On the Sobolev quotient in CR geometry Joint work with J.H.Cheng and P.Yang

Andrea Malchiodi (SNS)

Banff, May 7th 2019

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$$(Y) -c_n\Delta u + R_g = \overline{R} u^{\frac{n+2}{n-2}}; c_n = 4\frac{n-1}{n-2}, \quad \overline{R} \in \mathbb{R}.$$

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Considering \overline{R} as a Lagrange multiplier, one can try to find solutions by minimizing the *Sobolev-Yamabe quotient*

$$Q_{SY}(u) = \frac{\int_M \left(c_n |\nabla u|^2 + R_g u^2 \right) dV}{\left(\int_M |u|^{2^*} dV \right)^{\frac{2}{2^*}}}; \qquad 2^* = \frac{2n}{n-2}.$$

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The Sobolev-Yamabe constant is defined as

$$Y(M,[g]) = \inf_{u \neq 0} Q_{SY}(u).$$

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Recall the Sobolev-Gagliardo-Nirenberg inequality in \mathbb{R}^n

$$||u||_{L^{2^*}(\mathbb{R}^n)}^2 \le B_n \int_{\mathbb{R}^n} |\nabla u|^2 dx; \qquad u \in C_c^{\infty}(\mathbb{R}^n).$$

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As for Y(M, [g]), define the Sobolev quotient $S_n = \inf_u \frac{\int_{\mathbb{R}^n} c_n |\nabla u|^2 dx}{\|u\|_{L^{2^*}(\mathbb{R}^n)}^2}$.

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Completing $C_c^{\infty}(\mathbb{R}^n)$, S_n is attained by ([Aubin, '76], [Talenti, '76])

$$U_{p,\lambda}(x) := \frac{\lambda^{\frac{n-2}{2}}}{(1+\lambda^2|x-p|^2)^{\frac{n-2}{2}}}; \qquad p \in \mathbb{R}^n, \lambda > 0.$$

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• Since S^n is conformal to \mathbb{R}^n , one has that $Y(S^n, [g_{S^n}]) = S_n$.

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Brief history on the Yamabe problem

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- In 1984 Schoen proved that $Y(M, [g]) < S_n$ in all other cases, i.e. $n \leq 5$ or (M, g) locally conformally flat, unless $(M, g) \simeq (S^n, g_{S^n})$.

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Since $U_{p,\lambda}$ decays like $\frac{1}{|x|^{n-2}}$ at infinity, it is more *localized* in large dimension.

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Since $U_{p,\lambda}$ decays like $\frac{1}{|x|^{n-2}}$ at infinity, it is more *localized* in large dimension. Aubin proved that for $n \geq 6$ the corrections are given by $-\frac{|W_g|^2(p)}{\lambda^4}$, a local quantity depending on the Weyl tensor.

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$$L_g u := -c_n \Delta u + R_g u \simeq U_{p,\lambda}^{\frac{n+2}{n-2}} \simeq \frac{1}{\lambda} \delta_p.$$

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At large scales an approximate solution looks like the Green's function G_p of the operator L_g . If $G_p \simeq \frac{1}{|x|^{n-2}} + A$ at p, the correction is $-A/\lambda^{n-2}$.

A brief excursion in general relativity

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A brief excursion in general relativity

To understand the value of A, general relativity comes into play.

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A brief excursion in general relativity

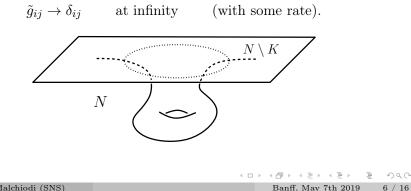
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A manifold (N^3, \tilde{g}) is said to be asymptotically flat if it is a union of a compact set K (possibly with topology), and such that $N \setminus K$ is diffeomorphic to $\mathbb{R}^3 \setminus B_1(0)$.

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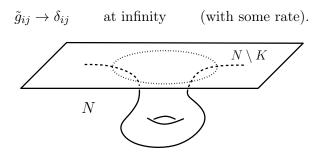
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In general relativity these manifolds describe static gravitational systems.

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The mass of an asymptotically flat manifold

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The mass of an asymptotically flat manifold

The ADM mass $m(\tilde{g})$ ([ADM, '60]) of such manifolds is defined as

$$m(\tilde{g}) := \lim_{r \to \infty} \oint_{S_r} \left(\partial_k \, \tilde{g}_{jk} - \partial_j \, \tilde{g}_{kk} \right) \nu^j d\sigma.$$

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Theorem ([Schoen-Yau, '79])

If $R_{\tilde{g}} \geq 0$ then $m(\tilde{g}) \geq 0$. In case $m(\tilde{g}) = 0$, then (M, \tilde{g}) is <u>isometric</u> to the flat Euclidean space (\mathbb{R}^3, dx^2) .

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Application: Conformal blow-ups. Consider a <u>compact</u> Riemannian three-manifold (M, g), and $p \in M$.

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Application: Conformal blow-ups. Consider a compact Riemannian three-manifold (M, g), and $p \in M$. Define now the conformal metric

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Then $(M \setminus \{p\}, \tilde{g})$ is asymptotically flat, and

$$m(\tilde{g}) = \lim_{x \to p} \left(G_p(x) - \frac{1}{d(x,p)} \right) = A.$$

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《曰》 《問》 《注》 《注》 三注

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We also have a <u>CR structure</u> (complex rotation) $J: \xi \to \xi$ s.t. $J^2 = -1$.

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Given J as above, we have locally a vector field Z_1 such that

$$JZ_1 = iZ_1;$$
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$$\overset{\,\,{}_\circ}{Z}_1 = \frac{1}{\sqrt{2}} \left(\frac{\partial}{\partial z} + i \overline{z} \frac{\partial}{\partial t} \right); \qquad \qquad \overset{\,\,{}_\circ}{Z}_{\overline{1}} = \frac{1}{\sqrt{2}} \left(\frac{\partial}{\partial \overline{z}} - i z \frac{\partial}{\partial t} \right).$$

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Example 2: boundaries of complex domains.

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We deal with 3-D manifolds with a non-integrable two-dimensional distribution (contact structure) ξ , annihilated by a contact 1-form θ . We also have a <u>CR structure</u> (complex rotation) $J : \xi \to \xi$ s.t. $J^2 = -1$. Given J as above, we have locally a vector field Z_1 such that

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Theorem ([Chanillo-Chiu-Yang, '12]) Let M^3 be a compact CR manifold. If $P \ge 0$ and W > 0, then M embeds into some \mathbb{C}^N .

A positive mass theorem

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A positive mass theorem

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• The proof uses a tricky integration by parts: the main idea was to bring-in the Paneitz operator to write the mass as sum of squares.

• Positivity of the mass implies that the Sobolev-Webster quotient of the manifold is lower than that of the sphere, and minimizers exist.

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Theorem 2 ([Cheng-M.-Yang, '19]) For small $s \neq 0$, the CR mass of S_s^3 is negative $(m_s \simeq -18\pi s^2)$. Andrea Malchiodi (SNS)

Some ideas of the proof

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One then needs to verify that the two expansions match, obtaining then the asymptotic behaviour for $s \to 0$ of $A_{(s)}$, proportional to the mass. \Box

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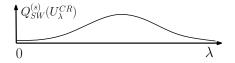
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- For $|s| \neq 0$ small, the Webster quotient of the functions U_{λ}^{CR} has a profile of this kind (need to use Theorem 2 for λ large)



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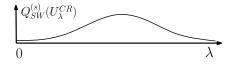
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Theorem 3 ([Cheng-M.-Yang, '19])

For small $s \neq 0$ the infimum of the Sobolev-Webster quotient of Rossi spheres is not attained (and is equal to that of the standard S^3).

- If a function has low Sobolev-Webster quotient on a Rossi sphere S_s^3 it has low Sobolev-Webster quotient also on the standard sphere $S^3 = S_0^3$. Minima for the Webster quotient on the standard S^3 were classifed in [Jerison-Lee, '88] as (CR counterparts of) Aubin-Talenti's functions.

- For $|s| \neq 0$ small, the Webster quotient of the functions U_{λ}^{CR} has a profile of this kind (need to use Theorem 2 for λ large)



Remark. The CR Sobolev quotient of S_s^3 , a <u>closed</u> manifold, behaves like that of a domain in \mathbb{R}^n !

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Thanks for your attention

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