

Dissipative boundary conditions and entropic solutions in dynamical perfect plasticity

Jean-François Babadjian

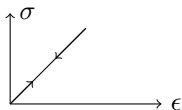
Université Paris Sud, Département de Mathématiques d'Orsay

Joint work with Clément Mifsud

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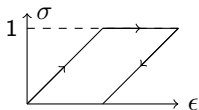
Modelling

Elasticity



Constitutive law : $\sigma = \epsilon$

Perfect plasticity



Loading threshold : $|\sigma| \leq 1$
Plastic variable : $p = \epsilon - \sigma$
Flow law : $\begin{cases} \dot{p} = 0 & \text{if } |\sigma| < 1, \\ \pm \dot{p} \geq 0 & \text{if } \sigma = \pm 1. \end{cases}$

Displacement : $u : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}$

Stress : $\sigma : \Omega \rightarrow \mathbb{R}^2$

Equation of motion : $\ddot{u} - \operatorname{div} \sigma = 0$

Constitutive law : $\sigma = \nabla u$
Wave equation : $\ddot{u} - \Delta u = 0$

$$\begin{aligned} & \ddot{u} - \operatorname{div} \sigma = 0, \\ & |\sigma| \leq 1, \\ & \nabla u = \sigma + p, \\ & \left\{ \begin{array}{l} \dot{p} = 0 \text{ if } |\sigma| < 1 \\ \frac{\dot{p}}{|\dot{p}|} = \sigma \text{ if } |\sigma| = 1 \end{array} \right\} \Leftrightarrow \sigma \cdot \dot{p} = |\dot{p}| \end{aligned}$$

Hyperbolic formulation : Friedrichs' systems

Elasticity : $\ddot{u} - \Delta u = 0$

Hyperbolic variable : $U := (\dot{u}, \sigma) = (\dot{u}, \nabla u)$

The wave equation is equivalent to

$$\partial_t U + \underbrace{\begin{pmatrix} 0 & -1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}{=:A_1} \partial_1 U + \underbrace{\begin{pmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}}{=:A_2} \partial_2 U = 0,$$

or still, for all constant vectors $\kappa \in \mathbb{R}^3$ and all test functions φ with $\text{Supp}(\varphi) \subset \Omega \times [0, +\infty)$,

$$\int_0^\infty \int_\Omega |U - \kappa|^2 \partial_t \varphi + \sum_{i=1}^2 A_i (U - \kappa) \cdot (U - \kappa) \partial_i \varphi + \int_\Omega |U_0 - \kappa|^2 \varphi(0) = 0.$$

Hyperbolic formulation : Friedrichs' systems

Plasticity : $\ddot{u} - \operatorname{div} \sigma = 0$, $\nabla \dot{u} = \dot{\sigma} + \dot{p}$, $\sigma \in B$, $\sigma \cdot \dot{p} = |\dot{p}| \geq 0$,

Hyperbolic variable : $U := (\dot{u}, \sigma) \in \mathbb{R} \times B =: K$

The system of perfect plasticity writes

$$\partial_t U + A_1 \partial_1 U + A_2 \partial_2 U + \begin{pmatrix} 0 \\ \dot{p} \end{pmatrix} = 0,$$

or still, for all constant vectors $\kappa = (k, \tau) \in K$ and all test functions $\varphi \geq 0$ with $\operatorname{Supp}(\varphi) \subset \Omega \times [0, +\infty)$,

$$\int_0^\infty \int_\Omega |U - \kappa|^2 \partial_t \varphi + \sum_{i=1}^2 A_i (U - \kappa) \cdot (U - \kappa) \partial_i \varphi + \int_\Omega |U_0 - \kappa|^2 \varphi(0) \geq 0.$$

✓ Analogy with the entropic formulation of scalar conservation laws (Kruzkov); here an L^2 theory is natural because of quadratic entropies $U \mapsto |U - \kappa|^2$.

- ✓ For $\Omega = \mathbb{R}^n$ and general Friedrichs' systems **WITH** constraints :
- Després-Lagoutière-Seguin : $\exists!$ by means of a finite volume scheme and the doubling variable method ;
 - B.-Mifsud-Seguin : \exists by means of a constraint penalization and a vanishing diffusion.
- ✓ For $\Omega \subsetneq \mathbb{R}^n$ and general Friedrichs' systems **WITHOUT** constraints : entropic “dissipative” formulation by Després-Mifsud-Seguin for boundary conditions of the type

$$(A_\nu - M)U = 0 \quad \text{on } \partial\Omega \times \mathbb{R}^+,$$

where $A_\nu = \sum_{i=1}^n A_i \nu_i$ (ν unit outer normal to $\partial\Omega$) and M is matrix s.t.

- $M^T = M$;
- $M \geq 0$;
- $\text{Ker}A_\nu \subset \text{Ker}M$;
- $\mathbb{R}^3 = \text{Ker}(A_\nu - M) + \text{Ker}(A_\nu + M)$.

Entropic formulation

For all constant vectors $\kappa \in K$ and all test functions $\varphi \geq 0$,

$$\int_0^\infty \int_\Omega |U - \kappa|^2 \partial_t \varphi + \sum_{i=1}^2 A_i (U - \kappa) \cdot (U - \kappa) \partial_i \varphi + \int_\Omega |U_0 - \kappa|^2 \varphi(0) + \int_0^\infty \int_{\partial\Omega} M \kappa^+ \cdot \kappa^+ \varphi \geq 0,$$

where

$$\begin{aligned} \mathbb{R}^3 &= \text{Ker} A_\nu \oplus \text{Ker}(A_\nu - M) \cap \text{Im} A_\nu \oplus \text{Ker}(A_\nu + M) \cap \text{Im} A_\nu \\ \kappa &= \kappa_0 + \kappa^- + \kappa^+. \end{aligned}$$

✓ L^2 formulation of a boundary value problem by analogy with the L^∞ theory of [Otto](#) for scalar conservation laws in bounded domains.

✓ In the case of elasticity and/or plasticity,

$$(A_\nu - M)U = 0 \iff \sigma \cdot \nu + \lambda \dot{u} = 0, \quad \lambda > 0.$$

Well posedness ($\lambda = 1$)

We look for (u, σ, p) such that

$$\begin{cases} \ddot{u} - \operatorname{div} \sigma = 0 & \text{in } \Omega \times (0, T), \\ \nabla u = \sigma + p & \text{in } \Omega \times (0, T), \\ |\sigma| \leq 1 & \text{in } \Omega \times (0, T), \\ \sigma \cdot \dot{p} = |\dot{p}| & \text{in } \Omega \times (0, T), \\ \sigma \cdot \nu + \dot{u} = 0 & \text{on } \partial\Omega \times (0, T), \\ +I.C. \end{cases}$$

Elasto-visco-plastic approximation : We look for $(u_\varepsilon, \sigma_\varepsilon, p_\varepsilon)$ such that

$$\begin{cases} \ddot{u}_\varepsilon - \operatorname{div}(\sigma_\varepsilon + \varepsilon \nabla \dot{u}_\varepsilon) = 0 & \text{in } \Omega \times (0, T), \\ \nabla u_\varepsilon = \sigma_\varepsilon + p_\varepsilon & \text{in } \Omega \times (0, T), \\ \dot{p}_\varepsilon = \frac{\sigma_\varepsilon - P(\sigma_\varepsilon)}{\varepsilon} & \text{in } \Omega \times (0, T), \\ (\sigma_\varepsilon + \varepsilon \nabla \dot{u}_\varepsilon) \cdot \nu + \dot{u}_\varepsilon = 0 & \text{on } \partial\Omega \times (0, T), \\ +I.C. \end{cases}$$

✓ Existence and uniqueness :

$$u_\varepsilon \in W^{2,\infty}(L^2) \cap H^2(H^1), \quad \sigma_\varepsilon \in W^{1,\infty}(L^2), \quad p_\varepsilon \in H^1(L^2).$$

✓ Energy balance : $\forall t \geq 0$,

$$\begin{aligned} \frac{1}{2} \int_{\Omega} |\dot{u}_\varepsilon(t)|^2 + \frac{1}{2} \int_{\Omega} |\sigma_\varepsilon(t)|^2 + \int_0^t \int_{\Omega} |\dot{p}_\varepsilon| + \int_0^t \int_{\partial\Omega} |\dot{u}_\varepsilon|^2 \\ + \varepsilon \int_0^t \int_{\Omega} |\nabla \dot{u}_\varepsilon|^2 + \varepsilon \int_0^t \int_{\Omega} |\dot{p}_\varepsilon|^2 = \frac{1}{2} \int_{\Omega} |v_0|^2 + \frac{1}{2} \int_{\Omega} |\sigma_0|^2 \end{aligned}$$

✓ Weak convergences : $\exists u \in W^{2,\infty}(L^2) \cap C^{0,1}(BV)$, $\sigma \in W^{1,\infty}(L^2)$ and $p \in C^{0,1}(\mathcal{M}_b)$ such that

$$u_\varepsilon \rightharpoonup u, \quad \sigma_\varepsilon \rightharpoonup \sigma, \quad p_\varepsilon \rightharpoonup p.$$

✓ Consequences :

$$\begin{cases} \ddot{u} - \operatorname{div} \sigma = 0 \text{ in } \Omega \times (0, T), \\ Du = \sigma + p \text{ in } \Omega \times (0, T), \\ |\sigma| \leq 1 \text{ in } \Omega \times (0, T), \\ +I.C. \end{cases}$$

✓ Energy inequality : $\forall t \geq 0$,

$$\begin{aligned} \frac{1}{2} \int_{\Omega} |\dot{u}_{\varepsilon}(t)|^2 + \frac{1}{2} \int_{\Omega} |\sigma_{\varepsilon}(t)|^2 + \int_0^t \int_{\Omega} |\dot{p}_{\varepsilon}| + \frac{1}{2} \int_0^t \int_{\partial\Omega} |\dot{u}_{\varepsilon}|^2 \\ + \frac{1}{2} \int_0^t \int_{\partial\Omega} |(\sigma_{\varepsilon} + \varepsilon \nabla \dot{u}_{\varepsilon}) \cdot \nu|^2 \leq \frac{1}{2} \int_{\Omega} |v_0|^2 + \frac{1}{2} \int_{\Omega} |\sigma_0|^2. \end{aligned}$$

✓ Relaxation of the B.C. if $u_k \rightharpoonup^* u$ in BV , $\sigma_k \rightharpoonup \sigma$ in L^2 ,

$$|Du - \sigma|(\Omega) + \int_{\partial\Omega} \psi(u) \leq \liminf_k \left\{ \int_{\Omega} |\nabla u_k - \sigma_k| + \frac{1}{2} \int_{\partial\Omega} |u_k|^2 \right\},$$

where $\psi(z) = \frac{|z|^2}{2} \mathbf{1}_{|z| \leq 1} + (|z| - \frac{1}{2}) \mathbf{1}_{|z| > 1}$ and $\psi^*(z) = \frac{|z|^2}{2} + \mathbf{1}_{|z| \leq 1}$.

✓ **Energy inequality** : $\forall t \geq 0$,

$$\frac{1}{2} \int_{\Omega} |\dot{u}(t)|^2 + \frac{1}{2} \int_{\Omega} |\sigma(t)|^2 + \int_0^t |\dot{p}(s)|(\Omega) ds + \int_0^t \int_{\partial\Omega} \psi(\dot{u}) + \int_0^t \int_{\partial\Omega} \psi^*(\sigma \cdot \nu) \leq \frac{1}{2} \int_{\Omega} |v_0|^2 + \frac{1}{2} \int_{\Omega} |\sigma_0|^2.$$

✓ **Energy equality** : we use here that

- on $\partial\Omega \times (0, T)$: $\psi(\dot{u}) + \psi^*(\sigma \cdot \nu) \geq -(\sigma \cdot \nu)\dot{u}$;
- on $\Omega \times (0, T)$: $|\dot{p}| \geq [\sigma \cdot \dot{p}]$ (distributional duality of **Anzellotti**) because $|\sigma| \leq 1$.

We obtain the other inequality $\forall t \geq 0$,

$$\frac{1}{2} \int_{\Omega} |\dot{u}(t)|^2 + \frac{1}{2} \int_{\Omega} |\sigma(t)|^2 + \int_0^t |\dot{p}(s)|(\Omega) ds + \int_0^t \int_{\partial\Omega} \psi(\dot{u}) + \int_0^t \int_{\partial\Omega} \psi^*(\sigma \cdot \nu) = \frac{1}{2} \int_{\Omega} |v_0|^2 + \frac{1}{2} \int_{\Omega} |\sigma_0|^2.$$

✓ **Flow rule and boundary condition** :

$$|\dot{p}| = [\sigma \cdot \dot{p}] \text{ in } \Omega \times (0, T);$$

$$\psi(\dot{u}) + \psi^*(\sigma \cdot \nu) = -(\sigma \cdot \nu)\dot{u} \Leftrightarrow \sigma \cdot \nu + \psi'(\dot{u}) = 0 \text{ on } \partial\Omega \times (0, T).$$

Theorem (B.-Mifsud)

$\exists ! u \in W^{2,\infty}(L^2) \cap C^{0,1}(BV)$, $\sigma \in W^{1,\infty}(L^2)$ and $p \in C^{0,1}(\mathcal{M}_b)$ such that

$$\begin{cases} \ddot{u} - \operatorname{div} \sigma = 0 \text{ in } \Omega \times (0, T), \\ Du = \sigma + p \text{ in } \Omega \times (0, T), \\ |\sigma| \leq 1 \text{ in } \Omega \times (0, T), \\ [\sigma \cdot \dot{p}] = |\dot{p}| \text{ in } \Omega \times (0, T), \\ \sigma \cdot \nu + \psi'(\dot{u}) = 0 \text{ on } \partial\Omega \times (0, T), \\ +I.C. \end{cases}$$

Theorem (B.-Mifsud)

(u, σ, p) is a “variational” solution if and only if $U = (\dot{u}, \sigma) \in W^{1,\infty}(L^2)$ is an entropic solution : $\forall \kappa \in K (=:\mathbb{R} \times B)$ and $\forall \varphi \geq 0$,

$$\int_0^T \int_{\Omega} |U - \kappa|^2 \partial_t \varphi + \sum_{i=1}^2 A_i(U - \kappa) \cdot (U - \kappa) \partial_i \varphi + \int_{\Omega} |U_0 - \kappa|^2 \varphi(0) + \int_0^T \int_{\partial\Omega} M \kappa^+ \cdot \kappa^+ \varphi \geq 0.$$

Regularity of solutions

- ✓ Explicit examples of singular solutions of jump or Cantor type (Suquet, Demyanov)
- ✓ For smooth compactly supported initial data, the solution remains smooth in short time :
 - **Finite speed propagation** property : if $\text{Supp}(u_0, v_0, \sigma_0, p_0) \subset \Omega$, then there exists $T^* > 0$ such that $\text{Supp}(u(t), \sigma(t), p(t)) \subset \Omega$ for all $t \leq T^*$;
 - **Kato inequality** : if (u_i, σ_i, p_i) ($i = 1, 2$) are two solutions associated to initial data $(u_{0i}, v_{0i}, \sigma_{0i}, p_{0i})$ then

$$\int_0^T \int_{\Omega} [(\dot{u}_1 - \dot{u}_2)^2 + |\sigma_1 - \sigma_2|^2] \leq T \int_{\Omega} [(v_{01} - v_{02})^2 + |\sigma_{01} - \sigma_{02}|^2].$$

- ✓ Generalization by Mifsud to the general vectorial model of perfect plasticity **for any closed and convex elasticity set.**

Thank you !