

# Algebra & Number Theory

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(both paper and electronic)

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Bjorn Poonen

University of California at Berkeley

(joint work with Edward F. Schaefer and Michael Stoll)

June 8, 2007

# Primitive integer solutions to $x^p + y^q = z^r$

Fix  $p, q, r \in \mathbb{Z}_{>0}$ . An integer solution  $(x, y, z)$  to  $x^p + y^q = z^r$  will be called **primitive** if  $\gcd(x, y, z) = 1$ .

Define

$$\chi := 1/p + 1/q + 1/r - 1.$$

Generalizations of Fermat's *descent* reduce the problem of determining the primitive integer solutions to the determination of the rational points on a finite list of curves (over number fields) whose Euler characteristic  $2 - 2g$  is a positive integer multiple of  $\chi$ . Therefore:

## Theorem (Beukers 1998)

*If  $\chi > 0$ , there are infinitely many primitive solutions, coming in finitely many parametrized families.*

## Theorem (Darmon-Granville 1995 + Faltings 1983 (and Fermat and Euler for $\chi = 0$ ))

*If  $\chi \leq 0$ , there are at most finitely many primitive solutions.*

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# Known $(p, q, r)$ cases now solved

- $(1, q, r)$
- $(2, 2, n)$
- $(2, 3, n)$  for  $n \leq 10$
- $(2, 4, n)$  for  $n \leq 8$  and prime  $n \geq 211$
- $(2, 2n, 3)$  for prime  $7 < n < 10^7$  with  $n \neq 31$
- $(2, n, n)$
- $(3, 3, n)$  for  $n \leq 6$  and prime  $17 \leq n \leq 10000$
- $(3, n, n)$
- $(2n, 2n, 5)$
- $(n, n, n)$
- permutations of all these except  $(2, 3, 10)$ ,  $(2, 4, 7)$ ,  $(2, 2n, 3)$ , and  $(2, 4, n)$  for prime  $n \geq 211$ ,
- others that reduce immediately to these

Some of the people involved: Bennett, Beukers, Brown, Bruin, Chen, Darmon, Denes, Edwards, Ellenberg, Euler, Fermat, Ghioca, Kraus, Kummer, Lucas, Merel, Mordell, P., Schaefer, Skinner, Stoll, Zagier, based on fundamental work by Breuil, Conrad, Diamond, Frey, Mazur, Ribet, Serre, Shimura, Taylor, Wiles, etc. (this list could be made much longer)

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The case  $(p, q, r) = (2, 3, 7)$  is of especial difficulty because

- *It achieves the negative value of  $\chi$  closest to 0, namely*

$$1/2 + 1/3 + 1/7 - 1 = -1/42.$$

- *There exist solutions, some of which are large.*
- *The exponents are prime, so the equation cannot be immediately related to one with smaller exponents.*  
This also prevents solution via elementary factorization arguments, i.e., descent via (geometrically) *abelian* covers. The descent for  $(2, 3, 7)$  will involve the simple group of order 168.

## Theorem (P.-Schaefer-Stoll)

*There are exactly 16 primitive integer solutions to  $x^2 + y^3 = z^7$ :*

$$\begin{aligned} &(\pm 1, -1, 0), \quad (\pm 1, 0, 1), \quad \pm(0, 1, 1), \quad (\pm 3, -2, 1), \\ &\quad (\pm 71, -17, 2), \quad (\pm 2213459, 1414, 65), \\ &(\pm 15312283, 9262, 113), \quad (\pm 21063928, -76271, 17). \end{aligned}$$

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# The highbrow explanation of the $(2, 3, 7)$ descent

(We paraphrase Darmon's explanation of the descent.)

primitive integer solutions to  $x^2 + y^3 = z^7$

=

integer points on the scheme

$$S: \{x^2 + y^3 = z^7\} - \{(0, 0, 0)\} \text{ in } \mathbb{A}_{\mathbb{Z}}^3.$$

**Let's work over  $\mathbb{C}$  temporarily:**

- $\mathbb{G}_m$  acts on  $S$  by  $(x, y, z) \mapsto (\lambda^{21}x, \lambda^{14}y, \lambda^6z)$ .
- Stack quotient:  
 $[S/\mathbb{G}_m] = \mathbb{P}^1$  with  $0, 1, \infty$  replaced by  $\frac{1}{2}$ -pt,  $\frac{1}{3}$ -pt,  $\frac{1}{7}$ -pt.
- $\chi = -1/42 =$  Euler characteristic of this stack.
- Étale covers of  $[S/\mathbb{G}_m]$  and hence  $S$  can be constructed by finding Galois covers of  $\mathbb{P}^1$  with ramification of order  $2, 3, 7$  above  $0, 1, \infty$ .
- The *Riemann Existence Theorem* implies that the Galois group  $G$  should be generated by  $a, b, c$  satisfying  $a^2 = b^3 = c^7 = abc = 1$  (a **Hurwitz group**).

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## (Highbrow explanation, continued)

- The smallest nontrivial Hurwitz group is  $G = \mathrm{PSL}_2(\mathbb{F}_7)$  (the simple group of order 168).
- The corresponding étale cover of the stacky  $\mathbb{P}^1$  is the **Klein quartic**

$$X: x^3y + y^3z + z^3x = 0 \quad \text{in } \mathbb{P}^2.$$

In fact, this defines an étale cover over  $\mathbb{Z}[1/42]$ .

- Descent reduces the original problem to finding the  $\mathbb{Q}$ -points on twists of  $X$  by cocycles unramified outside  $2, 3, 7$ . By Hermite, there are *finitely many* such twists.

Thus the remainder of the proof consists of the following:

1. Find the relevant twists.
2. Find the rational points on these twists.

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# Step 1: Finding the relevant twists

We use **modularity**:  $X \rightarrow \mathbb{P}^1$  is the same as  $X(7) \rightarrow X(1)$ .

- Each twist of  $X(7)$  parametrizes elliptic curves with a nonstandard level-7 structure.
- Each solution  $(a, b, c)$  to the original equation gives rise to a “Frey curve”  $E_{(a,b,c)}$  with rather special (but not impossible) 7-torsion, and hence a rational point on a special twist as above.

**Case 1a: Suppose that  $E_{(a,b,c)}[7]$  is reducible.**

- Then the element of  $H^1(G_{\mathbb{Q}}, \mathrm{PSL}_2(\mathbb{F}_7))$  classifying the twist comes from  $H^1(G_{\mathbb{Q}}, B)$  for the Borel subgroup  $B = \Gamma_0(7)/\Gamma(7)$  (nonabelian of order 21).
- Since  $B$  is a semidirect product, we can construct each such twist in two stages, twisting by a cyclic group each time.
- Since the action on  $B$  on the Klein quartic  $X$  is known explicitly, these twists may be constructed explicitly by Galois descent.

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## Case 1b: Suppose that $E_{(a,b,c)}[7]$ is irreducible.

- By modularity, there is a newform  $f$  associated to  $E_{(a,b,c)}$ .
- Ribet's level lowering shows that if  $E_{(a,b,c)}[7]$  is irreducible, then " $f \equiv f' \pmod{7}$ " for some weight-2 newform  $f'$  on  $\Gamma_0(N)$  with  $N \mid 2^6 3^3$  (up to quadratic twist).
- Stein's tables show that each  $f'$  is a quadratic twist of one of 14 newforms  $f''$ , of which 13 have coefficients in  $\mathbb{Z}$ .
- The 14<sup>th</sup> has coefficients in  $\mathbb{Z}[\sqrt{13}]$ , in which 7 is inert, and cannot be congruent mod 7 to a newform with coefficients in  $\mathbb{Z}$ .
- Thus  $E_{(a,b,c)}[7] \simeq E[7]$  where  $E$  is one of the 13 curves 24A1, ..., 864C1 (up to quadratic twist).

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- Recall:  $X(7)$  is the smooth projective model of the  $\mathbb{Q}$ -variety  $Y(7)$  representing the functor

$$S \mapsto \{(E', \phi) : E'/S \text{ elliptic}, \phi: \mu_7 \times \mathbb{Z}/7\mathbb{Z} \simeq E'[7]\}$$

where the  $\simeq$  indicates an isomorphism such that  $\wedge^2 \phi: \mu_7 \rightarrow \mu_7$  (using the Weil pairing on the right) is the identity.

- Given  $E/\mathbb{Q}$ , define the twist  $X_E(7)$  as the smooth projective model of  $Y_E(7)$  representing

$$S \mapsto \{(E', \phi) : E'/S \text{ elliptic}, \phi: E[7] \simeq E'[7]\}.$$

- For each  $a \in (\mathbb{Z}/7\mathbb{Z})^\times$ , there is another twist  $X_E^a(7)$  defined as for  $X_E(7)$ , but for which  $\phi$  transforms the Weil pairing on  $E$  to the  $a^{\text{th}}$  power of the Weil pairing on  $E'$ .
- The isomorphism type of  $X_E^a(7)$  is unchanged if  $a$  is multiplied by a square, so as  $a$  varies we get only two curves, which we call  $X_E(7)$  and  $X_E^-(7)$ .

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- Each twist of  $X(7)$  is a non-hyperelliptic genus-3 curve over  $\mathbb{Q}$ , and hence is given as  $F(x, y, z) = 0$  for some degree-4 form  $F$ .
- For  $E: y^2 = x^3 + ax + b$ , an equation for  $X_E(7)$  (a form  $F(x, y, z)$  with coefficients in  $\mathbb{Z}[a, b]$ ) was given by Halberstadt and Kraus.
- Then we noticed that Salmon's 1879 *Treatise on the higher plane curves* gives an order 4 **contravariant**  $\Psi_{-4}$  of ternary quartic forms; we conjectured and proved that when it is evaluated at the equation of  $X_E(7)$ , it gives  $X_E^-(7)$ .

Thus we can write down  $X_E(7)$  and  $X_E^-(7)$  for each of the 13 elliptic curves over  $\mathbb{Q}$ .

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## Step 1, continued: maps to $\mathbb{P}^1$

We need explicit equations not only for the twists of  $X(7)$ , but also for their degree-168 maps to  $\mathbb{P}^1$  given by the  $j$ -invariant, so that given points on these twists, we can compute the associated  $j$ -invariants and hence the associated primitive solutions to  $x^2 + y^3 = z^7$ .

- To find the maps, we exploit the fact that they are  $\mathrm{PSL}_2(\mathbb{F}_7)$ -invariant.
- Specifically, we construct them as ratios of covariants of ternary quartic forms.
- If  $F = 0$  is the equation of a twist  $X(7)'$  in  $\mathbb{P}^2$ , then the map is

$$\begin{aligned} X(7)' &\longrightarrow \mathbb{P}^1 \\ (x : y : z) &\longmapsto \frac{\Psi_{14}(F)^3}{\Psi_0(F) \Psi_6(F)^7}, \end{aligned}$$

where the  $\Psi_i$  are covariants.

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# Step 1, continued: the local test

- For each of the finitely many twists constructed, we check whether for every prime  $p$  it has  $\mathbb{Q}_p$ -points that give rise to  $\mathbb{Z}_p$ -points on  $S$ ; if not, it gives no primitive integer solutions to  $x^2 + y^3 = z^7$  so we discard it.
- We are left with 10 genus-3 curves whose rational points we must find.

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# The 10 genus-3 curves

$$C_1: 6x^3y + y^3z + z^3x = 0$$

$$C_2: 3x^3y + y^3z + 2z^3x = 0$$

$$C_3: 3x^3y + 2y^3z + z^3x = 0$$

$$C_4: 7x^3z + 3x^2y^2 - 3xyz^2 + y^3z - z^4 = 0$$

$$C_5: -2x^3y - 2x^3z + 6x^2yz + 3xy^3 - 9xy^2z + 3xyz^2 - xz^3 + 3y^3z - yz^3 = 0$$

$$C_6: x^4 + 2x^3y + 3x^2y^2 + 2xy^3 + 18xyz^2 + 9y^2z^2 - 9z^4 = 0$$

$$C_7: -3x^4 - 6x^3z + 6x^2y^2 - 6x^2yz + 15x^2z^2 - 4xy^3 - 6xyz^2 - 4xz^3 + 6y^2z^2 - 6yz^3 = 0$$

$$C_8: 2x^4 - x^3y - 12x^2y^2 + 3x^2z^2 - 5xy^3 - 6xy^2z + 2xz^3 - 2y^4 + 6y^3z + 3y^2z^2 + 2yz^3 = 0$$

$$C_9: 2x^4 + 4x^3y - 4x^3z - 3x^2y^2 - 6x^2yz + 6x^2z^2 - xy^3 - 6xyz^2 - 2y^4 + 2y^3z \\ - 3y^2z^2 + 6yz^3 = 0$$

$$C_{10}: x^3y - x^3z + 3x^2z^2 + 3xy^2z + 3xyz^2 + 3xz^3 - y^4 + y^3z + 3y^2z^2 - 12yz^3 + 3z^4 = 0$$

## Example

The rational point  $(0, 1, 1)$  on  $C_7$  gives rise to

$$21063928^2 + (-76271)^3 = 17^7.$$

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## Step 2: Determining $C_i(\mathbb{Q})$

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### Theorem (Faltings 1983, reproved by Vojta 1991)

*If  $X$  is a curve of genus  $\geq 2$  over a number field  $k$ , then  $X(k)$  is finite.*

- With work, the proofs of Faltings and Vojta give an **upper bound** on  $\#X(k)$ , but this does not let one compute  $X(k)$ , even in principle.
- In fact, no current algorithm is known to determine  $X(k)$  in general, even for genus-2 curves over  $\mathbb{Q}$ .
- Nevertheless, there are methods, independent of the proofs of Faltings and Vojta, that sometimes succeed for individual curves.

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Let  $J_i$  be the Jacobian of  $C_i$ .

## Step 2a: Determine the rank of $J_i(\mathbb{Q})$ .

- The rank is determined by 2-descent, a 2-Selmer group computation.
- It is not yet known how in practice to compute 2-Selmer groups of general genus-3 Jacobians: the most obvious methods require the class group of a number field obtained by adjoining the coordinates of at least one point of  $J[2]$ , but such a number field is generically of degree 63. (There is, however, work in progress by Bruin, Flynn, P., and Stoll, showing that one can get by with degree-28 class groups.)
- So we developed a method especially for twists of  $X(7)$ : the geometry of  $X(7)$  shows that the Galois action on  $J_i[2]$  looks like the Galois action on the 2-torsion of a hyperelliptic genus-3 Jacobian. Then only **degree-8** class groups are required.

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## Step 2b: Use Chabauty's method to determine $C_i(\mathbb{Q})$ for $i \neq 5$

By adapting Skolem's  $p$ -adic method for solving  $S$ -unit equations, Chabauty proved

### Theorem (Chabauty 1941)

*Let  $X$  be a curve of genus  $g$  over a number field  $k$ . Let  $J = \text{Jac } X$ . If  $\text{rank } J(k) < g$ , then  $X(k)$  is finite.*

- Coleman and others showed how to refine this into an effective method for determining  $X(k)$ , when  $J(k)$  is known.
- For  $i \neq 5$ , we have  $\text{rank } J_i(\mathbb{Q}) < 3$  and Chabauty's method determines  $C_i(\mathbb{Q})$ .
- For  $i = 5$ , we have  $\text{rank } J_5(\mathbb{Q}) = 3$  and Chabauty's method gives no information.

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## Step 2b': Use the Brauer-Manin obstruction (sieving out residue classes) to attempt to determine $C_5(\mathbb{Q})$

- Let  $C = C_5$  and  $J = J_5$ .
- Embed  $C$  in  $J$ .
- It is hard to determine which points of  $J(\mathbb{Q})$  lie on  $C$ .
- But for a prime  $p$  of good reduction, we can determine the subset of points of  $J(\mathbb{Q})$  whose image in  $J(\mathbb{F}_p)$  lies in  $C(\mathbb{F}_p)$ . (It will be a union of cosets of a finite-index subgroup of  $J(\mathbb{Q})$ .)
- If the intersection of these subsets over several  $p$  is empty, then we know that  $C(\mathbb{Q})$  is empty. (This turns out to be a special case of the Brauer-Manin obstruction, modulo finiteness of  $\text{III}(J)$ .)

$$\begin{array}{ccc} C(\mathbb{Q}) & \cdots \longrightarrow & \prod_{p \in S} C(\mathbb{F}_p) \\ \vdots \downarrow & & \downarrow \\ J(\mathbb{Q}) & \longrightarrow & \prod_{p \in S} J(\mathbb{F}_p). \end{array}$$

- This doesn't work, since  $C(\mathbb{Q})$  is **nonempty**.

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In fact, even today we still don't know  $C(\mathbb{Q})$ . We got around this problem as follows:

- Points in  $C(\mathbb{Q})$  give rise to solutions that are primitive away from 2 and 3, but there are 2-adic and 3-adic conditions that must be satisfied to obtain truly primitive solutions.
- Thus we need only determine the points in  $C(\mathbb{Q})$  satisfying these conditions.
- We show that there are none, by incorporating these conditions into the sieve on the previous slide.
- Since  $p = 2$  and  $p = 3$  are bad for  $C$ , in the sieve we must replace  $C(\mathbb{F}_p) \hookrightarrow J(\mathbb{F}_p)$  by  $C^{\text{smooth}}(\mathbb{F}_p) \hookrightarrow \mathcal{J}(\mathbb{F}_p)$ , where  $C^{\text{smooth}}$  is the smooth locus of the minimal proper regular model of  $C$  at  $p$ , and  $\mathcal{J}$  is the Néron model of  $J$ .

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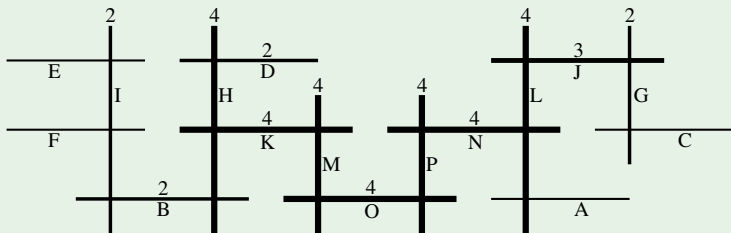
$$x^2 + y^3 = z^p$$

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## Example

For  $p = 2$ , after iteratively blowing up the initial model eight times, one finds that the special fiber at 2 of the minimal proper regular model of  $C_5$  is



- Combining the sieve information from the bad primes 2 and 3 with the sieve information from the good primes 13, 23, and 97, one rules out rational points in the relevant 2-adic and 3-adic regions.
- This completes the proof.  $\square$

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$$x^2 + y^3 = z^p \text{ for } p > 7?$$

Our approach generalizes to reduce the study of  $x^2 + y^3 = z^p$  for  $p > 7$  to the determination of rational points on twists of  $X(p)$ .

Some steps become easier, but others become harder.

Each solution gives rise to a Frey curve  $E$  as before.

### Case 1: Reducible $E[p]$ .

- The reducible  $E[p]$  case becomes almost trivial for  $p > 7$  with  $p \neq 13$ , since there are only finitely many  $j$ -invariants of elliptic curves over  $\mathbb{Q}$  with reducible  $E[p]$  (and none at all for  $p > 163$ ).
- The reducible  $E[13]$  case should also be easy: one can reduce to studying rational points on a finite list of twists of the genus-2 curve  $X_1(13)$ .

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## Case 2: Irreducible $E[p]$ .

- Modularity and level lowering apply as before.
- In fact, the 14 newforms are the **same as before**.
- The 14<sup>th</sup> newform can be excluded for all  $p \neq 13$  using a method I learned from a paper by Calegari: a given newform with non-integral coefficients can be congruent mod  $p$  to a newform with integral coefficients only for a finite, effectively determinable list of  $p$ .
- Hence one reduces to determining  $X_E(p)$  and  $X_E^-(p)$  for the same 13 elliptic curves  $E$  as before (plus a problem with the 14<sup>th</sup> newform if  $p = 13$ ).
- This may be difficult, however, since the genus is much larger (already  $g = 26$  for  $p = 11$ ), and again some of these curves have relevant points.

### Example

For any  $p$ , we have the primitive solution  $3^2 + (-2)^3 = 1^p$ , associated to  $E = 864B1$ .

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