

Bases for cluster algebras, in honour of B. Leclerc, Oaxaca, 2022/09/26, 09:00-09:50

The blue vs. red game and applications

Notes at bit.ly/kellersnotes

Plan: 1. The blue vs. red game [Any resemblance to American politics is purely coincidental!]

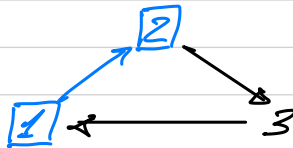
2. Relative cluster categories

3. Application: braid subgroup actions

4. Why does it work? The Frobenius case

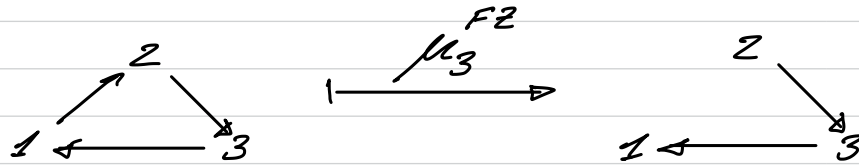
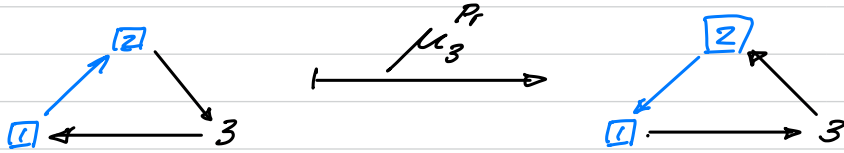
1. The blue vs. red game

Let (Q, F) be an ice quiver, i.e. a finite quiver Q w/o loops nor 2-cycles with a frozen subquiver $F \subseteq Q$, e.g.



M. Prusland (2018) has extended Fomin-Zelevinsky's mutation rule (2002) so as to take frozen arrows into account.

Example:

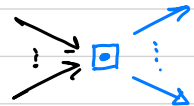


Yilin Wu (2021) observed that Prusland's rule also makes sense for

mutations at frozen sinks

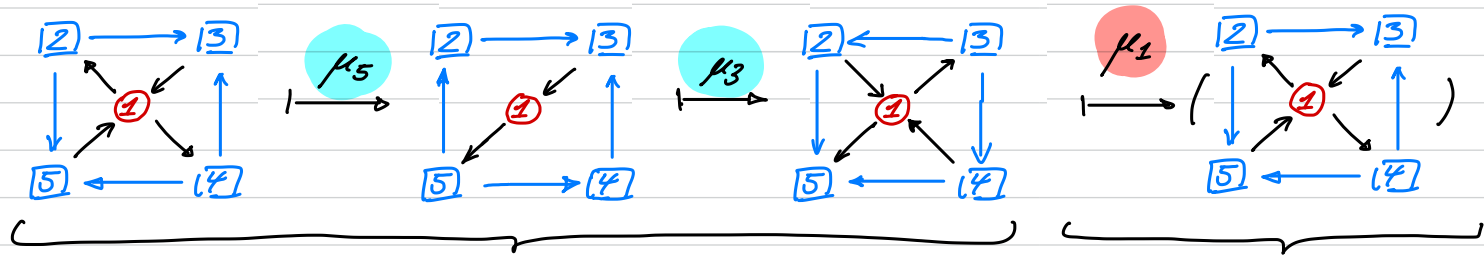


and frozen sources



cf. Fraser - Sherman-Bennett (106/2020).

Example 1 of the blue vs. red game:



① Reform phase: Perform a sequence \underline{i} of mut. at frozen sinks/sources.

② Counter-reform phase: Perform a seq. of mut. \underline{k} at non frozen vertices to undo ①

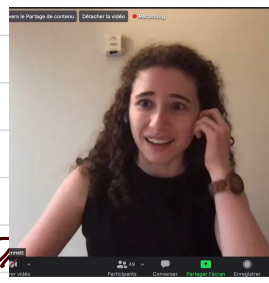
at least sep to an isom. φ .



Matthew Pressland



Chris Fraser



Melissa Sherman-Bennet

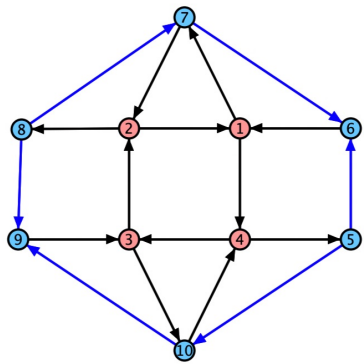


Yilin Wu

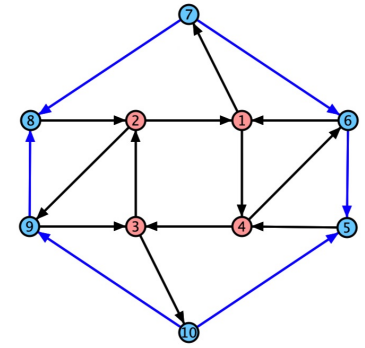
describes $\tilde{G}(3,6)$

Example 2:

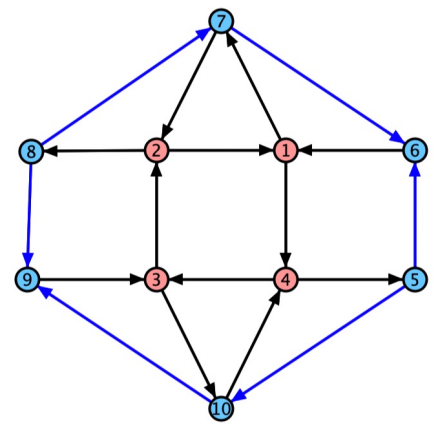
Reform



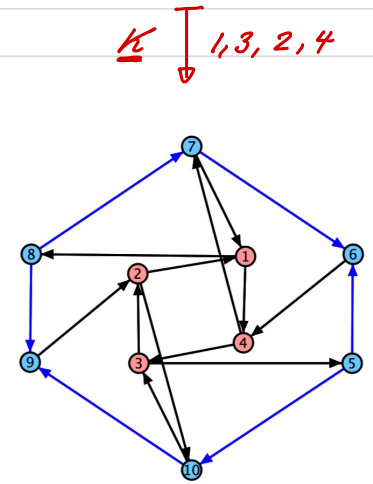
$\xrightarrow[5, 8]{i}$



Counter-reform



$\xleftarrow[\sim]{\varphi}$
(1 2 3 4)



2. Relative cluster categories

Let (Q, F) be an ice quiver.

The braid group associated with F is

$$Br_F = \langle \sigma_j \mid j \in F_0, \sigma_j \sigma_l \sigma_j = \sigma_l \sigma_j \sigma_l \text{ if } \exists l \text{ } l \rightarrow j \text{ or } j \rightarrow l \rangle$$

The simples S_i , $i \in F_0$, are spherical objects in $per\mathbb{T} =$ perfect derived category, where \mathbb{T} is the 2-Calabi-Yau completion of kF ($\mathbb{T} =$ preproj. alg. of F if F con. non Dynkin).

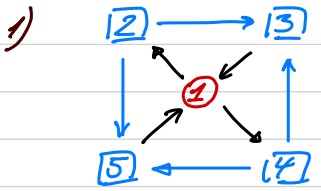
Via spherical twists at the S_i , Br_F acts on $per\mathbb{T}$ (Seidel-Thomas 2001),

Aim: Make a subgroup $G \subseteq Br_F$ act on the

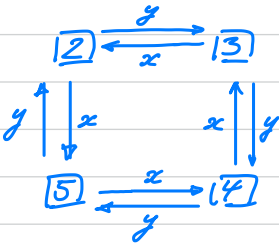
relative cluster category $\mathcal{C}_{G, F, W}$ (Yilin Wu '21, '22).

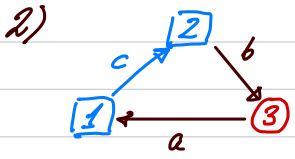
↑ suitable potential

Examples of relative cluster categories:



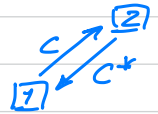
$$W = \sum \triangleleft - \sum \triangleright \implies \mathcal{C}_{Q,F,W} \simeq \mathcal{D}^b(\text{mod } B)$$

$B = \text{boundary algebra} =$

 $\text{ subject to } xy = yx \text{ and } x^2 = y^2.$



$$W = abc \implies \mathcal{C}_{Q,F,W} = \mathcal{D}^b(\text{mod } B)$$

$B = \text{boundary algebra} = \text{pr-proj. alg.}$


 $, \quad cc^* = 0 = c^*c.$

General construction of $C_{Q,F,W}$:

$\mathbb{T} = 2$ -Calabi-Yau completion of kF ($\Rightarrow H^0 \mathbb{T} = \text{preproj. dg. of } F$)

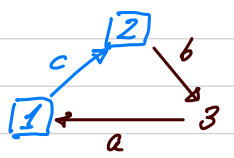
$\downarrow G = \text{Ginzburg morphism}$

$\Gamma = \text{relative Ginzburg dg algebra of } (Q, F, W)$

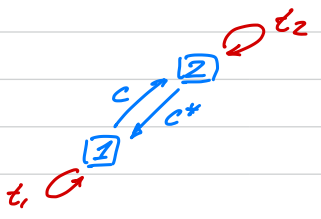
$C_{Q,F,W} = \text{per } \Gamma / \text{thick}(\mathcal{S}_i \mid i \text{ non frozen})$

$B = \text{boundary algebra} = e H^0 \Gamma e$, $e = \sum_{i \text{ frozen}} e_i$, usually $\mathcal{D}^b(\text{mod } B) \neq C_{Q,F,W}$!

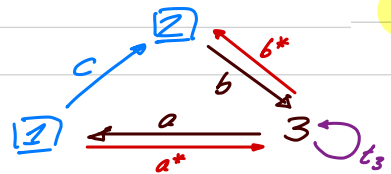
Example:



$W = abc \Rightarrow$



$dt_1 = cc^*$
 $dt_2 = -c^*c$



$da^* = \partial_a W = bc$
 $db^* = \partial_b W = ca$
 $dt_3 = bb^* - a^*a$

$$\mathcal{G}: t_1 \mapsto aa^*, t_2 \mapsto -b^*b$$

$$c \mapsto c, c^* \mapsto \partial_c W$$

3. Application: braid subgroup actions

Keep the notations (\mathcal{Q}, F) and $(\underline{i}, \underline{k}, \varphi)$ as in the blue vs. red game.

Define $\beta(\underline{i}) = \sigma_{i_1}^{\epsilon_1} \sigma_{i_2}^{\epsilon_2} \dots \sigma_{i_\ell}^{\epsilon_\ell} \in \mathcal{B}_F$, where $\underline{i} = (i_1, \dots, i_\ell)$, $\epsilon_j = \begin{cases} 1 & i_j \text{ fr. source} \\ -1 & i_j \text{ fr. sink} \end{cases}$

Thm 1: Suppose that (\mathcal{Q}, F) admits a potential W which is

- consistent** (i.e. $\Gamma \xrightarrow{\cong} H^0 \Gamma$, $\Gamma = \text{rd. Ginzb. alg. of } (\mathcal{Q}, F, W)$)
- epic** (i.e. the Ginzburg morphism $\Pi \rightarrow \Gamma$ induces a surjection $H^0 \Pi \rightarrow e H^0 \Gamma e = \mathcal{B} = \text{boundary algebra}$)
- preserved** under $(\underline{i}, \underline{k}, \varphi)$ (up to right equivalence)

Then the canonical autoequivalence $\Phi(\underline{L}, \underline{k}, \varphi) \in \mathcal{C}_{Q, F, W}$ (Yilin Wu '21)
 only depends on $\beta(\underline{L}) \in \mathcal{B}_F$.

Cor.: The braid subgroup

$$\mathcal{G} = \left\{ \beta(\underline{L}) \mid \begin{array}{l} \underline{L} \text{ admits a counterreform seq. } \underline{k} \\ \text{and } W \text{ is preserved} \end{array} \right\} \subseteq \mathcal{B}_F$$

acts on $\mathcal{C}_{Q, F, W}$ and, via quasi-cluster automorphisms, on
 the cluster algebra $\mathcal{A}_{Q, F}$ with **invertible** coefficients.

Confirmed examples: Grassmannian braiding (Fraser '20)

Positroid subbraiding (Fraser-Kn '22)

Expected examples: Braid subgroup actions due to Bondal '04, Chekhov-Shapiro '20;
 Fock-Goncharov '06; Goncharov-Shen '16, Inoue-Lam-Pylyavskyy '16,

Inoue-Ishibashi-Oya '19, Goncharov-Shen '19; Kashiwara-Kim-Oh-Park '20, ...

4. Why does it work? The Frobenius case

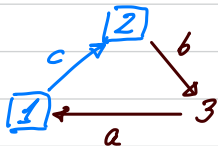
(\mathcal{Q}, F, W) an ice quiver with potential, $\mathcal{P} = \text{add}(\Gamma) \subseteq \mathcal{C}_{\mathcal{Q}, F, W}$

$\mathcal{H} = \text{Higgs category} = \text{full subcat. of } \mathcal{C}_{\mathcal{Q}, F, W} \text{ whose objects are the}$

cones $\text{cone}(T_i \xrightarrow{f} T_0)$ s.th. $T_i \in \text{add } \Gamma$ and

$$\begin{array}{ccc} T_i & \longrightarrow & T_0 \\ \downarrow & \dashrightarrow & \exists \\ \mathcal{P} \in \mathcal{P} & & \end{array}$$

Example:



$$W = abc \Rightarrow$$

$$\begin{array}{ccc} \mathcal{C}_{\mathcal{Q}, F, W} \cong \mathcal{H} \cong \mathcal{P} & , & B = \text{preproj. alg.} \\ | & & | \\ \mathcal{D}^b(\text{mod } B) \cong \text{mod } B \cong \text{proj } B & & \text{of } \boxed{1} \rightarrow \boxed{2} \end{array}$$

Assume that: a) $(\overline{\mathcal{Q}}, \overline{W})$ is Jacobi-finite, where $\overline{\mathcal{Q}} = \mathcal{Q} \setminus F$, $\overline{W} = \dots$

b) (\mathcal{Q}, F, W) is consistent, i.e. $\Gamma \xrightarrow{qis} H^0 \Gamma$

c) \mathcal{P} is functorially finite in $\text{add}(\Gamma) \subseteq \mathcal{C}_{\mathcal{Q}, F, W}$

Examples: Ice quivers with potential associated to Grassmannians, positroids or consistent dimer models on a bordered torus.

Thm: Under these assumptions, \mathcal{H} is a Frobenius exact category with proj.-inj. \mathcal{P} ($-Wu$) and $\mathcal{H} \hookrightarrow \mathcal{C}_{Q,F,W}$ extends to $\mathcal{D}^b(\mathcal{H}) \xrightarrow{\sim} \mathcal{C}_{Q,F,W}$ (Xiaofa Chen).

Main point of Thm 1: The autoequivalence $\Phi = \Phi(\underline{i}, \underline{k}, \varphi) \in \mathcal{C}_{Q,F,W} = \mathcal{D}^b(\mathcal{H})$ is determined by its restriction to the "frozen" subcategory $\mathcal{H}^b(\mathcal{P}) \subseteq \mathcal{D}^b(\mathcal{H})$.

This is natural because each object of \mathcal{H} has a projective resolution.

More precisely:

$$\begin{array}{ccc} \mathcal{D}^b(\mathcal{H}) & \xleftarrow{\sim} & \mathcal{H}^{-,b}(\mathcal{P}) \supseteq \mathcal{H}^b(\mathcal{P}) \\ \cup & & \cup \\ \Phi & = \text{unique continuous extension of} & \Phi_{\text{res}} \end{array}$$



Happy birthday, Bernard!