## Schubert Calculus and its Relation to Network Coding Algebraic Structure in Network Information Theory

Joachim Rosenthal

Institut für Mathematik
University of Zürich

## Grassmann variety and subspace codes

Let $V$ be a vector space of dimension $n$ over a field $\mathbb{F}$.

## Grassmann variety and subspace codes

Let $V$ be a vector space of dimension $n$ over a field $\mathbb{F}$.

## Definition

The Grassmann variety $\operatorname{Grass}(k, V)$ is the set of all $k$-dimensional subspaces $U \subset V$.

## Grassmann variety and subspace codes

Let $V$ be a vector space of dimension $n$ over a field $\mathbb{F}$.

## Definition

The Grassmann variety $\operatorname{Grass}(k, V)$ is the set of all $k$-dimensional subspaces $U \subset V$.

## Remark

A subset $\mathbf{C} \subset \operatorname{Grass}(k, V)$ can be viewed as a constant dimensional linear network code.

## Grassmann variety and subspace codes

Let $V$ be a vector space of dimension $n$ over a field $\mathbb{F}$.

## Definition

The Grassmann variety $\operatorname{Grass}(k, V)$ is the set of all $k$-dimensional subspaces $U \subset V$.

## Remark

A subset $\mathbf{C} \subset \operatorname{Grass}(k, V)$ can be viewed as a constant dimensional linear network code.

Question: Why is $\operatorname{Grass}(k, V)$ a variety?

## Plücker Embedding

Consider the vector space of alternating $k$-tensors $\wedge^{k} V$. Let $\mathbb{P}\left(\wedge^{k} V\right)$ be the projective space consisting of all lines in $\wedge^{k} V$.

## Plücker Embedding

Consider the vector space of alternating $k$-tensors $\wedge^{k} V$. Let $\mathbb{P}\left(\wedge^{k} V\right)$ be the projective space consisting of all lines in $\wedge^{k} V$. The Plücker embedding is defined through:

$$
\begin{align*}
\varphi: \quad \operatorname{Grass}(k, V) & \longrightarrow \mathbb{P}\left(\wedge^{k} V\right)  \tag{1}\\
\operatorname{span}\left(v_{1}, \ldots, v_{k}\right) & \longmapsto \mathbb{F} v_{1} \wedge \cdots \wedge v_{k} .
\end{align*}
$$

## Plücker Coordinates

Let $\left\{e_{1}, \ldots, e_{n}\right\}$ be a basis of $V$.

## Plücker Coordinates

Let $\left\{\boldsymbol{e}_{1}, \ldots, \boldsymbol{e}_{n}\right\}$ be a basis of $V$.
Then

$$
\left\{e_{i_{1}} \wedge \cdots \wedge e_{i_{k}} \mid 1 \leq i_{1}<\cdots<i_{k} \leq n\right\}
$$

is a basis of $\wedge^{\star} V$.

## Plücker Coordinates

Let $\left\{e_{1}, \ldots, e_{n}\right\}$ be a basis of $V$.
Then

$$
\left\{e_{i_{1}} \wedge \cdots \wedge e_{i_{k}} \mid 1 \leq i_{1}<\cdots<i_{k} \leq n\right\}
$$

is a basis of $\wedge^{k} V$.
Assume

$$
v_{i}=\sum_{j=1}^{n} a_{i j} e_{j}, i=1, \ldots, k
$$

Let $A$ be the $k \times n$ matrix $\left(a_{i, j}\right)$. The Plücker embedding writes:

$$
\begin{align*}
\varphi: \quad \operatorname{Mat}_{k \times n} & \longrightarrow \mathbb{P}\left(\wedge^{k} V\right)  \tag{2}\\
\operatorname{rowspace}(A) & \longmapsto \sum_{1 \leq i_{1}<\cdots<i_{k} \leq n} x_{i_{1}, \ldots, i_{k}} \cdot e_{i_{1}} \wedge \ldots \wedge e_{i_{k}} .
\end{align*}
$$

The coordinates $x_{\underline{i}}:=x_{i_{1}, \ldots, i_{k}}$ are called the Plücker coordinates of rowspace $(A)$.

## Shuffle Relations

## Theorem

$$
\begin{equation*}
\sum_{\lambda=1}^{k+1}(-1)^{\lambda} \cdot x_{i_{1}, \ldots, i_{k-1}, j_{\lambda}} \cdot x_{j_{1}, \ldots, \hat{j}_{\lambda}, \ldots, j_{k+1}}=0 \tag{3}
\end{equation*}
$$

describes the image of the Grassmannian in the projective space $\mathbb{P}\left(\wedge^{k} V\right)$

## Shuffle Relations

## Theorem

$$
\begin{equation*}
\sum_{\lambda=1}^{k+1}(-1)^{\lambda} \cdot x_{i_{1}, \ldots, i_{k-1}, j_{\lambda}} \cdot x_{j_{1}, \ldots, \hat{j}_{\lambda}, \ldots, j_{k+1}}=0 \tag{3}
\end{equation*}
$$

describes the image of the Grassmannian in the projective space $\mathbb{P}\left(\wedge^{k} V\right)$

## Example

Grass $\left(2, \mathbb{F}^{4}\right)$ is embedded in $\mathbb{P}^{5}$ and $\varphi(\operatorname{Grass}(2,4))$ is described by a single relation

$$
\begin{equation*}
x_{12} x_{34}-x_{13} x_{24}+x_{14} x_{23}=0 \tag{4}
\end{equation*}
$$

## Shuffle Relations

## Example

Grass $\left(2, \mathbb{F}^{5}\right)$ is embedded in $\mathbb{P}^{9}$ and the defining relations are:

$$
\begin{aligned}
& x_{12} x_{34}-x_{13} x_{24}+x_{14} x_{23}=0 \\
& x_{12} x_{35}-x_{13} x_{25}+x_{15} x_{23}=0 \\
& x_{12} x_{45}-x_{14} x_{25}+x_{15} x_{14}=0 \\
& x_{13} x_{45}-x_{14} x_{35}+x_{15} x_{34}=0 \\
& x_{23} x_{45}-x_{24} x_{35}+x_{25} x_{34}=0
\end{aligned}
$$

## Importance in Network Coding

Metric on Grassmannian: If $U, W \in \operatorname{Grass}(k, V)$ are two subspaces one defines its distance as:

$$
d(U, W):=\operatorname{dim}(U+W)-\operatorname{dim}(U \cap W)
$$

## Importance in Network Coding

Metric on Grassmannian: If $U, W \in \operatorname{Grass}(k, V)$ are two subspaces one defines its distance as:

$$
d(U, W):=\operatorname{dim}(U+W)-\operatorname{dim}(U \cap W)
$$

Question: What is the algebraic structure of the balls of radius $t$ around an element $W \in \operatorname{Grass}(k, V)$ ?

## Importance in Network Coding

Metric on Grassmannian: If $U, W \in \operatorname{Grass}(k, V)$ are two subspaces one defines its distance as:

$$
d(U, W):=\operatorname{dim}(U+W)-\operatorname{dim}(U \cap W) .
$$

Question: What is the algebraic structure of the balls of radius $t$ around an element $W \in \operatorname{Grass}(k, V)$ ?

Answer: $d(U, W) \leq t$ if and only if $\operatorname{dim}(U \cap W) \geq k-t / 2=: r$.

## Importance in Network Coding

Metric on Grassmannian: If $U, W \in \operatorname{Grass}(k, V)$ are two subspaces one defines its distance as:

$$
d(U, W):=\operatorname{dim}(U+W)-\operatorname{dim}(U \cap W) .
$$

Question: What is the algebraic structure of the balls of radius $t$ around an element $W \in \operatorname{Grass}(k, V)$ ?
Answer: $d(U, W) \leq t$ if and only if $\operatorname{dim}(U \cap W) \geq k-t / 2=: r$.

## Remark

The ball of radius $t$ around the subspace $W$ defines a so called Schubert variety:

$$
\{U \in \operatorname{Grass}(k, V) \mid d(U, W) \leq t\}
$$

## Geometric Questions of Schubert

Hermann Schubert studied in the 19th century geometric questions of the following type:

## Geometric Questions of Schubert

Hermann Schubert studied in the 19th century geometric questions of the following type:

Example
Given 4 lines in 3-space in general position. Is there a line intersecting all 4 lines.

## Geometric Questions of Schubert

Hermann Schubert studied in the 19th century geometric questions of the following type:

## Example

Given 4 lines in 3 -space in general position. Is there a line intersecting all 4 lines.

Answer Schubert: By Poncelet's principle of conservation of numbers we can assume lines 1 and 2 intersect and lines 3 and 4 intersect. So there are 2 solutions in general.

## Geometric Questions of Schubert

## Theorem (Schubert [Sch79])

Given $N:=k(n-k)$ linear subspace $U_{i}, i=1, \ldots, N$ in $V$ having dimension $k$ each. If the base field $\mathbb{F}$ is algebraically closed and the subspaces are in general position then there exist exactly

$$
\begin{equation*}
\frac{1!2!\cdots(k-1)!(N)!}{(n-k)!(n-k+1)!\cdots(n-1)!} \tag{5}
\end{equation*}
$$

subspaces $W$ of dimension ( $n-k$ ) intersecting each of the subspaces $U_{i}$ nontrivially.


## Hilbert Problem Number 15, Paris 1900

## Rigorous foundation of Schubert's enumerative calculus

The problem consists in this: To establish rigorously and with an exact determination of the limits of their validity those geometrical numbers which Schubert especially has determined on the basis of the so-called principle of special position, or conservation of number, by means of the enumerative calculus developed by him.
Although the algebra of today guarantees, in principle, the possibility of carrying out the processes of elimination, yet for the proof of the theorems of enumerative geometry decidedly more is requisite, namely, the actual carrying out of the process of elimination in the case of equations of special form in such a way that the degree of the final equations and the multiplicity of their solutions may be foreseen.


David Hilbert (1862-1943)

## Schubert Varieties

## Definition

A flag $\mathscr{F}$ is a sequence of nested subspaces

$$
\begin{equation*}
\{0\} \subset V_{1} \subset V_{2} \subset \ldots \subset V_{n}=V \tag{6}
\end{equation*}
$$

where we assume that $\operatorname{dim} V_{i}=i$ for $i=1, \ldots, n$.
Let $\underline{i}=\left(i_{1}, \ldots, i_{k}\right)$ denote a sequence of numbers having the property that

$$
\begin{equation*}
1 \leq i_{1}<\ldots<i_{k} \leq n . \tag{7}
\end{equation*}
$$

## Definition

For each flag $\mathscr{F}$ and each multiindex $\underline{i}$

$$
C(\underline{i} ; \mathscr{F}):=\left\{W \in \operatorname{Grass}(k, V) \mid \operatorname{dim}\left(W \bigcap V_{i_{s}}\right)=s\right\}
$$

is called a Schubert cell.

## Schubert Varieties

## Definition

For each flag $\mathscr{F}$ and each multiindex $\underline{i}$

$$
S(\underline{i} ; \mathscr{F}):=\left\{W \in \operatorname{Grass}(k, V) \mid \operatorname{dim}\left(W \bigcap V_{i_{s}}\right) \geq s\right\}
$$

is called a Schubert variety.

## Schubert Varieties

## Definition

For each flag $\mathscr{F}$ and each multiindex $\underline{i}$

$$
S(\underline{i} ; \mathscr{F}):=\left\{W \in \operatorname{Grass}(k, V) \mid \operatorname{dim}\left(W \bigcap V_{i_{s}}\right) \geq s\right\}
$$

is called a Schubert variety.

## Remark

The closure of the cell $C(\underline{i} ; \mathscr{F})$ is the Schubert variety $S(\underline{i} ; \mathscr{F})$. The equations describing the variety $S(\underline{i} ; \mathscr{F})$ consists of the quadratic equations describing the Grassmann variety and some additional linear equations.

## Schubert Varieties

## Remark

If $\left\{e_{1}, \ldots, e_{n}\right\}$ is a basis of $V$ and $\mathscr{F}$ is the standard flag with respect to this basis then $C(\underline{i} ; \mathscr{F})$ consists of all subspaces having a certain row reduced echelon form:

$$
\left[\begin{array}{cccccccccccccccc}
* & \cdots & * & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\
* & \cdots & * & 0 & * & \cdots & * & 1 & \cdots & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\
\vdots & & \vdots & \vdots & \vdots & & \vdots & \vdots & & \vdots & & \vdots & \vdots & \vdots & & \vdots \\
* & \cdots & * & 0 & * & \cdots & * & 0 & \cdots & * & \cdots & * & 1 & 0 & \cdots & 0
\end{array}\right]
$$

## Schubert Calculus

## Theorem

For every fixed flag $\mathscr{F}$ the Schubert cells C(i, $\mathscr{F})$ decompose the Grassmann variety $\operatorname{Grass}\left(k, \mathbb{C}^{n}\right)$ into a finite cellular CW-complex. The integral homology $H_{2 m}\left(\operatorname{Grass}\left(k, \mathbb{C}^{n}\right), \mathbb{Z}\right)$ has no torsion and is freely generated by the fundamental classes of the Schubert varieties $S(\underline{i} ; \mathscr{F})$ of real dimension $2 m$.

## Schubert Calculus

## Theorem

For every fixed flag $\mathscr{F}$ the Schubert cells C(í; $\mathscr{F})$ decompose the Grassmann variety $\operatorname{Grass}\left(k, \mathbb{C}^{n}\right)$ into a finite cellular CW-complex. The integral homology $H_{2 m}\left(\operatorname{Grass}\left(k, \mathbb{C}^{n}\right), \mathbb{Z}\right)$ has no torsion and is freely generated by the fundamental classes of the Schubert varieties $S(\underline{i} ; \mathscr{F})$ of real dimension $2 m$.

The Poincaré-dual of the class $\left(i_{1}, \ldots, i_{k}\right)$ will be denoted by

$$
\begin{equation*}
\left\{\mu_{1}, \ldots, \mu_{k}\right\}:=\left\{n-k-i_{1}+1, n-k-i_{2}+2, \ldots, n-i_{k}\right\} . \tag{8}
\end{equation*}
$$

viewed as an element of the cohomolgy ring $H^{*}\left(\operatorname{Grass}\left(k, \mathbb{C}^{n}\right), \mathbb{Z}\right)$.

## Schubert Calculus

The cohomology ring

$$
\begin{equation*}
\left.H^{*}\left(\operatorname{Grass}\left(k, \mathbb{C}^{n}\right), \mathbb{Z}\right):=\bigoplus_{m=0}^{k(n-k)} H^{2 m}\left(k, \mathbb{C}^{n}\right), \mathscr{Z}\right) \tag{9}
\end{equation*}
$$

has in a natural way the structure of a graded ring.

## Schubert Calculus

The cohomology ring

$$
\begin{equation*}
\left.H^{*}\left(\operatorname{Grass}\left(k, \mathbb{C}^{n}\right), \mathbb{Z}\right):=\bigoplus_{m=0}^{k(n-k)} H^{2 m}\left(k, \mathbb{C}^{n}\right), \mathscr{Z}\right) \tag{9}
\end{equation*}
$$

has in a natural way the structure of a graded ring.

$$
\begin{equation*}
\sigma_{j}:=\{j, 0, \ldots, \ldots, 0\} \quad j=1, \ldots, n-k . \tag{10}
\end{equation*}
$$

denotes the jth Chern class.

## Schubert Calculus

Computations in the cohomology ring are done by the classical formulas of Pieri and Giambelli and by the Littlewood Richardson rule:

## Schubert Calculus

Computations in the cohomology ring are done by the classical formulas of Pieri and Giambelli and by the Littlewood Richardson rule:
Pieri's formula:

$$
\left\{\mu_{1}, \ldots, \mu_{k}\right\} \cdot \sigma_{j}=\sum_{\substack{\mu_{i j} \geq v_{2} \geq \mu_{i} \\ \sum_{i=1}^{k} v_{i j}\left(\sum_{i=1}^{k} \mu_{i}\right)+j}}\left\{v_{1}, \ldots, v_{k}\right\}
$$

## Schubert Calculus

Computations in the cohomology ring are done by the classical formulas of Pieri and Giambelli and by the Littlewood Richardson rule:
Pieri's formula:

$$
\left\{\mu_{1}, \ldots, \mu_{k}\right\} \cdot \sigma_{j}=\sum_{\substack{\mu_{i j} \geq v_{2} \geq \mu_{i} \\ \sum_{i=1}^{k} v_{i=1}\left(\sum_{i=1}^{k} \mu_{i}\right)+j}}\left\{v_{1}, \ldots, v_{k}\right\}
$$

Giambelli's formula:

$$
\left\{\mu_{1}, \ldots, \mu_{k}\right\}=\operatorname{det}\left(\begin{array}{cccc}
\sigma_{\mu_{1}} & \sigma_{\mu_{1}+1} & \cdots & \sigma_{\mu_{1}+k-1} \\
\sigma_{\mu_{2}-1} & \sigma_{\mu_{2}} & & \vdots \\
\vdots & & \ddots & \vdots \\
\sigma_{\mu_{k}-k+1} & & \cdots & \sigma_{\mu_{k}}
\end{array}\right)
$$

## Example of Schubert Calculus

## Example

Given 4 lines in 3-space in general position. Is there a line intersecting all 4 lines.

## Example of Schubert Calculus

## Example

Given 4 lines in 3-space in general position. Is there a line intersecting all 4 lines.

Geometric Problem: Intersection of Schubert varieties of the form $S(2,4)$ inside the Grassmannian $\operatorname{Grass}\left(2, \mathbb{F}^{4}\right)$.

## Example of Schubert Calculus

## Example

Given 4 lines in 3-space in general position. Is there a line intersecting all 4 lines.

Geometric Problem: Intersection of Schubert varieties of the form $S(2,4)$ inside the Grassmannian $\operatorname{Grass}\left(2, \mathbb{F}^{4}\right)$.
Algebraic Problem: One has the equation of $\operatorname{Grass}\left(2, \mathbb{F}^{4}\right)$ :

$$
x_{12} x_{34}-x_{13} x_{24}+x_{14} x_{23}=0
$$

together with 4 linear equations describing the 4 Schubert varieties. $\longmapsto 2$ Solutions.

## Example of Schubert Calculus

## Example

Given 4 lines in 3-space in general position. Is there a line intersecting all 4 lines.

Geometric Problem: Intersection of Schubert varieties of the form $S(2,4)$ inside the Grassmannian $\operatorname{Grass}\left(2, \mathbb{F}^{4}\right)$.
Algebraic Problem: One has the equation of $\operatorname{Grass}\left(2, \mathbb{F}^{4}\right)$ :

$$
x_{12} x_{34}-x_{13} x_{24}+x_{14} x_{23}=0
$$

together with 4 linear equations describing the 4 Schubert varieties. $\longmapsto 2$ Solutions.

Cohomology ring:

$$
\{1,0\}\{1,0\}\{1,0\}\{1,0\}=2\{2,2\} .
$$

(i) W. Fulton, Intersection theory, Ergebnisse der Mathematik und ihrer Grenzgebiete, Springer Verlag, Berlin, Heidelberg, New York, 1984.
( H. Hiller, Combinatorics and intersections of Schubert varieties, Comment. Math. Helv. 57 (1982), no. 1, 41-59.

目 U. Helmke and J. Rosenthal, Eigenvalue inequalities and Schubert calculus, Mathematische Nachrichten 171 (1995), 207-225.
R B. Huber, F. Sottile, and B. Sturmfels, Numerical Schubert calculus, J. Symbolic Comput. 26 (1998), no. 6, 767-788, Symbolic numeric algebra for polynomials.

囯 S. L. Kleiman, Problem 15: Rigorous foundations of Schubert's enumerative calculus, Proceedings of Symposia in Pure Mathematics, vol. 28, Am. Math. Soc., 1976, pp. 445-482.
(i. H. Schubert, Kalkühl der abzählenden geometrie, Teubner, Leipzig, 1879.
國 , Anzahlbestimmung für lineare Räume beliebiger Dimension, Acta Math. 8 (1886), 97-118.

