

The Role of the Energy Scalar Product in the QNM Spectral Instability Problem

Edgar Gasperín

based on [Class. Quantum Grav. 10.1088/1361-6382/ac5054](#)
[arXiv:2107.12865](#)

work in collaboration with José Luis Jaramillo

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Motivation

QNMs in a nutshell

- Eigenmodes of a non-self adjoint operator. $Lu = \lambda u$
- Dissipative systems: Optics, Seismology, ..., **Linearised GR. BH pert.**

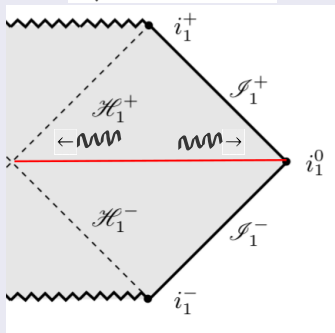
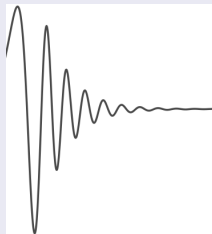
$$\left(-\frac{\partial^2}{\partial t^2} + \frac{\partial^2}{\partial x^2} - V(x) \right) \phi(t, x) = 0,$$

$$\phi_\omega(t, x) = e^{i\omega t} \phi(\omega, x),$$

$$\left(\omega^2 + \frac{d^2}{dx^2} - V(x) \right) \phi(\omega, x) = 0,$$

$$\text{outbc} : x \rightarrow \pm\infty \quad \phi(\omega, x) \sim e^{\mp i\omega x}$$

Non Self-Adj $\omega = \omega^R + i\omega^I$, $\omega^I > 0$
 $x \rightarrow \pm\infty \quad \phi(\omega, x) \sim e^{\mp i\omega^R x} e^{\pm\omega^I x}$



Motivation

QNM GR: Cauchy slice vs Hyp slice

- QN modes are divergent at i^0
- \rightsquigarrow “problem at i^0 ” \rightsquigarrow (Pen, Fri)
- Sol: don't go to i^0 ! Go to \mathcal{I}^+

QNMs Instability

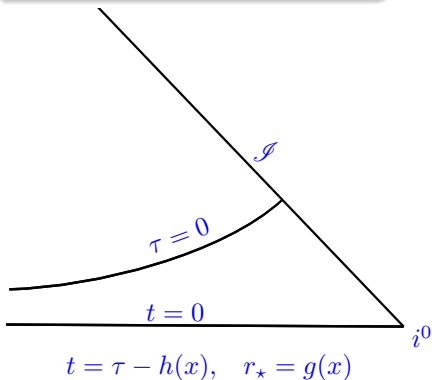
- Nöllert & Price: evidence of instability in Schw QNM spectrum (Cauchy)
- Jaramillo, Panosso-Macedo, Al-Sheikh: Introduction of pseudospectrum in GR (Hyp)

Main Ingredients

- Hyperboloidal slices
- Pseudospectrum

Non-normal & Non-self adjoint operators

- Adjoint \longleftrightarrow Scalar Product
- Pseudospectrum \longleftrightarrow Norm



The ABC operator

Let Q defined on $x \in [x_0, x_1]$ be ($a \neq 0$)

$$Q = a \frac{d^2}{dx^2} + b \frac{d}{dx} + c,$$

Formal Adjoint : Q^\dagger

$$\langle \phi_T, Q\phi \rangle = \langle Q^\dagger \phi_T, \phi \rangle$$

Q is Formally Normal if :

$$[Q, Q^\dagger] = QQ^\dagger - Q^\dagger Q = 0$$

L^2 -inner product

$$\langle \phi_T, \phi \rangle_I = \int_{x_0}^{x_1} \bar{\phi}_T \phi dx$$

$$Q_I^\dagger = a \frac{d^2}{dx^2} - b \frac{d}{dx} + c$$

Q is not self adjoint respect to I .

← An illustrative example

Domains

$$\mathcal{D} = \{\phi \in \mathcal{H} \mid \phi(x_0) = \phi(x_1) = 0\}.$$

$$D(Q) = D(Q^\dagger) = \mathcal{D}.$$

$$D(QQ^\dagger) \neq D(Q^\dagger Q) \quad \textbf{formally normal.}$$

Sturm-Liouville inner product

Let $w = a^{-1} \exp(a^{-1}bx)$ $p = aw$ and $q = -cw$.

$$Q = w^{-1} \left(\frac{d}{dx} \left(p \frac{d}{dx} \right) - q \right)$$

$$\langle \phi_T, \phi \rangle_w = \int_{x_0}^{x_1} \bar{\phi}_T \phi w dx$$

$$Q_w^\dagger = Q. \quad \text{Self adjoint!}$$

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Pseudospectrum & the impact of the choice of norm

ϵ -pseudospectrum of an operator A

(Resolvent) $R_A(\lambda) \equiv (A - \lambda \mathbb{I})^{-1}$

(Spectrum) $\sigma(A) \equiv \{\lambda \in \mathbb{C} : \nexists R_A(\lambda)\}$

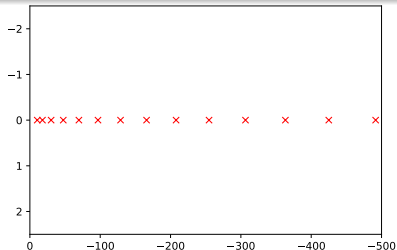
$\sigma^\epsilon(A) \equiv \{\lambda \in \mathbb{C} : \|R_A(\lambda)\| > 1/\epsilon\}$

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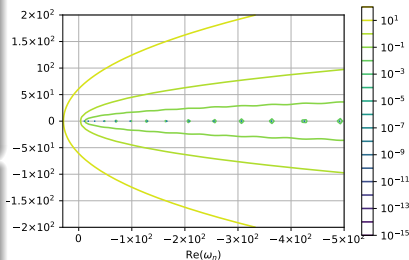
ABC operator example

$\Phi = -(x - x_0)(x - x_1)\phi, \quad Q \rightarrow \hat{Q}$

$\hat{Q} = A(x) \frac{d^2}{dx^2} + B(x) \frac{d}{dx} + C(x),$



Pseudospectrum using the L^2 -inner-product



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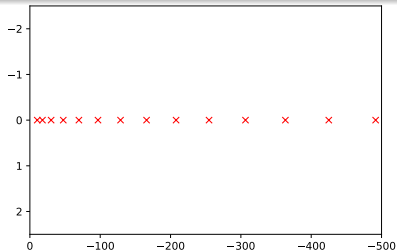
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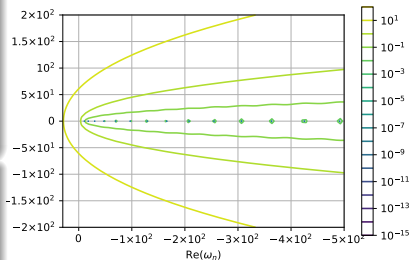
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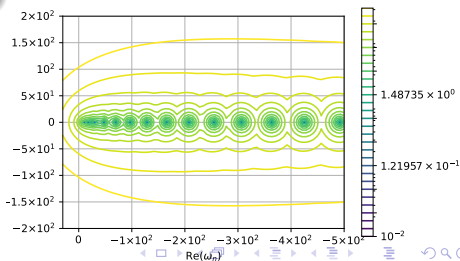
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Pseudospectrum using the L^2 -inner-product



Pseudospectrum using Gram Matrix = SturmLiouville-w



QNMs Hyp

Set up

$$\square_g \Phi = 0$$

$$g \rightarrow dS^2 = -f(r)dt^2 + f(r)^{-1}dr^2 + r^2 dS^2,$$

$$\Phi = \frac{1}{r} \sum_{l,m} \phi_{lm} Y_{lm} \quad \Longrightarrow \quad \square_{\dot{\eta}} \phi - V(r, \ell) \phi = 0$$

$$\dot{\eta} \rightarrow dS^2 = -dt^2 + dr^2$$

QNMHyp (Jaramillo, Panosso-Macedo, Al-Sheikh)

$$Lu = \lambda u, \quad u = \begin{pmatrix} \phi \\ \psi \end{pmatrix}, \quad L = \frac{1}{i} \left(\begin{array}{c|c} 0 & 1 \\ \hline L_1 & L_2 \end{array} \right),$$

$$L_1 = w^{-1}(x)(\partial_x(p(x)\partial_x) - q(x))$$

$$L_2 = w^{-1}(x)(\gamma(x)\partial_x + \partial_x(\gamma(x) \cdot))$$

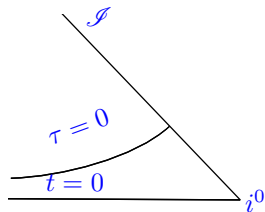
$$\dot{E}(\phi) = \frac{1}{2} \int_{x_a}^{x_b} w \partial_\tau \phi \partial_\tau \bar{\phi} + p \partial_x \phi \partial_x \bar{\phi} + q \phi \bar{\phi} dx$$

Hyperboloidal coords

$$dr/dr_\star = f(r)$$

$$t = \tau - h(x), \quad r_\star = g(x)$$

$$w = \frac{g'^2 - h'^2}{g'}, \quad \gamma = \frac{h'}{g'}, \quad p = \frac{1}{g'}$$



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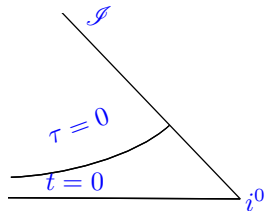
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Physical energy vs effective energy (G. & Jaramillo 21)

Effective Energy $\dot{E}(\phi)$

$$\square_{\dot{\eta}}\phi - V\phi = 0. \quad \dot{\eta} = 2\text{dim Mink}, \quad V = (r, \ell)$$

$$\dot{T}_{ab} = \frac{1}{2} \left(\dot{\nabla}_a \bar{\phi} \dot{\nabla}_b \phi - \frac{1}{2} \dot{\eta}_{ab} \dot{\eta}^{cd} \dot{\nabla}_c \bar{\phi} \dot{\nabla}_d \phi + V \phi \bar{\phi} + c.c. \right)$$

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Physical Energy $E(\Phi)$

$$\square_g \Phi = 0 \quad g - 4\text{-dim, Spherically Sym}$$

$$T_{ab} = \frac{1}{2} \left(\nabla_a \bar{\Phi} \nabla_b \Phi - \frac{1}{2} g_{ab} g^{cd} \nabla_c \bar{\Phi} \nabla_d \Phi + c.c. \right)$$

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Relation and Boundary Term

$$E = \sum_{lm} \dot{E}_{lm} - \left(\frac{f}{2r} \phi_{lm} \bar{\phi}_{lm} \right) \Big|_{x_a}^{x_b}$$

Total energy flux

$$\partial_\tau E = F \Big|_{x_a}^{x_b},$$

where

$$F = \sum_{lm} \gamma \partial_\tau \phi_{lm} \partial_\tau \bar{\phi}_{lm} + p \text{Re}(\partial_\tau \phi_{lm} \partial_x \bar{\phi}_{lm}) - \frac{f}{2r} \partial_\tau (\phi_{lm} \bar{\phi}_{lm}).$$

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QNM Weak formulation (G. & Jaramillo 21)

In a nutshell

$$Lu = \lambda u \quad \text{test function } u_T = \begin{pmatrix} \phi_T \\ \psi_T \end{pmatrix} \implies \langle u_T, Lu \rangle_E = \lambda \langle u_T, u \rangle_E.$$

lower the number of derivatives using integration by parts

Weak Formulation QNMs

$$\int_{x_a}^{x_b} W_L(u_T, u) dx + W_B(u_T, u) \Big|_{x_a}^{x_b} = i\lambda \int_{x_a}^{x_b} W_R(u_T, u) dx,$$

$$W_L = p(\partial_x \bar{\phi}_T \partial_x \psi - \partial_x \phi \partial_x \bar{\psi}_T) + q(\bar{\phi}_T \psi - \bar{\psi}_T \phi) - w\psi L_2 \bar{\psi}_T,$$

$$W_R = p\partial_x \bar{\phi}_T \partial_x \phi + w\bar{\psi}_T \psi + q\bar{\phi}_T \phi$$

$$W_B = p\bar{\psi}_T \partial_x \phi + 2\gamma \bar{\psi}_T \psi$$

Proof of concept: Pöschl-Teller

$$V(r_*) = V_o \operatorname{sech}^2(r_*), r_* \in (-\infty, \infty).$$

$$w = 1, p = 1 - x^2, q = V_o, \gamma = -x,$$

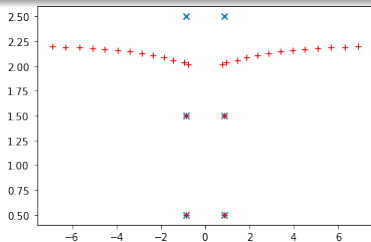


Figure: Pöschl-Teller QNM as a weak problem solved in FEniCS. N=500. Lagrange finite elements function space "CG" (Continuous Galerkin). In blue: exact eigs. In red: Num eigs

Resonant expansions (Jaramillo & Al-Sheikh, G. & Jar. 21)

Keldysh's expansion theorem

$$Lv_n = \lambda_n v_n, \quad L^\dagger w_n = \bar{\lambda}_n w_n$$

$$G_\lambda(x', x) \sim \sum_{\lambda_j \in \Omega} \frac{w_j^\dagger(x') v_j(x)}{\lambda - \lambda_j}$$

Keldysh + conditioning num + energy norm

$$\kappa_n = \frac{\|w_n\| \|v_n\|}{\langle w_n, v_n \rangle}, \quad \hat{w}_n = \frac{w_n}{\|w_n\|}, \quad \hat{v}_n = \frac{v_n}{\|v_n\|}$$

$$R_L(\lambda) \sim \sum_{\lambda_n \in \Omega} \kappa_n \frac{|\langle \hat{v}_n \rangle \langle \hat{w}_n |}{\lambda_n - \lambda}$$

Self adjoint case : $\kappa_n = 1$ & Conv in $\mathbb{C} \setminus \sigma(L)$

QNMs resonant expansion in hyp

$$\partial_\tau u = iLu,$$

$$u(\tau = 0, x) = u_0(x), \quad \|u_0\|_E < \infty$$

$$(L + is)u(s; x) = iu_0(x)$$

(to Fourier ω) $s = i\omega$

$$u(\tau, x) = \sum_{\omega_j \in \Omega} e^{i\omega_j \tau} \kappa_n \langle \hat{w}_j | u_0 \rangle_E \hat{v}_j + E_\Omega(\tau; u_0)$$

Bound on error

$$\|E_\Omega(\tau; u_0)\|_E \leq C_\Omega(a, L) e^{-a\tau} \|u_0\|_E$$
$$a = \max\{\text{Im}(\omega), \omega \in \Omega\}$$

Original field

$$\phi(\tau, x) \sim \sum_n e^{i\omega_j \tau} a_n \hat{\phi}_j^R$$

$$a_j = \frac{\kappa_j}{2} \left(\langle \hat{\phi}_j^L, \varphi_0 \rangle_{H^1(V,P)} - i\omega_j \langle \hat{\phi}_j^L, \varphi_1 \rangle_{(2,w)} \right).$$

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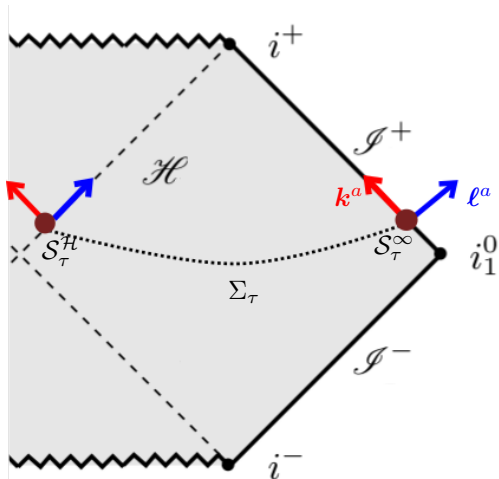
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Perspectives & future work



BMS, GeoQNM, Normal mds (???)

$$F(\tau) = \int_{S_\tau^H} \gamma |\partial_\tau \phi|^2 dS + \int_{S_\tau^\infty} \gamma |\partial_\tau \phi|^2 dS$$

$$L^\dagger = L + L^\partial, \quad L^\partial = \frac{1}{i} \begin{pmatrix} 0 & 0 \\ 0 & L_2^\partial \end{pmatrix}$$

$$L_2^\partial = -2 \frac{(\gamma k^a) \ell_a}{w} (\delta_{S_\tau^H} - \delta_{S_\tau^\infty})$$

$$\xi^a = \gamma k^a, \quad \mathcal{L}_k \gamma = 0$$



Thanks for listening