\mathcal{F}_{\boldsymbol{u}}'(\boldsymbol{\lambda},\boldsymbol{u})\boldsymbol{w},\boldsymbol{v}\rangle}{\|\boldsymbol{w}\|_{1,\Omega}\|\boldsymbol{v}\|_{1,\Omega}}\geq\beta_{1}.$$
(2)

As a result of Assumption A.V., *u* is an isolated solution.

A sufficient condition of Assumption A.V. being true is that

$$\langle \mathcal{F}'_{u}(\lambda, u)v, v \rangle \geq C^{-1} \|\nabla v\|^{2}_{0,\Omega} \quad \forall \ v \in H^{1}_{0}(\Omega)$$
(3)

holds for some constant C > 0, which has been proved to be satisfied by some TFW models that are of convex functional (see Cances, Chakir, and Maday (preprint, 2009/JSC, 2010)).

 Indeed, a priori error estimate in H<sup>1</sup>-norm can be obtained under assumption (2) instead of Assumption A.V. For any  $(\lambda, u) \in \mathbb{R} \times H_0^1(\Omega)$ , define  $\mathcal{F} : \mathbb{R} \times H_0^1(\Omega) \to H^{-1}(\Omega)$  by

$$\langle \mathcal{F}(\lambda, u), v \rangle = \alpha(\nabla u, \nabla v) + (Vu + \mathcal{N}(u^2)u - \lambda u, v) \quad \forall \ v \in H^1_0(\Omega).$$

The Fréchet derivative of  $\mathcal{F}$  with respect to u at  $(\lambda, u)$  is denoted by  $\mathcal{F}'_u(\lambda, u) : H^1_0(\Omega) \to H^{-1}(\Omega)$ , where

$$\langle \mathcal{F}'_{u}(\lambda, u)v, w \rangle = \alpha(\nabla v, \nabla w) + ((V + \mathcal{N}(u^{2}) - \lambda)v, w)$$
$$+ 2(\mathcal{N}'_{1}(u^{2})u^{2}v, w) + 2D(uv, uw) \quad \forall \ w \in H^{1}_{0}(\Omega).$$

## Energy functional

The associated energy functional is

$$E(u) = \int_{\Omega} \left( \alpha |\nabla u(x)|^2 + V(x)u^2(x) + \mathcal{E}(u^2(x)) \right) dx + \frac{1}{2} D(u^2, u^2),$$

where  $\mathcal{E}:[0,\infty)\to\mathbb{R}$  is defined by

$$\mathcal{E}(s) = \int_0^s \mathcal{N}_1(t) dt$$

and  $D(\cdot, \cdot)$  is a bilinear form as follows

$$D(f,g) = \int_{\Omega} f(x)(r^{-1} * g)(x) dx.$$

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## Convergence theorem

#### Theorem (Chen, He, and Zhou (2010))

If  $h_0 \ll 1$  and Assumptions A.I.–A.V. hold, then

$$\|u - u_h\|_{1,\Omega} \le C \inf_{v \in S_0^h(\Omega)} \|u - v\|_{1,\Omega},$$
(4)

$$\|u - u_h\|_{0,\Omega} \leq Cr(h)\|u - u_h\|_{1,\Omega},$$
 (5)

and

$$|\lambda - \lambda_h| \le Cr(h) \|u - u_h\|_{1,\Omega},\tag{6}$$

where  $r(h) = h + ||u - u_h||_{1,\Omega}^{q-1}$  with  $q \in (1,2]$  and  $r(h) \rightarrow 0$  as  $h \rightarrow 0$ .

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$$|\lambda - \lambda_h| \le Cr(h) \|u - u_h\|_{1,\Omega},\tag{6}$$

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where  $r(h) = h + ||u - u_h||_{1,\Omega}^{q-1}$  with  $q \in (1,2]$  and  $r(h) \rightarrow 0$  as  $h \rightarrow 0$ .

Some ground state solutions can be approximated well by finite dimensional approximations.

## Outline of proof

Proof. Three steps

 An identity (c.f. Babuska and Osborn (1989), Zhou (2004, 2007)) leads to

$$|\lambda - \lambda_h| \leq C \left( \|u - u_h\|_{1,\Omega}^2 + \|u - u_h\|_{0,\Omega} \right).$$

 The dual argument (c.f. Babuska and Osborn (1989), Cances, Chakir, and Maday (2009/2010)) yields

$$||u - u_h||_{0,\Omega} \leq Cr(h)||u - u_h||_{1,\Omega}.$$

 Linearization approach (c.f. Cances, Chakir, and Maday (2009/2010), Xu and Zhou (2001)) produces

$$\|u-u_{h}\|_{1,\Omega} \leq C\left(\inf_{v\in S_{0}^{h}(\Omega)}\|u-v\|_{1,\Omega}+\|u-u_{h}\|_{0,\Omega}+\|u-u_{h}\|_{1,\Omega}^{q}\right).$$

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Associated with eigenpair  $(\lambda, u)$ , we have a useful identity

$$\begin{aligned} &\frac{\alpha(\nabla v,\nabla v)+((V+\mathcal{N}(v^2))v,v)}{(v,v)}-\lambda\\ &= &\frac{\alpha(\nabla(v-u),\nabla(v-u))+((V+\mathcal{N}(u^2))(v-u),v-u)}{(v,v)}\\ &+\frac{((\mathcal{N}(v^2)-\mathcal{N}(u^2))v,v)}{(v,v)}-\lambda\frac{(v-u,v-u)}{(v,v)} \qquad \forall \ v\in H^1_0(\Omega). \end{aligned}$$

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## 1 Introduction

2 A priori error analysis of finite dimensional approximations

# A posteriori error analysis and adaptive finite element computing



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## Existing work for linear boundary value problems

A posteriori error analysis and adaptive finite element methods:

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Convergence, Poisson-Boltzmann equation

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M. Garau, P. Morin and C. Zuppa, M<sup>3</sup>AS(2009).
 Convergence, linear symmetric elliptic eigenvalue

Solve  $\rightarrow$  Estimate  $\rightarrow$  Mark  $\rightarrow$  Refine

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Solve  $\rightarrow$  Estimate  $\rightarrow$  Mark  $\rightarrow$  Refine

#### Algorithm

Choose parameter  $0 < \theta < 1$ .



Solve  $\rightarrow$  Estimate  $\rightarrow$  Mark  $\rightarrow$  Refine

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#### Algorithm

Choose parameter  $0 < \theta < 1$ .

- **1** Pick up any initial mesh  $T_0$ .
- **2** Solve the system on  $\mathcal{T}_0$  for the discrete solution  $(\Lambda_0, \mathcal{U}_0)$ .

Solve  $\rightarrow$  Estimate  $\rightarrow$  Mark  $\rightarrow$  Refine

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- Sonstruct  $\hat{\mathcal{T}}_k \subset \mathcal{T}_k$  by Marking Strategy and parameters  $\theta$ .

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Sefine  $T_k$  to get a new conforming mesh  $T_{k+1}$  by Procedure **REFINE**.

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- Sefine  $T_k$  to get a new conforming mesh  $T_{k+1}$  by Procedure **REFINE**.
- Let k = k + 1 and go to Step 2.

#### Estimator

Let  $T_h$  be a finite element mesh and  $u_h$  be a finite element solution. Define

$$\mathcal{R}_{T}(u_{h}) := \lambda_{h}u_{h} + \alpha \Delta u_{h} - Vu_{h} - \mathcal{N}(u_{h}^{2})u_{h} \text{ in } T \in \mathcal{T}_{h},$$
$$J_{e}(u_{h}) := \alpha \nabla u_{h}|_{\mathcal{T}_{1}} \cdot \overrightarrow{n_{1}} + \alpha \nabla u_{h}|_{\mathcal{T}_{2}} \cdot \overrightarrow{n_{2}} = [[\alpha \nabla u_{h}]]_{e} \cdot \overrightarrow{n_{1}} \text{ on } e \in \mathcal{E}_{h}.$$

where  $T_1$  and  $T_2$  are elements in  $T_h$  which share *e* and  $\overrightarrow{n_i}$  is the outward normal vector of  $T_i$  on *E* for i = 1, 2.

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where  $T_1$  and  $T_2$  are elements in  $T_h$  which share e and  $\overrightarrow{n_i}$  is the outward normal vector of  $T_i$  on E for i = 1, 2. Define a local error indicator  $\eta_h(u_h, T)$  by

$$\eta_{h}^{2}(u_{h},T) := h_{T}^{2} \|\mathcal{R}_{T}(u_{h})\|_{0,T}^{2} + \sum_{e \in \mathcal{E}_{h}, e \subset \partial T} h_{e} \|J_{e}(u_{h})\|_{0,e}^{2}$$
(7)

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and a global error estimator  $\eta_h(u_h, \Omega)$  by

$$\eta_h^2(u_h,\Omega) := \sum_{T \in \mathcal{T}_h, T \subset \Omega} \eta_h^2(u_h,T).$$

#### **Marking Strategy**

Given a parameter  $0 < \theta < 1$  :

• Construct a minimal subset  $\hat{T}_H$  of  $T_H$  by selecting some elements in  $T_H$  such that

$$\sum_{T\in\hat{T}_H}\eta_H^2(u_H,T)\geq \theta\eta_H^2(u_H,\Omega).$$

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2 Mark all the elements in  $\hat{T}_H$ .

Convergence

#### Theorem (Chen, Gong, He, and Zhou (arXiv, 2010/AAMM, 2011))

Given a sufficiently fine initial mesh  $\mathcal{T}_0$ . If  $\{\mathcal{U}_k\}_{k\in\mathbb{N}}$  is the sequence of adaptive finite element approximations, then

$$\lim_{k\to\infty} E_k = \min_{\nu\in\mathcal{A}} E(\nu),$$
$$\lim_{k\to\infty} \mathcal{D}_{H^1}(\mathcal{U}_k,\mathcal{U}) = 0,$$

and

$$\lim_{k\to\infty}\mathcal{D}(\Lambda_k,\Lambda)=0.$$

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and

$$\lim_{k\to\infty}\mathcal{D}(\Lambda_k,\Lambda)=0.$$

If the nonnegative ground states are unique, then

$$\lim_{k \to \infty} \|\phi_k - \phi\|_{1,\Omega} = 0,$$
$$\lim_{k \to \infty} |\lambda_k - \lambda| = 0.$$

#### Convergence rate

Assume that Assumptions A.I.–A.V. hold (for instance, the energy functional is convex with respect to the density). Let  $(\lambda, u) \in \mathbb{R} \times \mathcal{A}$  be the ground state solution.

#### Convergence rate

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#### Theorem (Chen, He, and Zhou (2010))

Given a sufficiently fine initial mesh  $\mathcal{T}_0$  and  $\theta \in (0, 1)$ . If  $\{u_k\}_{k \in \mathbb{N}}$  is the sequence of adaptive finite element approximations, then there exist constants  $\gamma > 0$  and  $\xi \in (0, 1)$  depending only on the shape regularity constant and the marking parameter  $\theta$  such that

$$\|u - u_{k+1}\|_{a,\Omega}^{2} + \gamma \eta_{k+1}^{2}(u_{k+1}, \Omega) \\ \leq \xi^{2} (\|u - u_{k}\|_{a,\Omega}^{2} + \gamma \eta_{k}^{2}(u_{k}, \Omega)).$$
(8)

#### Consequently

$$\lim_{k\to\infty} \left( \|u_k - u\| + \|\lambda_k - \lambda\| \right) = 0.$$

## Notation

Define

$$\mathcal{A}^{\boldsymbol{s}} := \{ \boldsymbol{v} \in H^1_0(\Omega) : |\boldsymbol{v}|_{\boldsymbol{s},*} < \infty \},$$

where  $\gamma > 0$  is some constant,

$$|v|_{s,*} = \sup_{\varepsilon > 0} \varepsilon \inf_{\{\mathcal{T} \subset \mathcal{T}_0 : \inf(\|v - v'\|_{a,\Omega}^2 + 2osc_{\mathcal{T}}^2(v',\mathcal{T}))^{1/2} \le \varepsilon\}} (\#\mathcal{T} - \#\mathcal{T}_0)^s.$$

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#### Notation

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where  $\gamma > 0$  is some constant,

Define element oscillation  $osc_h(u_h, \tau)$  by

$$osc_h^2(u_h, \tau) := h_{\tau}^2 \|\mathcal{R}_{\tau}(u_h) - \overline{\mathcal{R}_{\tau}(u_h)}\|_{0,\tau}^2$$

and patch oscillation  $osc_h(u_h, \omega)$  by

$$\mathit{osc}_{h}^{2}(\mathit{u}_{h},\omega):=\sum_{ au\in\mathcal{T}_{h}, au\subset\omega}\mathit{osc}_{h}^{2}(\mathit{u}_{h}, au),$$

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where  $\omega \subset \Omega$ .

## Complexity

Assume that Assumptions A.I.–A.V. hold (for instance, the energy functional is convex with respect to the density). Let( $\lambda, u$ )  $\in \mathbb{R} \times A$  be the ground state solution.

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#### Theorem (Chen, He, and Zhou (2010))

Given a sufficiently fine initial mesh  $\mathcal{T}_0$ , and  $u \in \mathcal{A}^s$ . If  $\{u_k\}_{k \in \mathbb{N}}$  is the sequence of adaptive finite element approximations, then there exist constants  $\gamma > 0$  and  $\xi \in (0, 1)$  depending only on the shape regularity constant and the marking parameter  $\theta$  such that

$$\|u-u_k\|_{a,\Omega}^2+\gamma osc_k^2(u_k,\mathcal{T}_k)\leq C(\#\mathcal{T}_k-\#\mathcal{T}_0)^{-2s}|u|_{s,*}^2.$$

Consequently,

$$|\lambda - \lambda_k| \leq \mathcal{C} (\#\mathcal{T}_k - \#\mathcal{T}_0)^{-2s} |u|_{s,*}^2.$$

## 1 Introduction

2 A priori error analysis of finite dimensional approximations

3 A posteriori error analysis and adaptive finite element computing





## TFW model for helium atoms

Find  $(\lambda, u) \in \mathbb{R} \times H^1_0(\Omega)$  such that  $||u||^2_{0,\Omega} = 2$  and

$$\begin{cases} -\frac{1}{10}\Delta u - \frac{2}{|x|}u + u \int_{\Omega} \frac{|u(y)|^2 dy}{|x-y|} + \frac{5}{3}C_{TF}u^{7/3} + v_{xc}(u^2)u = \lambda u \quad \text{in } \Omega, \\ u = 0 \quad \text{on } \partial\Omega, \end{cases}$$

where  $\Omega = (-5.0, 5.0)^3$ .



Figure: Left: Convergence curves of energy for the helium atom. Right: Reduction of the a posteriori error estimators.

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## TFW-GHN model for an aluminum cluster

Find  $(\lambda, u) \in \mathbb{R} \times H_0^1(\Omega)$  such that  $||u||_{0,\Omega}^2 = 172$  and

$$\begin{cases} -\frac{1}{10}\Delta u + V_{pseu}^{GHN}u + u\int_{\Omega}\frac{|u(y)|^2dy}{|x-y|} + \frac{5}{3}C_{TF}u^{7/3} + v_{xc}(u^2)u &= \lambda u \quad \text{in }\Omega, \\ u &= 0 \quad \text{on }\partial\Omega, \end{cases}$$

where  $\Omega = (-25.0, 25.0)^3$ .



Figure: Left: Convergence curves of energy for the aluminium cluster in FCC lattice. Right: Reduction of the a posteriori error estimators.

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## Aluminium clusters in face-center-cubic (FCC) lattice

TFW model for simulating 172 atoms in FCC lattice.



Left: A contour plot of electron density on interior slice z = 0.

Right: The corresponding adaptive mesh.

# Thank You!

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